

AGENDA BOOK

Board of Regents



MEETING SCHEDULE

Saturday, March 3, 2001

9 a.m.

Board of Regents

Riggle Room

Immediately
following BOR
meeting

Lunch

Heritage Room



Academic Affairs

Helen Pennington, Chair
Madge Baird
Lynne Fitzgerald
Buckner Hinkle, Jr.
Teresa Johnson
Sylvia Lovely
Charles Rhodes

Administration and Fiscal Services

Buckner Hinkle, Jr., Chair
Gene Caudill
Lynne Fitzgerald
Juanita Mills
Helen Pennington
Jerry Umberger

Student Life and External Relations

Charles Rhodes, Chair
Madge Baird
Gene Caudill
Lynne Fitzgerald
Teresa Johnson
Sylvia Lovely
Jerry Umberger

Audit Committee

Buckner Hinkle, Jr., Chair
L. M. Jones
Helen Pennington
Charles Rhodes

Officers of the Board

L. M. Jones, Chair
Buckner Hinkle, Jr., Vice Chair
Porter Dailey, Treasurer
Carol Johnson, Secretary

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BOARD OF REGENTS
MOREHEAD STATE UNIVERSITY
March 3, 2001

9 a.m., Riggle Room, Adron Doran University Center, Morehead, Kentucky

AGENDA

- I. CALL TO ORDER
- II. ROLL CALL
- III. ELECTION OF OFFICERS: CHAIR, VICE CHAIR, AND SECRETARY;
APPOINTMENT OF TREASURER
- IV. APPROVE MINUTES OF DECEMBER 9, 2000 1-11
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- VIII. PRESIDENT'S RECOMMENDATIONS AND REPORTS
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(To be distributed at meeting)*
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Antenna Relocation Phase 72-80

B. Next Meetings

Work Session, Friday, May 11, 2001
BOR Meeting, Friday, June 8, 2001

X. ADJOURNMENT

**BOARD OF REGENTS
MOREHEAD STATE UNIVERSITY**

December 9, 2000

The Board of Regents of Morehead State University met on Saturday, December 9, 2000, in the Riggle Room of the Adron Doran University Center in Morehead, Kentucky.

Chair Jones convened the meeting at 9 a.m.

ROLL CALL

The following members were present: Madge Walters Baird, Gene Caudill, Lynne Fitzgerald, Buckner Hinkle, Jr., Teresa Johnson, L. M. Jones, Juanita Mills, Helen Pennington, Charles M. Rhodes, and Jerry Umberger. Sylvia Lovely did not attend.

Others present: President Ronald G. Eaglin; Vice Presidents Michael Moore, Porter Dailey, Michael Mincey, and Keith Kappes; and other members of the faculty, staff, and administration.

**SEPTEMBER 15,
2000, MINUTES**

Ms. Baird moved, seconded by Ms. Mills, to approve the minutes of the September 15, 2000, meeting as distributed. The motion carried.

**SPOTLIGHT
PRESENTATION**

President Eaglin introduced the spotlight presentation, which included reports by the following faculty members who were granted sabbatical leaves during the previous academic year:

Sarah Morrison, Professor of English
Robert Grueninger, Professor of HPER
William C. Green, Professor of Government
James Smallwood, Professor of Industrial Education

Following the sabbatical leave reports, discussion ensued on the University's current sabbatical leave policy and how the Kentucky Teachers Retirement System is now interpreting the policy. President Eaglin assured the Board that all faculty members affected by the dispute would not be penalized financially and that the University would amend its sabbatical leave policy accordingly. A legislative remedy was considered initially but has been discarded because no other institutions are apparently affected.

**HONORARY
DOCTORATES**

The President recommended:

RECOMMENDATION: That the Board approve the awarding of honorary degrees of Doctor of Public Service to Kentucky Supreme Court Justice Janet L. Stumbo of Prestonsburg, Kentucky, and Mr. William R. Seaton of Ashland, Kentucky, at the Winter Commencement ceremony on December 16, 2000.

(Additional background information attached to these minutes and marked V)

MOTION: Mr. Hinkle moved, seconded by Ms. Baird, to approve the President's recommendation.

VOTE: The motion carried.

**PRESIDENT'S
RECOMMENDATIONS**

Winter Graduates

The President recommended:

RECOMMENDATION: That the Board approve the awarding of degrees to all candidates who successfully completed all degree requirements as approved by the faculty of the University at the Winter Commencement ceremony on December 16, 2000.

MOTION: Dr. Fitzgerald moved, seconded by Ms. Baird, to approve the President's recommendation.

VOTE: The motion carried.

The President recommended:

RECOMMENDATION: That the Board approve the reactivation of the Associate of Applied Science Degree in Respiratory Therapy.

*Associate of
Applied Science
Degree in
Respiratory
Therapy*

(Additional background material attached to these minutes and marked VI-A-3)

Chair Jones stated that the Associate of Applied Science Degree in Respiratory Therapy was suspended in April 1993 because of the high costs of maintaining the program and other factors. Recently, the Northeast Consortium for Respiratory Care Education was formed through the collaborative efforts of Morehead State University, Rowan Technical College, Ashland Community College, and Maysville Community College to educate entry-level respiratory care therapists and advanced practitioners in respiratory care/therapy. As a result of the current demand for the program and the collaborative relationship with Rowan Technical College, it is now feasible to reactivate this program.

**1999-2000 Audit
Reports**

MOTION: Dr. Rhodes moved, seconded by Mr. Umberger, to approve the President's recommendation.

VOTE: The motion carried.

The Audit Committee recommended:

RECOMMENDATION: That the Board accept the 1999-2000 audit reports as submitted by Kelley, Galloway and Company.

(Audit Reports and additional background information attached to these minutes and marked VI-A-4)

Mr. Hinkle stated that the Audit Committee met on December 1, 2000, and reviewed and accepted the audit report, the intercollegiate athletics audit report, and the management letter concerning some recommendations relating to the English Language Center. The auditor's reports were issued with an unqualified opinion and contained no findings of material weaknesses within the University's financial controls system.

MOTION: Mr. Hinkle moved, seconded by Ms. Mills, that the Board approve the Audit Committee's recommendation.

VOTE: The motion carried.

The Audit Committee recommended:

**Fourth Quarter
Financial Report**

RECOMMENDATION: That the Board accept the financial statements for the fourth quarter of the fiscal year that ended on June 30, 2000.

(Fourth Quarter Financial Report and additional background information attached to these minutes and marked VI-A-5)

Mr. Dailey stated that the Fourth Quarter Financial Report was reviewed and accepted by the Audit Committee at its meeting on December 1, 2000. Mr. Dailey noted that adjustments to the budget totaled \$223,723 for the final quarter of the fiscal year increasing the expenditure authorization to \$78.5 million. These increases are explained in detail on page 16 of the agenda book. Mr. Dailey recommended that the Board, in accepting the financial statements, also approve the amended operating budget as outlined in the agenda book.

*First Quarter
Financial Report*

MOTION: Mr. Hinkle moved, seconded by Ms. Johnson, to approve the Audit Committee's recommendation and the amended operating budget..

VOTE: The motion carried.

Mr. Hinkle asked that, with the new Board structure acting as a "committee of the whole" that the administration consider how the Board might streamline the work of the committees in order to avoid duplication. This item will be placed on the agenda for discussion at a future BOR work session.

Chair Jones noted that at the December 1 Audit Committee meeting, the University's external auditors assured the committee that the University has mechanisms in place to hopefully prevent or at least discourage the misappropriation of funds similar to what has occurred at some of the other Kentucky universities.

The President recommended:

RECOMMENDATION: That the Board accept the financial statements and amend the operating budget for the first quarter of the fiscal year that will end on June 30, 2001.

(First Quarter Financial Report and additional background information attached to these minutes and marked VI-A-6)

Mr. Jim Fluty, Director of Accounting and Budgetary Control, discussed the first quarter financial statements and noted that the University's financial picture has remained stable through the first quarter of the 2000-2001 fiscal year. The University operated with a surplus of revenues over expenditures and transfers for that quarter in the amount of \$12.8 million as shown on page 31 of the agenda book. That level of operating surplus is expected during the first quarter of any fiscal year because the revenue streams are larger at the beginning of the fall and spring terms while expenditures are more evenly distributed throughout the year. As the year progresses, the variance between revenues and expenditures will narrow and will be reflected in an appropriate operating surplus or deficit. The percentage of revenue assessments compared to budget projections is in line with the University's expectations for the first quarter. He also noted that expenditure trends also are proceeding according to the budget plan.

Mr. Dailey noted that the total amount of budget amendments was \$110,638. Ms. Teresa Johnson, Director of Budgets, discussed the revenue sources. She stated that unbudgeted support from the Morehead State University Foundation, Inc. totaled \$42,381 during the first quarter ending

September 30, 2000. Most of these funds were for various academic programs. Unbudgeted revenue from other miscellaneous sources totaled \$70,007 during the first quarter with most of these funds also being for academic programs.

In addition, Ms. Johnson stated that during the first quarter E&G expenditure budgets increased overall by only \$23,372. This was the net result of reallocations of fund balance for capital projects, carry forward of 1999-2000 funds, and graduate assistant and institutional work study fund distributions to all divisions.

President Eaglin informed the Board that the University's five-year effort to improve fire safety in its residence halls is on schedule, but the work is proving to be twice as expensive as expected and those costs are being passed on to students who are required to live in campus housing. Following lengthy discussion on this issue, the Board directed Chair Jones to request that the Council on Postsecondary Education consider making such projects eligible for state capital construction funds in the 2002-2004 state budget. Chair Jones stated that he and Vice Chair Hinkle would submit a written request to CPE Chair Charles Whitehead that this matter be placed on the Council's agenda.

MOTION: Ms. Johnson moved, seconded by Dr. Rhodes, to approve the President's recommendation.

VOTE: The motion carried.

The President recommended:

Personnel Actions

RECOMMENDATION: That the Board ratify the Personnel Actions for the period August 21, 2000, through November 3, 2000.

(List of Personnel Actions and additional background information attached to these minutes and marked VI-A-7)

Mr. Roger Barker, Director of Human Resources, reported that during the period August 21, 2000, through November 3, 2000, there were four resignations and five retirements.

Mr. Dailey reported that Ms. Angela Martin, Assistant Vice President for Planning and Budgets, had resigned and taken a position with the Council on Postsecondary Education.

MOTION: Mr. Hinkle moved, seconded by Mr. Caudill, that the President's recommendation be approved.

**KFAC Board of
Directors**

VOTE: The motion carried.

The President recommended:

RECOMMENDATION: That the Board confirm the appointments of Ms. Carole Summers, Ms. Bobbie Caudill, and Ms. Marilyn Payson to the KFAC Board of Directors.

(Additional background information attached to these minutes and marked VI-A-8)

MOTION: Ms. Johnson moved, seconded by Mr. Umberger, to approve the President's recommendation.

VOTE: The motion carried.

The President recommended:

**2001-2002 Tuition
and Fee Schedule**

RECOMMENDATION: That the Board amend the 2001-2002 tuition and fee schedule.

(Amended 2001-2002 Tuition Rates and Mandatory Fees and additional background information attached to these minutes and marked VI-A-9)

President Eaglin stated that low tuition is the best form of financial aid, and it's been the philosophy of Morehead State University to keep tuition at an affordable rate over the years. However, affordable rate, when you start looking at Kentucky, varies depending on the population that an institution serves. In looking at the profile of students who attend Morehead State, one can see that many of the young people come from areas where the economy is considerably lower than in other parts of the state. Consequently, we have tried to keep our tuition and costs as low as possible. However, preliminary planning for the 2001-2002 operating budget indicates that the previously approved 3 percent increase in the tuition and mandatory fee schedule will not provide sufficient revenue to enable the University to advance employee and academic initiatives, which are important in achieving the long-term goals of the University related to the CPE's 2020 *Vision*.

Thus, it is recommended that the 2001-2002 tuition and mandatory fee schedule be amended to reflect an overall increase of 8 percent or 5 percent more than previously approved.

Extensive discussion followed on the proposed 2001-2002 tuition and fee schedule.

Mr. Hinkle asked if the amended tuition and fee schedule were approved, would the University have the necessary staff available to help students with their financial aid needs. Mr. Tim Rhodes, Assistant Vice President for Admissions, Financial Aid, and Housing, indicated that while there would be a greater number of students coming to the Financial Aid office to seek additional aid, he anticipated that current staff could handle the load.

Ms. Johnson commented that the proposed increase in tuition would have a significant impact and would result in many students leaving the University. Further, she expressed concern about future tuition increases.

President Eaglin indicated that the cost of going to college would increase dramatically in the future unless the state has a different philosophical approach on how institutions are funded. We have been fortunate in this country in that billions of dollars in aid money are made available to help students. However, this viewpoint is changing and the cost of an education is now being placed on the family, and it is going to get worse.

Ms. Mills stated that it is unfortunate that this increase is necessary. However, if the University is to be a quality institution, we need to be willing to invest in it to ensure that it happens. At the same time, we need to try to do it in a way that will lessen the blow to students and help them come to the institution.

MOTION: Mr. Caudill moved, seconded by Ms. Mills, to approve the President's recommendation.

VOTE: The motion carried with Ms. Johnson voting nay.

The President recommended:

*Microcomputer
Acquisition
Program*

RECOMMENDATION: That the Board authorize the University staff to secure financing for the continuation of the MAP from GE Capital Public Finance in an amount not to exceed \$1,700,000 during the 2000-2001 fiscal year, with total debt not to exceed \$4,250,000 during the current four-year cycle ending June 30, 2003.

(Additional background information attached to these minutes and marked VI-A-10)

Mr. Dailey stated that in September 1993, the Board authorized the issuance of debt to support the University's microcomputer acquisition program (MAP). In the past, computers have been acquired at the beginning of each fiscal year with installation beginning in the summer and continuing into the late fall. Growth of this program and changing the replacement cycle from five to four years have significantly increased the work load of the

*Telephone
Switch*

technology staff to install the equipment. Thus, it is being recommended that the computers be acquired in the spring or early summer with installation beginning immediately. As a result, the 2000-2001 debt authorization ceiling for the program will be adjusted upward to allow for the acquisition of the computers for the 2001-2002 fiscal year.

MOTION: Mr. Caudill moved, seconded by Ms. Mills, to approve the President's recommendation.

VOTE: The motion carried.

The President recommended:

RECOMMENDATION: That the Board authorize the University staff to secure financing for the replacement of the telephone switch from GE Capital Public Finance in an amount not to exceed \$500,000, and that the Board adopt the following resolution:

REIMBURSEMENT RESOLUTION**Section I. Recitals**

- (a) The Internal Revenue Service has issued Section 1.150-2 of the Income Tax Regulations (the Regulations) dealing with the issuance of obligations, all or a portion of the proceeds of which are to be used to reimburse Morehead State University (the University) for project expenditures made by the University prior to the date of the issuance of the obligations.
- (b) The Regulations generally require that the University make a declaration of its official intent to reimburse itself for such prior expenditures out of the proceeds of a subsequently issued borrowing, that the borrowing occur and the reimbursement allocation be made from the proceeds of such borrowing within 18 months of the payment of the expenditure or, if longer, within 18 months of the date the project is placed in service or abandoned but in no event more than three years after the expenditure is paid, and that the expenditure be a capital expenditure.
- (c) The University has determined that it is necessary and in the best interests of the University to acquire certain telephone switch equipment described on Schedule A hereto (the Equipment). The University intends to finance all or a portion of such Equipment cost through the entering into of a lease-purchase

agreement, the interest on which is intended to be excludable from the gross income of the recipient for federal income tax purposes (the Lease). The University expects to make expenditures for costs relating to the Equipment after the date of this resolution (or has made such expenditure no longer than 60 days prior to the date of this resolution) and prior to the entering into of such Lease.

Section 2. Official Intent Declaration.

The University reasonably expects to reimburse the expenditures it will make or has made in the last 60 days for costs of the acquisition of Equipment out of the proceeds of the Lease to be incurred by the University in a maximum principal amount of \$500,000.00 after the date of payment of all or a portion of such acquisition costs. All reimbursed expenditures shall be capital expenditures as defined in Section 1.150-1 of the Regulations.

Section 3. Reimbursement Allocations.

The University's financial officer shall be responsible for making a written reimbursement allocation described in the Regulations, being generally the transfer of the appropriate amount of proceeds of the Lease to reimburse the source of temporary financing used by the University to make payment of the prior costs of the Equipment. Each allocation shall be evidenced by an entry on the official books and records of the University maintained for the Lease, shall specifically identify the actual prior expenditure being reimbursed or, in the case of reimbursement of a fund or account in accordance with Section 1.150-2, the fund or account from which the expenditure was paid. Such allocation shall be made within 30 days of the issuance of the Lease.

(Additional background information attached to these minutes and marked VI-A-11)

Mr. Michael Walters, Associate Vice President for Fiscal Services, stated that the current phase of the Digital Network Project includes the replacement of the aging campus telephone switch. The switch is scheduled to lose vendor support in 2001. Total cost for replacement of the existing telephone switch is \$1,057,131. The Board is being asked to authorize the debt and to obtain funds for the telephone switch from GE Capital Public Finance in an amount not to exceed \$500,000. In addition, the Board is being requested to approve the reimbursement resolution.

MOTION: Mr. Caudill moved, seconded by Dr. Rhodes, to approve the President's recommendation.

VOTE: The motion carried.

**PRESIDENT'S
REPORTS**

*Fall 2000
Enrollment*

Dr. Eaglin noted that the agenda book contained the following reports:

- Final enrollment figures showed a headcount of 8,327, which represents a nearly 2 percent increase over the fall 1999 figure of 8,171. The growth was attributed to improved recruitment of new students. (See VI-B-1)

President Eaglin stated that the new students coming in under the new admissions policy accounted for the increase in enrollment. The out-of-state admissions policy is being extended to include 25 more counties, primarily in Southern Ohio.

*Personal Service
Contracts*

- Personal Service Contracts with amounts greater than \$10,000 issued between August 30 and November 20, 2000, included the following: University Accounting Service Inc. of Brookfield, Wisconsin, for billing and accounting service for the Federal Perkins Loan accounts, \$28,000; Dr. Cathy Barlow of Wilmington, NC, for evaluation and implementation of the PT3 grant, \$13,400; Kelly Services of Winchester, to provide temporary services to fill specialized jobs in emergency situations, \$30,000; and Dr. Faye Newsome of Pippa Passes, to serve as Director of the Region 8 Kentucky Reading Project, \$11,500. (See VI-B-2)

*Fire Safety
Projects*

- Two phases of the residence halls sprinkler installation project are now complete, costing more than \$1,000,000 over estimation. The revised estimate for Phase III of the project has increased substantially. Based on the increased cost estimate, MSU will operate under a revised schedule and will install sprinklers in only two halls in Phase III. The completion date will remain fall 2003. Since the project began, the estimated cost has increased from \$3.6 million to more than \$6.3 million. (See VI-B-3)

*Health Insurance
Plan*

- Effective January 1, 2001, MSU will change health insurance providers. CHA, a Lexington-based network of regional and state hospital and health care providers, will replace Anthem Blue Cross Blue Shield. Commonwealth Administrators will administer the health insurance plans. Based on President Eaglin's recommendation, under the new plan, the University will increase

its monthly contribution per employee from \$140 to \$210. (See VI-B-4)

**EXECUTIVE
SESSION**

Chair Jones noted that the Board needed to go into executive session for the purpose of discussing pending litigation and contracts. He stated that no votes would be taken in executive session or when the Board returned to open session.

Ms. Mills moved, seconded by Ms. Baird, to go into executive session. The motion carried.

ADJOURNMENT

Following executive session, the Board reconvened in open session. There being no further business to conduct, Dr. Rhodes moved, seconded by Ms. Baird, that the meeting adjourn at 12:15 p.m. The motion carried.

Respectfully submitted,



Carol Johnson, Secretary

HONORARY DOCTORAL DEGREE

**BOR (V)
March 3, 2001**

Background:

In 1988 the Board of Regents reinstated the practice of awarding honorary doctoral degrees to outstanding individuals. Consequently, the ad hoc Honorary Degree Advisory Committee was established to screen nominations for such recognition and to make appropriate recommendations to the President and Board of Regents. The committee is composed of active and retired faculty and staff members and a representative of the MSU Alumni Association, Inc.

An outstanding person – Kentucky First Lady Judi Conway Patton – was nominated for an honorary doctoral degree in conjunction with spring commencement. The Honorary Degree Advisory Committee reviewed the personal and professional qualifications of Ms. Patton and unanimously recommended that she be awarded the honorary degree of DOCTOR OF PUBLIC SERVICE at the Spring Commencement ceremony on May 12, 2001.

Judi Conway Patton, a native of Pike County, Kentucky, decided early in her husband's tenure as Governor of Kentucky that she would lend her voice and influence to women and children harmed by abuse and violence. Co-chair of the Governor's Council on Domestic Violence, she was instrumental in the first state funding of shelters for battered women. She led a statewide movement to reform Kentucky's laws to protect child victims who testify in court and to strengthen the legal process for removing endangered children from threatening home environments.

Her latest project is the expansion of children's advocacy centers for young victims of sexual abuse, including the opening of such a center in Morehead to serve the five counties of the Gateway Area Development District. She also champions other causes, including expansion of family courts, increased funding of breast cancer research and improved medical services for breast cancer victims.

Ms. Patton, who has Cherokee ancestors on both sides of her own family, was responsible for creation of the Kentucky Native American Heritage Commission, of which she serves as chairperson. She also co-chaired the \$3 million statewide fund raising campaign for the Kentucky History Museum.

Recommendation:

That the Board of Regents approve the awarding of an honorary degree of DOCTOR OF PUBLIC SERVICE to Kentucky First Lady Judi Conway Patton at the Spring Commencement ceremony on May 12, 2001.

**2001 FOUNDERS DAY AWARD
FOR UNIVERSITY SERVICE**

**BOR (VI)
March 3, 2001**

Background:

The Founders Day Award for University Service was established by the Board of Regents in 1978 and has been presented each year to individuals with records of outstanding service to the University over a sustained period. The presentation is part of the annual Founders Day observance.

Previous recipients have included W. E. Crutcher, 1978; Linus A. Fair, 1979; Carl D. Perkins, 1980; Dr. Warren C. Lappin, 1981; Dr. Ted L. Crosthwait, 1982; Monroe Wicker, 1983; Lloyd Cassity, 1984; Grace Crosthwaite, 1985; Boone Logan, 1986; Dr. Rondal D. Hart, 1987; George T. Young, 1988; John E. Collis, 1989; Dr. Wilhelm Exelbirt, 1990; Dr. R. H. Playforth, 1991; Dr. Mary Northcutt Powell, 1992; Sen. Woody May, 1993; Dr. J. E. Duncan, 1994; Sherman R. Arnett and Harlen L. Hamm, 1995; Dr. Adron Doran, 1996; Robert S. Bishop and K. Martin Huffman, 1997; and Dr. Charles J. Pelfrey, 1998; Carolyn S. Flatt, 1999; and Dr. Earl J. Bentley, 2000.

The Founders Day Committee has recommended that the 2001 award be presented to Mrs. Mignon McClain Doran, the University's First Lady from 1954 to 1977 and founding director of the Personal Development Institute. The ceremony is scheduled as part of the Founders Day Awards Luncheon at noon on Thursday, March 29.

A former president of the Kentucky Federation of Women's Clubs, Mrs. Doran was named the Outstanding Woman Kentuckian in 1971 and Woman of the Year in 1972 by Cardinal Key National Honor Society. She served 10 years as a member of the Governor's Commission on Children and Youth and has received local, state and international recognition for her work with young persons and community organizations.

At the request of the Student Government Association, a residence hall complex at MSU was named in her honor by the Board of Regents. A former teacher and fashion model, Mrs. Doran remains active as a lecturer and musician and as a volunteer on behalf of Morehead State University. A native of Western Kentucky, she would be the fourth woman to receive the award.

Recommendation:

That the Board of Regents approve the selection of Mignon McClain Doran of Lexington, Ky., as the recipient of the 2001 Founders Day Award for University Service.

PROGRAM DELETIONS/SUSPENSION

**BOR (VIII-A-1)
March 3, 2001**

Background:

In November 1999, the Council on Postsecondary Education approved a series of guidelines for creating and maintaining academic programs. The new guidelines required among other things, that the Council staff review the status of all existing programs in operation for more than four years and identify those that do not appear to be sufficiently and effectively contributing to the needs of the statewide system of postsecondary education in Kentucky. In February 2000, each university received a list of its academic programs that did not pass the Council's initial screen for productivity: programs that confer fewer than 12 associate, 12 bachelor's, 7 master's or 5 doctoral degrees calculated as a five-year average. Institutions were asked to review each identified program and make a written recommendation about its continuation, modification, elimination, or consolidation into a cooperative program.

The following programs have been identified to be suspended or deleted. Effective Fall 2001, new students will not be allowed to enroll in any of these programs.

Recommendation:

That the Board approve the suspension of the BS degree in Medical Technology (CIP 51.1005) and the deletion of the following programs:

52.0701	AAB Enterprise Management and Operations General
13.1320	BS Trade and Industrial Teacher Education, Vocational
13.1399.02	BS Math and Physical Sciences Teacher Education
19.0101	BS Home Economics, General
40.0101	BS Physical Sciences, General
51.1699.01	BSN Nursing, General (Post-R.N.)
13.0802	EdD (Cooperative) in Educational Psychology
13.1399.03	EdD (Cooperative) in Vocational Teacher Education

CPE RESOLUTION

BOR (VIII-A-2)
March 3, 2001

BE IT RESOLVED by the Board of Regents of Morehead State University that the University is exercising its option for a **Qualitative Waiver** to submit new academic program proposals to the Council on Postsecondary Education during calendar year 2001 for the Council's review under the provisions of the *1997-2002 Kentucky Plan for Equal Opportunities in Postsecondary Education*.

ADOPTED this third day of March 2001.

ATTEST:

Secretary, Board of Regents

Chair, Board of Regents

President, Morehead State University

BACHELOR OF SCIENCE DEGREE IN COMPUTER SCIENCE

BOR (VIII-A-3)
March 3, 2001

Background:

For well over 25 years the Department of Mathematical Sciences has offered an Area of Concentration in Mathematics and Computing (formerly Mathematics and Computer Programming). This program has successfully trained students for entry-level positions in business and industry (e.g. BellSouth, Ashland Oil, Humana, Meade Data, LexMark, IBM, ATT). The program was started shortly after the first desktop computer came on the scene in the 70's. The area of concentration required 27 hours of mathematics courses and approximately 24-28 hours of courses from the departments of Physical Sciences, Information Systems, and Industrial Education and Technology. It was felt that the program served our students well during a period when technology was not increasing at such an incredible rate. In order to modernize the program it is proposed that the program be revised into an Area of Concentration in Computer Science (CS).

Analysis:

For several years there has been a growing feeling within the Department of Mathematical Sciences that the existing Area of Concentration in Mathematics and Computing was not attracting as many high school graduates from our service region as would be expected. One reason, it was felt, was the high number of mathematics hours (27) required in the program while a second reason was that the program requirements have not kept pace with the rapidly changing role of technology in our society. Thirdly, the existing program did not contain the words "Computer Science" in its title.

During Spring Semester 2000, Dr. Thomas J. Cheatham of Middle Tennessee State University (MTSU) was brought to the campus in the role of a consultant to assist the department in determining the program's future direction. Dr. Cheatham is a former Department Chair of Computer Science at MTSU and also holds a doctorate in mathematics. He has participated in numerous Computer Science accreditation visits and is familiar with both the IEEE standards and the Computing 2000 Project that has recently proposed new expectations for programs in computer science. Hence it was felt that Dr. Cheatham was fully qualified to analyze the department's Area of Concentration in Mathematics and Computing. In Dr. Cheatham's final report he recommends *"After gathering and reviewing available data and meeting with interested parties on campus on April 2-4, 2000, I recommend that MSU develop a major in Computer Science under the renamed Department of Mathematics and Computer Sciences."*

During Summer 2000, a department committee wrote the proposed CS program using Dr. Cheatham's report as well as the IEEE-CS/ACM recommendations and the CSAC-CSAB accreditation criteria as guides. The proposed program includes required courses from the Departments of Information Systems, Industrial Education and Technology, Physical Sciences, and English, Foreign Languages, and Philosophy. Additionally, 6 new courses in Computer Science were needed to adhere to the guidelines suggested in Dr. Cheatham's recommendations. These courses were designed to provide coverage of important areas of study and research within the field of Computer Science.

Several meetings were held with the Curriculum Committee of the Department of Computer Information Systems during the Fall Semester 2000 at which time several suggested modifications in the program proposal were made. The Departments of Physical Sciences and Industrial Education and Technology were also kept abreast of this curricular effort as was the personnel in the Office of Information Technology.

The proposed curriculum is comparable to that of other such programs within the state. It should be noted that MSU is the only regional comprehensive university in the state that does not currently offer a program in CS. In fact, masters programs in CS, as well as undergraduate programs, are offered at ECU, UN, U of L, WKU and NKU. It is believed that the proposed program will attract new students to the University who are looking at a career path in the computer science field but who now bypass MSU when choosing an institution of higher education. It is also felt that the curriculum in the proposed CS program will prepare the student to be competitive in the field of computers as well as adequately prepare them for graduate work in Computer Science.

Recommendation:

That the Board of Regents approve the proposed modifications in the existing Area of Concentration in Mathematics and Computing and as a result create and approve the Area of Concentration in Computer Science within the Department of Mathematical Sciences.

SABBATICAL LEAVES, 2001-2002

**BOR (VIII-A-4)
March 3, 2001**

Background:

Faculty members desiring sabbatical leaves submit their application to be evaluated by their departmental committee, department chair, college dean, and the Professional Development Committee. These committee evaluations are forwarded to the Executive Vice President for Academic Affairs and Dean of Faculty who recommends to the President for his recommendation to the Board of Regents.

Recommendation:

That the Board of Regents approve the granting of sabbatical leaves to the following faculty members contingent upon funding being available within academic affairs.

Fall 2001

Susanne M. Rolland, Associate Professor of Social Work

Spring 2002

Rosemary Carlson, Professor of Finance
Charles Patrick, Professor of Industrial Education
Wayne Willis, Professor of Education

2001/2002 Academic Year

Daniel Seth, Associate Professor of Mathematics

Background:

Faculty members desiring tenure are responsible for developing their own tenure portfolios for submission to their peers and administrative supervisors for analysis and review during the first semester of the last year of their probationary period. These portfolios are reviewed by departmental committees as well as by the candidate's department chair and college dean. The University Tenure Committee receives and reviews the recommendations from these peer groups and administrators and forwards its recommendations to the Executive Vice President for Academic Affairs and Dean of Faculty. The President, based upon recommendations from the Executive Vice President for Academic Affairs and Dean of Faculty, submits his recommendations to the Board of Regents.

Recommendation:

That the Board of Regents approve the granting of tenure for the following faculty member with the issuance of her contract for the 2001-2002 year:

Elizabeth Regan, Associate Professor of Information Systems

TENURE WITH PROMOTION, 2001

**BOR (VIII-A-6)
March 3, 2001**

Background:

Assistant professors who successfully gain tenure are automatically promoted to the rank of associate professor without further review by the University Promotion Committee. Faculty members are responsible for developing their own tenure portfolios for submission to their peers and administrative supervisors for analysis and review during the first semester of the last year of their probationary period. These portfolios are reviewed by departmental committees, as well as by the candidate's department chair and college dean. The University Tenure Committee receives and reviews the recommendations from these peer groups and administrators and forwards its recommendations to the Executive Vice President for Academic Affairs and Dean of Faculty. The President, based upon recommendations from the Executive Vice President for Academic Affairs and Dean of Faculty, submits his recommendations to the Board of Regents.

Recommendation:

That the Board of Regents approve the granting of tenure and promotion to the associate professor rank for the following faculty members with the issuance of their contracts for the 2001-2002 year:

Dr. Dora Ahmadi, mathematics
Dr. Seyed Ahmadi, economics
Dr. Lary Cowart, real estate
Dr. Susan Eacker, history
Dr. Robert Frank, speech
Dr. Teresa Hardman, HPER
Dr. Robert Hatfield, management
Dr. Stephen Herzog, government
Dr. Rebecca Katz, sociology
Dr. Karen Lafferty, education
Dr. Lesia Lennex, education
Dr. Ricky Little, music
Dr. Alana Scott, history
Dr. Gordon Towell, music
Dr. Joan Whitworth, science

PERSONNEL ACTIONS

BOR (VIII-A-7)
March 3, 2001

Background:

The Personnel Action Request Report includes actions related to:

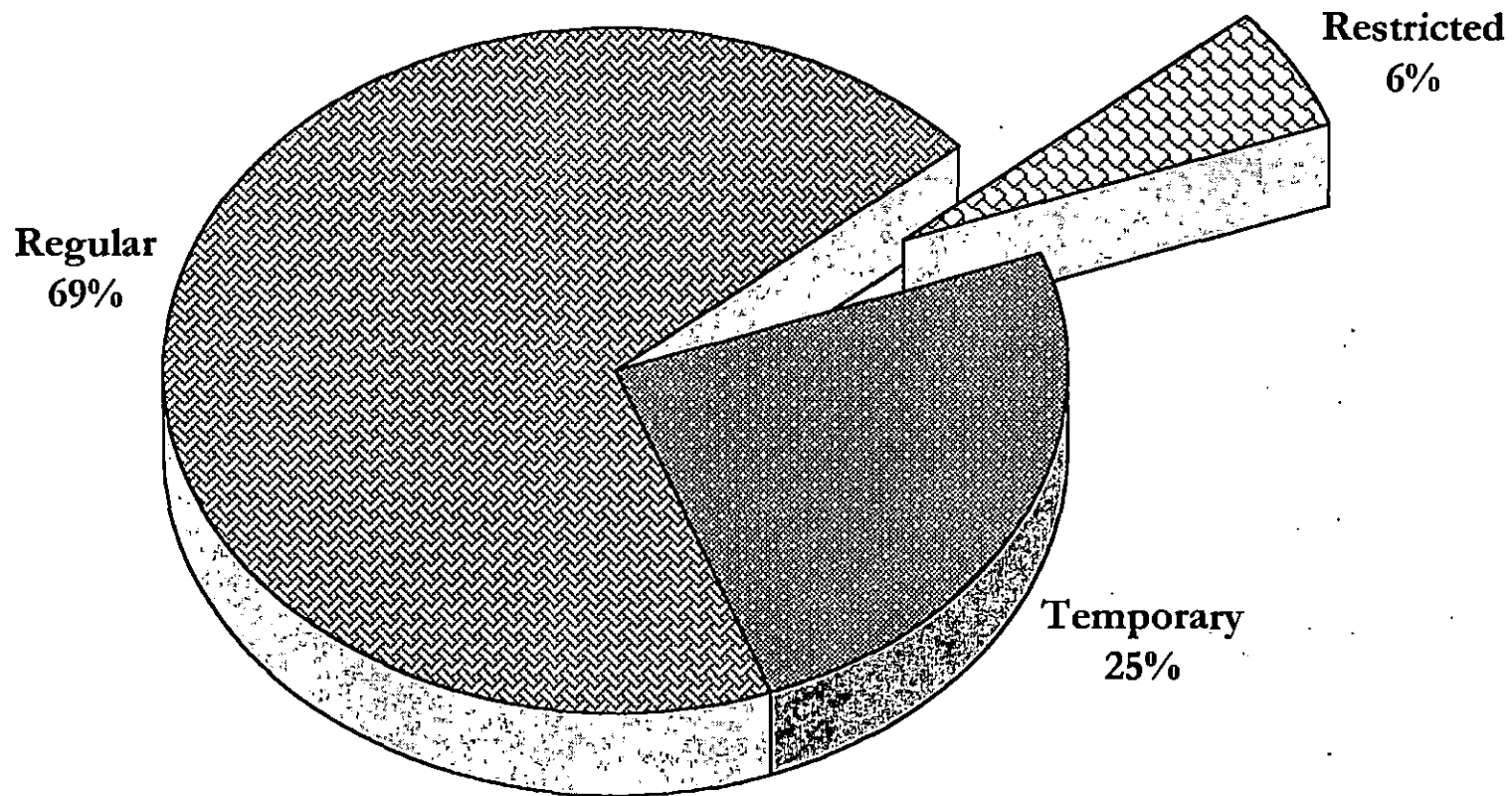
- 1) full-time and continuing part-time faculty, librarians and Executive, Administrative and Managerial employees, except supplemental actions (which had been reported in the Quarterly PAR Informational Report but discontinued at the Board of Regents meeting on September 17, 1999);
- 2) full-time and continuing part-time non-classified Executive, Administrative and Managerial and Professional Staff positions (including supplemental actions);
- 3) discipline;
- 4) leave of absences;
- 5) sabbaticals; and
- 6) retirements.

Recommendation:

That the Board ratify the Personnel Actions for the period November 6, 2000, through February 9, 2001.

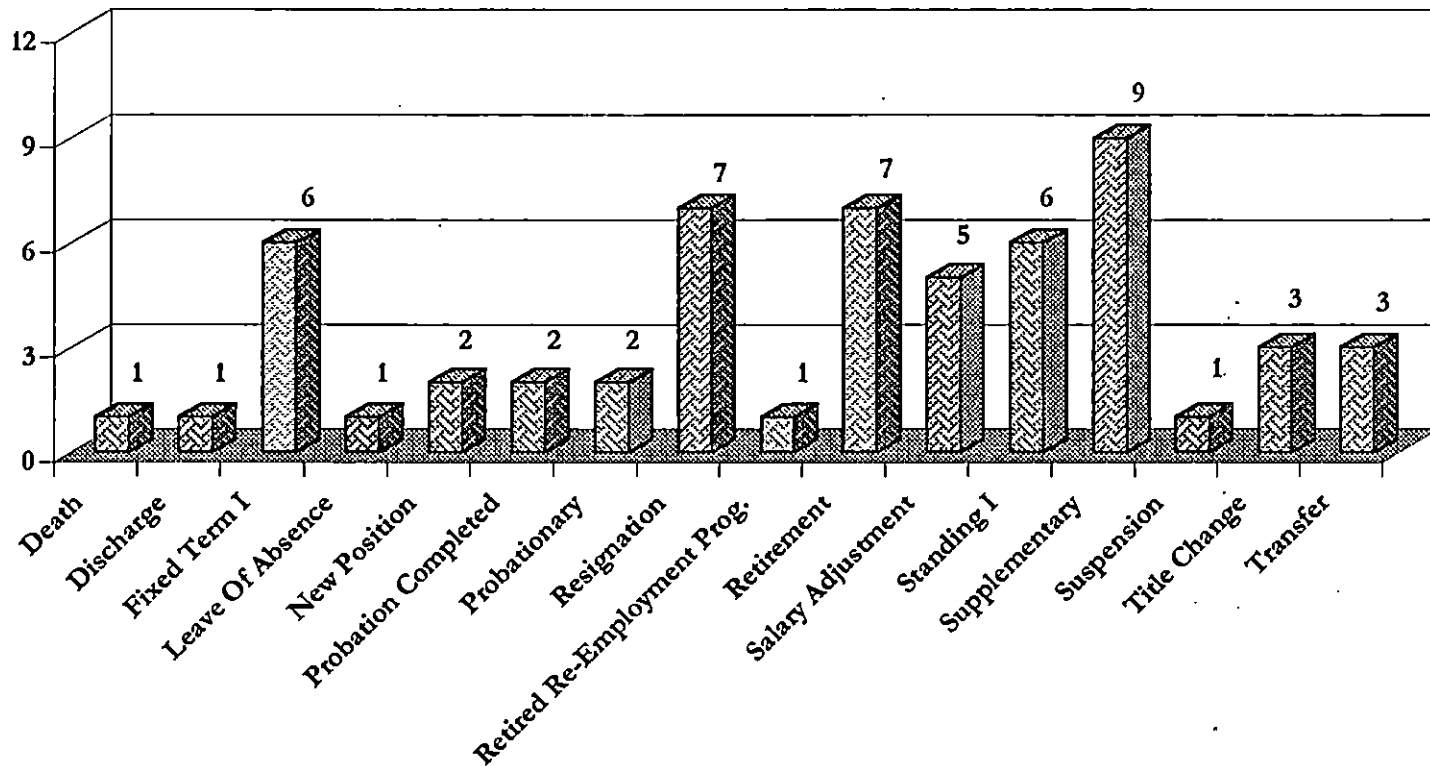
PERSONNEL ACTION REQUEST

11/6/00 thru 2/9/01



Total Number of PARs = 68

PERSONNEL ACTIONS FOR COVERED ROSTER POSITIONS
11/6/00 thru 2/9/01

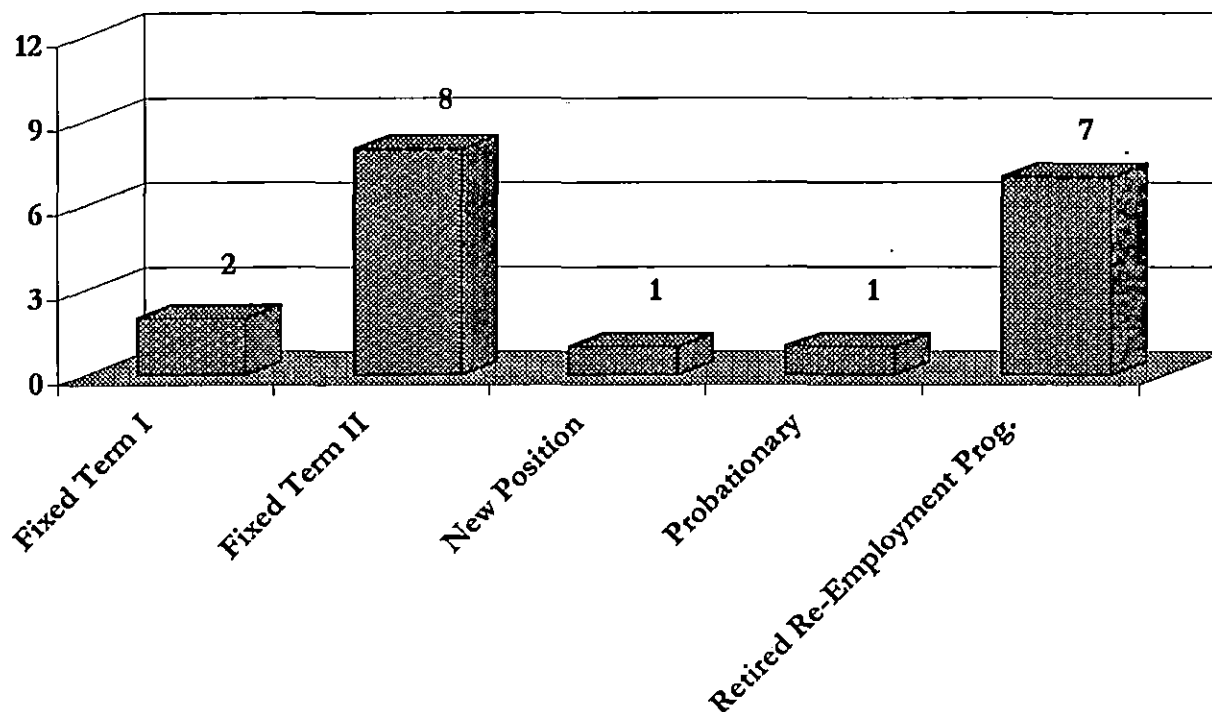


Total Number of PARs = 47

Total Number of Actions = 57

PERSONNEL ACTIONS FOR NON-ROSTERED TEMPORARY APPOINTMENTS

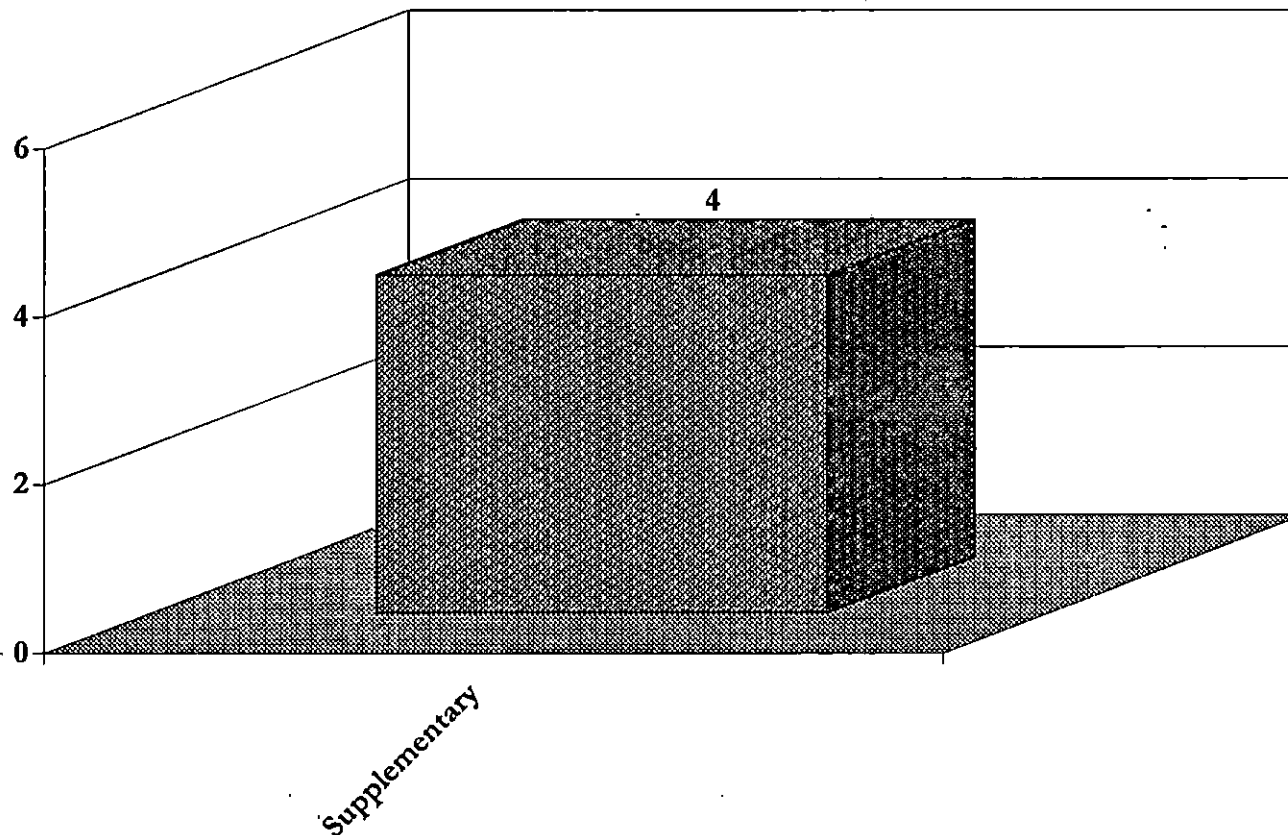
11/6/00 thru 2/9/01



Total Number of PARs = 17

Total Number of Actions = 19

PERSONNEL ACTIONS FOR RESTRICTED APPOINTMENTS 11/6/00 thru 2/9/01



Total Number of PARs = 4

Total Number of Actions = 4

MOREHEAD STATE UNIVERSITY
STANDING I AND STANDING II POSITIONS SUMMARY
02/09/01

<u>DEPARTMENT NAME</u>	<u>July 1 Authorized Positions</u>	<u>Current Authorized Positions</u>	<u>+/- Position Adjustments</u>	<u>Current Position Strength</u>	<u>% Current Strength</u>
OFFICE OF THE PRESIDENT	17.25	18.25	1.00	17.25	94.52
DIVISION OF UNIVERSITY RELATIONS	25.50	24.50	-1.00	23.50	95.92
DIVISION OF ADMINISTRATION AND FISCAL SERVICES	110.00	111.00	1.00	104.00	93.69
PHYSICAL PLANT	153.70	155.70	2.00	144.70	92.94
DIVISION OF STUDENT LIFE	118.30	118.30	0.00	113.80	96.20
DIVISION OF ACADEMIC AFFAIRS	29.50	29.50	0.00	26.50	89.83
CAUDILL COLLEGE OF HUMANITIES	123.75	123.75	0.00	120.75	97.58
COLLEGE OF BUSINESS	51.50	52.50	1.00	45.50	86.67
COLLEGE OF EDUCATION & BEHAVIORAL SCIENCE	112.75	112.75	0.00	97.25	86.25
COLLEGE OF SCIENCE AND TECHNOLOGY	137.60	137.60	0.00	127.60	92.73
INSTITUTE FOR REGIONAL ANALYSIS & PUBLIC POLICY	11.00	12.00	1.00	11.00	91.67
GRADUATE AND UNDERGRADUATE PROGRAMS	39.92	39.92	0.00	38.92	97.49
CAMDEN-CARROLL LIBRARY	39.50	39.50	0.00	35.50	89.87
	970.27	975.27	5.00	906.27	92.93

NOTE: Positions are expressed in terms of full-time equivalency.

DEFINITIONS OF THE DIFFERENT KINDS OF APPOINTMENTS

- Standing I:** Appointment to a continuing full-time faculty, administrative or staff (exempt or non-exempt) position. *Regular status. No ending date. Appointment may be terminated, if for cause.
- Standing II:** Appointment to a continuing part-time faculty, administrative or staff (exempt or non-exempt) position. **Non-Regular status. No ending date. Appointment may be terminated, if for cause.
- Fixed Term I:** Appointment to a full-time faculty, administrative or staff (exempt or non-exempt) position for at least six calendar months but not to exceed one year. Non-faculty appointments may be renewed from year to year. Faculty appointments may be renewed from year to year but cannot exceed five academic years. *Regular status. Terminable on specified ending date of appointment, or earlier, if for cause.
- Fixed Term II:** Appointment to a full-time (up to six calendar months) or part-time (up to one year) faculty, administrative or staff exempt position. **Non-Regular status. Terminable on specified ending date of appointment, or earlier, if for cause.
- Supplementary:** Supplementary appointment to original employment agreement. For example, Summer I & II faculty appointments or 9 month appointment extended to 10, 11, or 12 month appointment. Also used for additional responsibilities as supplement to Standing or Fixed Term appointments. For example, an administrator teaching a class or compensation for over-the-road pay. Not to be used for regular overtime pay. A supplemental appointment does not change an employee's base pay or employment status.

* Regular status denotes an appointment of a faculty, administrative, or staff member to a full-time position, with benefits fully covered, that will exist for at least six calendar months.

** Non-Regular status denotes an appointment of a faculty, administrative, or staff member to a position that is less than full-time or is full-time for a period not to exceed six calendar months.

**THE FOLLOWING ARE TYPES OF APPOINTMENTS & PERSONNEL ACTIONS
REPORTED IN THE APPOINTMENT STATUS ACTIONS SECTION:**

DEATH

RETIRED RE-EMPLOYMENT PROGRAM

DISCHARGE

RETIREMENT

FIXED TERM I

SALARY ADJUSTMENT

LEAVE OF ABSENCE

STANDING I

NEW POSITION

SUPPLEMENTARY

PROBATION COMPLETED

SUSPENSION

PROBATIONARY

TITLE CHANGE

RESIGNATION

TRANSFER

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
Title
Department
Roster ID

Position Base Salary	Salary	Description	Effective Date	Fund Source
AAGAARD-BORAM, LOLA JEAN Instructor of Education Leadership & Secondary Educ.	\$12,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 000371
ABNER, RUFORD A. Police Officer Office of Public Safety 104 \$9.81/hr.	\$9.81/hr.	Suspension (Without pay)	11/30/2000 - 12/02/2000	
AUSTIN, GARY L. Librarian II Camden Carroll Library 682 \$48,529.00	\$36,000.00	Standing I Salary Adjustment Transfer (Reorganization: From \$33,723 to \$36,000. \$2,277 incr.)	01/01/2001	
BALDRIDGE, DANA TRENT Director of Payroll Payroll 44 \$31,591.00	\$31,591.00	Probation Completed	12/20/2000	
BALLARD, D. MATT Head Football Coach Football 1135 \$75,170.00	\$75,170.00	Fixed Term I	01/01/2004 - 12/31/2004	

Appointment Status Actions

11/06/2000 - 02/09/2001

Name

Title

Department

Roster ID

Position Base Salary

Salary

Description

Effective Date

Fund Source

BARKER, ROGER DALE
Director of Human Resources
Office of Human Resources
1846
\$58,128.00

\$58,928.00
(\$800.00 incr.)

Salary Adjustment
(Professional in
Human Resources
Certification)

01/01/2001

Ed. Bonus Acct.

BERRY, RICHARD HENRY
Asst. Professor of Management
Management & Marketing
325
\$62,700.00

\$66,000.00

Standing I

08/13/2001

Roster ID # 002996

BROWN, LARADEAN K.
Asst. Professor of HPER
Health, P.E. & Recreation
423
\$47,113.00

\$47,113.00

Retirement

06/30/2001

BROWN, ROBERT ROY
Instructor of CIS
Information Systems

\$15,000.00

Fixed Term II

01/16/2001 - 05/11/2001

Roster ID # 000326

BRUMAGEN, DAVID MILTON
Lecturer
Biological & Environmental Sci

\$2,400.00

Retired Re-Employment Prog.

01/16/2001 - 05/11/2001

Lecturer Acct.

Appointment Status Actions
11/06/2000 - 02/09/2001

Name Title Department Roster ID Position Base Salary	Salary	Description	Effective Date	Fund Source
BUSROE, FRED M. Lecturer Biological & Environmental Sci	\$2,400.00	Retired Re-Employment Prog.	01/16/2001 - 05/11/2001	Lecturer Acct.
CHOI, HAIWOOK Assistant Professor of CIS Information Systems 3316 \$74,000.00	\$74,000.00	Standing I New Position	01/08/2001	
DOUGLAS, BETTY LYNN Instructor of Music Music	\$15,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 003056
DUNN, GARY W. Offensive Coordinator Football 1141 \$31,627.00	\$31,627.00	Fixed Term I	01/01/2001 - 12/31/2001	
FLANAGAN, GARY LEE Librarian II Camden Carroll Library 670 \$40,532.00	\$40,532.00	Title Change (From Automation Coord. to Librarian II)	01/10/2001	

Appointment Status Actions
11/06/2000 - 02/09/2001

Name Title Department Roster ID Position Base Salary	Salary	Description	Effective Date	Fund Source
GILLIAM, JOHN WILLIAM Defensive Coordinator Football 2532 \$40,713.00	\$40,713.00	Fixed Term I	01/01/2001 - 12/31/2001	
GRIFFITH, JOYCE NADINE Instructor of Education Elem. Read. & Spec. Education	\$12,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 000366
HOWARD, RYAN ABNEY Lecturer Art	\$4,800.00	Retired Re-Employment Prog.	01/16/2001 - 05/11/2001	Lecturer Acct.
HUDSON, JAYNE MARIE Assistant Professor Education Elem. Read. & Spec. Education 3111 \$38,707.00	\$38,707.00	Resignation	05/11/2001	
JENNINGS, WILBURN Maint. Technician II Building Maintenance 733 \$10.66/hr.	\$10.66/hr.	Retirement	12/31/2000	

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
Title
Department
Roster ID

Position Base Salary	Salary	Description	Effective Date	Fund Source
LANDRUM, NANCY ELLEN Asst. Professor of Management Management & Marketing 323 \$62,000.00	\$63,000.00 (\$1,000.00 incr.)	Salary Adjustment (Received doctoral degree)	01/10/2001	Roster ID # 002996
LEWIS, SADONYIA DAPHNE Building Services Technician Building Services 776 \$6.83/hr.	\$6.83/hr.	Death	10/30/2000	
LILES, JEFFREY R. Asst. V.P. for Marketing Off., V.P. for Univ. Relations 3241 \$36,205.00	\$65,000.00	Standing I Probation New Position	02/19/2001	Opening Bud-Undist.
LUCKEY, SUE Y. Staff Assistant (Exempt) Off. Develop. & Alum. Relation	\$10,000.00	Retired Re-Employment Prog.	01/01/2001 - 06/30/2001	Contingency Acct.
LYONS, BARBARA MARY Asst. Professor of Marketing Management & Marketing 3277 \$39,895.00	\$66,000.00	Standing I	08/13/2001	Roster ID # 002996

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
Title
Department
Roster ID

Position Base Salary	Salary	Description	Effective Date	Fund Source
MALPHRUS, BENJAMIN KEVIN Professor of Space Science Space Science Center 584 \$51,955.00	\$51,955.00	Transfer Title Change (From Prof. of Science, Physical Sciences to Prof. of Space Science, Space Science Center)	01/01/2001	
MARTIN, C. GERALD Assistant Dean for Development Off. Develop. & Alum. Relation 181 \$69,157.00	\$69,157.00	Resignation	02/02/2001	
NETHERTON, LARRY JOE Lecturer Communication and Theatre	\$2,400.00	Retired Re-Employment Prog.	01/16/2001 - 05/11/2001	Lecturer Acct.
NEWMAN, ROBERT MITCHELL Police Telecommunicator Office of Public Safety 1262 \$7.73/hr.	\$7.73/hr.	Discharge	12/29/2000	
OAKES, PHYLLIS B. Professor of Education Elem. Read. & Spec. Education 373 \$48,983.00	\$48,983.00	Retirement	05/11/2001	

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
 Title
 Department
 Roster ID

Position Base Salary	Salary	Description	Effective Date	Fund Source
OSBORNE, MARY PEGGY Dept. Chair Mgt. & Marketing Management & Marketing 324 \$69,803.00	\$63,559.00 (\$6,244.00 decr.)	Transfer Salary Adjustment Title Change (From Dept. Chair & Assoc. Prof. of Marketing to Assoc. Prof. of Marketing)	05/31/2001	
POWELL, MADELINE Instructor of Education Elem. Read. & Spec. Education	\$12,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 000366
PRICE, DEREK V. Asst. Professor of Sociology Sociology, Soc. Work, Crim. 3267 \$36,500.00	\$36,500.00	Resignation	12/31/2000	
RICHEY, BEVERLY ELLEN Instructor of Education Elem. Read. & Spec. Education	\$12,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 000366
RILEY, BARRY D Mechanic Motor Pool 759 \$11.94/hr.	\$11.94/hr.	Retirement	01/31/2001	

Appointment Status Actions

11/06/2000 - 02/09/2001

Name

Title

Department

Roster ID

Position Base Salary

Salary

Description

Effective Date

Fund Source

RYAN, PAMELA A.
Instructor of English
English, Foreign Lang. & Phil.

\$12,500.00

Fixed Term II

01/16/2001 - 05/11/2001

Roster ID # 000481

SABIE, LAYLA BAYATTI
Professor of Education
Elem. Read. & Spec. Education
382

\$27,392.00

Resignation

12/31/2000

\$27,392.00

SABIE, MOHAMMED
Professor of HPER
Health, P.E. & Recreation
433

\$30,076.00

Resignation

12/31/2000

\$30,076.00

SAMMONS, COY DAVID
Director of Gear-Up
Inst. Region. Analy. Pub. Pol.

\$60,000.00/annual

Fixed Term I
Probation
New Position

02/19/2001 - 09/14/2001

Restricted

SARTOR, JOE DONALD
Lecturer
Art

\$2,400.00

Retired Re-Employment Prog.

01/16/2001 - 05/11/2001

Lecturer Acct.

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
Title
Department
Roster ID

Position Base Salary	Salary	Description	Effective Date	Fund Source
SKIDMORE, RONALD LEROY Asst. Prof. Educ. Psychology Leadership & Secondary Educ. 407 \$35,000.00	\$35,000.00	Standing I (From Fixed Term I to Standing I)	01/16/2001	
STACY, JOHN WILL Director of Regional Relations Office of the President 3271 \$49,611.00	\$49,611.00	Leave of Absence (Without pay for 28 days during time period indicated)	01/03/2001 - 03/23/2001	
STEGALL, WILMA JOAN Building Services Technician Building Services 790 \$6.87/hr.	\$6.87/hr.	Retirement	10/31/2000	
TAMME, RONDA C. Instructor of Education Elem. Read. & Spec. Education	\$9,000.00	Fixed Term II	01/16/2001 - 05/11/2001	Roster ID # 000385
TEMPLEMAN, JACK A. Maint. Technician II Building Maintenance 732 \$12.11/hr.	\$12.11/hr.	Retirement	01/31/2001	

Appointment Status Actions
11/06/2000 - 02/09/2001

Name Title Department Roster ID Position Base Salary	Salary	Description	Effective Date	Fund Source
TEMPLEMAN, JACK A. Staff Assistant Office of Athletics	\$18.16/hr.	Retired Re-Employment Prog.	02/01/2001 - 03/01/2001	Other Wages
TENYER, ROBERT J. Assistant Football Coach Football 2284 \$25,455.00	\$25,455.00/annual	Fixed Term I Probation	02/05/2001 - 12/31/2001	
TROSPER, JAMES DAVID Coord., Recruiting Football 1137 \$29,648.00	\$29,648.00	Fixed Term I	01/01/2001 - 12/31/2001	
WEHNER, JAMES JOHN Assistant Football Coach Football 2284 \$25,455.00	\$25,793.00 (\$338.00 incr.)	Probation Completed Salary Adjustment	10/14/2000	Opening Bud-Undist.
WEHNER, JAMES JOHN Assistant Football Coach Football 2284 \$25,793.00	\$25,793.00	Fixed Term I	01/01/2001 - 12/31/2001	

Appointment Status Actions
11/06/2000 - 02/09/2001

Name
 Title
 Department
 Roster ID

Position	Base Salary	Salary	Description	Effective Date	Fund Source
WEHNER, JAMES JOHN Assistant Football Coach Football 2284 \$25,793.00		\$25,793.00	Resignation	01/12/2001	
WHITAKER, WILLIAM M. Visiting Assistant Professor Management & Marketing 329 \$55,000.00		\$55,000.00	Resignation	12/27/2000	
WHITT, BRENDA LUCILLE Acad. Dept. Specialist I Art 461 \$12.11/hr.		\$12.11/hr.	Retirement	01/31/2001	
WHITT, BRENDA LUCILLE Acad. Dept. Specialist I Art 461 \$12.11/hr.		\$12.11/hr.	Retired Re-Employment Prog.	02/01/2001 - 04/30/2001	
YAKUBU, SABO EZEMUL Instructor of Biology Biological & Environmental Sci		\$30,000.00	Fixed Term I	01/16/2001 - 05/11/2001	Roster ID # 000556

THE FOLLOWING IS THE TYPE OF PERSONNEL ACTION REPORT
IN THE SUPPLEMENTAL ACTIONS SECTION:

SUPPLEMENTARY

Total for this report = \$11,601

Teaching	\$5,000.00
Other	\$6,601.00

Supplemental Actions
11/06/2000 - 02/09/2001

Name
Title

Current Salary	Supplement	Description	Effective Date	Fund Source
<u>Office of Athletics</u>				
SEELIG, J. MICHAEL	\$13,429.00	Interim Dean, EBS	07/01/2000 - 06/30/2001	Roster ID # 000368
Executive Assistant	\$25.00	Basketball Event Staff	11/04/2000 - 11/08/2000	Operating Expenses
\$81,571.00				
<u>Health, P.E. & Recreation</u>				
DUNN, GARY W.	\$500.00	Teach 1 hr.	08/16/2000 - 12/15/2000	Roster ID # 003102
Offensive Coordinator	\$500.00	Teach 1 hr.	01/16/2001 - 05/11/2001	Lecturer Acct.
\$31,627.00				
LANE, BRIAN DALE	\$2,500.00	Teach 5 hrs.	01/16/2001 - 05/11/2001	Lecturer Acct.
Assistant Basketball Coach				
\$25,004.00				
ROSSI, REAGAN KAY	\$500.00	Teach 1 hr.	01/16/2001 - 05/11/2001	Lecturer Acct.
Assistant Basketball Coach				
\$30,905.00				
<u>Ext. Camp, Cont Ed, & Acad Sup</u>				
LEWIS, MARGARET	\$1,300.00	Provide Continuing Ed.	11/10/2000 - 12/15/2000	Operating Expenses
Dir., Big Sandy ECC	\$1,500.00	Teach 3 hrs.	01/16/2001 - 05/12/2001	Lecturer Acct.
\$69,054.00				
<u>Office of Continuing Education</u>				
MCCORMICK, BEVERLY JOYCE	\$800.00	KY Core Real Estate	10/26/2000 - 10/26/2000	Unbudgeted Revenues
Asst. Vice President Retention	\$800.00	KY Core Real Estate	11/30/2000 - 11/30/2000	Unbudgeted Revenues
\$74,243.00	\$800.00	Real Estate-Cont. Ed.	12/15/2000 - 12/15/2000	Unbudgeted Revenues

Supplemental Actions
11/06/2000 - 02/09/2001

Name
Title

Current Salary	Supplement	Description	Effective Date	Fund Source
<u>English Language Center</u>				
BALDWIN, ALAN ROMANS	\$6,900.00	Duties as Director of ELC	09/19/2000 - 12/31/2000	Unbudgeted Revenues
Asst. to EVPAA & IPA Officer	\$2,000.00	Duties as Director of ELC	01/02/2001 - 01/31/2001	Unbudgeted Revenues
\$49,147.00	\$2,000.00	Duties as Director of ELC	02/01/2001 - 02/28/2001	Unbudgeted Revenues
<u>CDPCRC</u>				
LEEDY, HARMON	\$143.00	State bonus	12/18/2000 - 12/18/2000	Restricted
Substance Abuse Counselor				
\$22,342.00				
<u>Beg. TIP (Educat. Serv. Unit)</u>				
BLAIR, SHIRLEY S.	\$405.00	9 KTIP visits	03/30/2000 - 04/19/2000	Restricted
Dir., Educational Service Unit	\$248.00	KTIP training	06/08/2000 - 06/08/2000	Restricted
\$60,097.00	\$3,000.00	KTIP, KPIP, TEP duties	04/10/2000 - 06/30/2000	Roster ID # 000397
	\$248.00	KTIP training	07/13/2000 - 07/13/2000	Restricted
	\$248.00	KTIP training	07/28/2000 - 07/28/2000	Restricted
	\$1,000.00	Coord, Field Exp.	07/01/2000 - 07/31/2000	Operating Expenses
	\$248.00	KTIP training	08/10/2000 - 08/10/2000	Restricted
	\$700.00	Teach MSU 101	08/21/2000 - 11/30/2000	Lecturer Acct.
	\$248.00	KTIP training	08/28/2000 - 08/28/2000	Restricted
	\$45.00	1 KTIP visit	09/20/2000 - 09/20/2000	Restricted
	\$496.00	2 KTIP trainings	09/08/2000 - 09/14/2000	Restricted
	\$5,000.00	Assist with PT3 Grant	10/16/2000 - 05/31/2001	Restricted
	\$496.00	2 KTIP trainings	09/15/2000 - 09/22/2000	Restricted
	\$248.00	KTIP training	10/05/2000 - 10/05/2000	Restricted
	\$540.00	12 KTIP visits	09/20/2000 - 11/27/2000	Restricted
	\$45.00	1 KTIP visit	12/04/2000 - 12/11/2000	Restricted

**SECOND QUARTER FINANCIAL REPORT
AND OPERATING BUDGET**

**BOR (VIII-A-8)
March 3, 2001**

Background:

The University has a statutory requirement to furnish quarterly financial reports to the Board of Regents. Financial statements have been prepared for the second quarter of the fiscal year that will end on June 30, 2001. The statements, along with management's discussion and analysis and budget amendment information are attached.

Recommendation:

That the Board accept the financial statements and amend the operating budget for the second quarter of the fiscal year that will end on June 30, 2001.

Management's Discussion and Analysis
Second Quarter Financial Statements
Morehead State University
December 31, 2000

This discussion and analysis of Morehead State University's financial statements provides an overview of the University's financial activities for the six months that ended on December 31, 2000. The statements and this discussion and analysis have been prepared by Administration and Fiscal Services staff.

Using These Financial Statements

This report consists of two basic financial statements. The Statements of Net Assets includes information about the assets, liabilities and net assets, or fund balances, of the entire University. The Statements of Revenues, Expenditures and Changes in Fund Balance provides information about the unrestricted current funds revenues, expenditures and transfers of the University. The statements are prepared on an accrual basis and reflect the results of all transactions that affect the financial status of Morehead State University.

Financial Highlights

Morehead State University's financial picture remains stable through the second quarter of the 2000-2001 fiscal year. During the period July 1, 2000 through December 31, 2000 the University operated with a surplus of revenues over expenditures and transfers in the amount of \$14,480,740. This level of operating surplus is expected at this time, since most of the billings for the Spring 2001 semester are reflected in the tuition and fees revenue and only expenditures through December 31, 2000 are reflected; and will be more evenly reflected during the fiscal year. As the year progresses, the variance between revenues and expenditures will decrease and will reflect a more appropriate operating surplus or deficit.

Significant trends and variances for the six months are summarized as follows:

- Accounts receivable increased about \$9.3 million as compared to December 31, 1999. This is attributable to an increase in the receivable from federal and state granting agencies in the amount of about \$6.7 million, an increase in short term capital projects financing receivable in the amount of about \$1.6 million, and an increase in student accounts receivable in the amount of about \$1 million. Had the University been allowed to request the reimbursement from the federal and state agencies in the amount of \$6.7 million above, that amount would have been reflected in cash instead of receivables.
- The investment in capital assets has increased about \$8.8 million since December 31, 1999. This increase relates to the Breckinridge Hall renovation (about \$6.0 million), phone switch and computers (about \$1.9 million) and internally managed projects such as Jayne Stadium turf replacement, elevators, roof repairs, etc. (about \$2.1 million) less estimated depreciation, for a net increase of about \$8.8 million.

- The percentage of revenue assessments compared to budget projections appears to be in line with expectations through the second quarter of a fiscal year, considering that most tuition, fee and housing revenues had been billed as of December 31, 2000. Budgets for the major revenue categories of tuition and housing were based on projections of enrollment and residence hall occupancy. Fall semester projections for both these categories were met.
- Expenditure trends also appear to be proceeding according to the budget plan, considering normal cyclical and timing differences.

MOREHEAD STATE UNIVERSITY

Statements of Net Assets December 31, 2000 and 1999

ASSETS	2000	1999
Cash	\$12,805,340	\$22,070,627
Investments in US government obligations-at cost	8,339,161	7,777,777
Accounts Receivable	18,009,690	8,659,807
Inventories	1,861,365	1,710,975
Notes Receivable	3,549,528	3,335,580
Land, Buildings, Equipment and Library Collection- net of depreciation	<u>95,012,806</u>	<u>86,234,041</u>
TOTAL ASSETS	<u>\$139,577,890</u>	<u>\$129,788,807</u>
LIABILITIES AND NET ASSETS		
Liabilities		
Accounts payable	\$564,275	\$362,992
Accrued salaries and other liabilities	1,374,412	621,646
Unearned revenues-state and federal grants	351,931	794,958
Deposits	1,006,320	728,439
Accrued vacation pay	1,344,388	1,311,689
Bonds and notes payable	<u>30,858,721</u>	<u>31,688,512</u>
Total Liabilities	<u>\$35,500,047</u>	<u>\$35,508,236</u>
Net Assets		
Capital	\$64,154,085	\$54,545,529
Non-capital		
Restricted	10,968,701	14,395,023
Non-restricted	<u>28,955,057</u>	<u>25,340,019</u>
Total Net Assets	<u>\$104,077,843</u>	<u>\$94,280,571</u>
TOTAL LIABILITIES AND NET ASSETS	<u>\$139,577,890</u>	<u>\$129,788,807</u>
ANALYSIS OF NON-RESTRICTED FUND BALANCE		
Allocations		
Working Capital		
Accounts Receivable	\$11,192,506	\$8,609,230
Inventories	1,861,365	1,710,975
Cashier's Operating Funds	105,000	105,000
Budgeted Fund Balance-Capital Projects	4,844,967	2,595,027
Budgeted Fund Balance-Non-capital Projects	<u>2,425,630</u>	<u>2,506,600</u>
Total Working Capital	<u>\$20,429,468</u>	<u>\$15,526,832</u>
Funded Reserves	<u>\$931,073</u>	<u>\$1,714,900</u>
Total Allocated Fund Balance	<u>\$21,360,541</u>	<u>\$17,241,732</u>
Unallocated Fund Balance	<u>7,594,516</u>	<u>8,098,287</u>
Total Unrestricted Fund Balance	<u>\$28,955,057</u>	<u>\$25,340,019</u>

See Attached Notes To Balance Sheets - Entity Wide Perspective

**NOTES TO STATEMENTS OF NET ASSETS
MOREHEAD STATE UNIVERSITY
DECEMBER 31, 2000 AND 1999**

1. These Statements of Net Assets include the unrestricted current funds, restricted current funds, endowment funds, and plant funds of the University. Agency funds held for others are not included.
2. Accounts receivable are shown net of allowance for uncollectible student accounts of \$224,532 at December 31, 2000, and \$188,397 at December 31, 1999. Also included in this category is the sum of \$9,525,986 receivable from federal and state grant agencies at December 31, 2000 and \$2,838,727 at December 31, 1999.
3. Notes receivable represent balances owed the University from borrowers who have participated in the National Direct Student Loan Program and the Nursing Student Loan Program. The balances are presented net of allowances for uncollectible accounts in the amount of \$157,699 at December 31, 2000 and \$147,779 at December 31, 1999.
4. Accumulated depreciation on buildings and equipment was \$84,221,106 at December 31, 2000 and \$81,641,857 at December 31, 1999.
5. Accrued salaries and other liabilities include amounts due for withheld and matching portions of payroll taxes and estimated claims payable but unsubmitted to the University's health insurance program.
6. Unearned revenues from federal and state grants represent amounts received but not expended at the balance sheet dates.
7. Bonds and notes payable include both the current and long-term portions of amounts borrowed to finance the purchase of plant assets.
8. The capital portion of the net assets balance is the equity the University has in land, buildings, equipment, and library holdings.
9. Restricted net assets include the fund balances of the restricted current funds, endowment funds, loan funds, and expendable plant funds.

MOREHEAD STATE UNIVERSITY
Unrestricted Current Funds
Statements of Revenues, Expenditures and Changes in Fund Balance
For the Six Months Ended December 31, 2000 and 1999

	2000-2001			1999-2000		
	Amended Budget	Actual	Percent of Actual to Budget	Amended Budget	Actual	Percent of Actual to Budget
REVENUES						
Educational and General						
Tuition and Fees	\$ 21,798,200	\$20,655,923	94.76%	\$ 21,186,330	\$ 19,161,700	90.44%
Gov't Appropriations	40,326,200	23,844,799	59.13%	39,403,232	21,125,251	53.61%
Unrestricted Gifts	0	0		0	0	
Indirect Cost Reimbursement	306,492	148,189	48.35%	252,500	181,859	72.02%
Sales & Services of Ed. Activities	1,031,176	723,865	70.20%	971,735	662,311	68.16%
Other Sources	1,596,276	1,116,640	69.95%	1,571,937	945,069	60.12%
Budgeted Fund Balance - E & G	5,759,497	0		4,341,900	0	
Total Educational and General	<u>\$ 70,817,841</u>	<u>\$46,489,416</u>	<u>65.65%</u>	<u>\$ 67,727,634</u>	<u>\$ 42,076,190</u>	<u>62.13%</u>
Auxiliary Enterprises						
Housing	\$ 7,857,400	\$ 5,752,153	73.21%	\$ 6,651,027	\$ 5,241,986	78.81%
University Store	2,925,000	1,876,222	64.14%	2,825,000	1,737,450	61.50%
Food Services	594,000	350,716	59.04%	590,000	299,926	50.83%
Other	170,000	106,980	62.93%	159,600	113,220	70.94%
Total Auxiliary Enterprises	<u>\$ 11,546,400</u>	<u>\$ 8,086,071</u>	<u>70.03%</u>	<u>\$ 10,225,627</u>	<u>\$ 7,392,582</u>	<u>72.29%</u>
TOTAL REVENUES	<u>\$ 82,364,241</u>	<u>\$54,575,487</u>	<u>66.26%</u>	<u>\$ 77,953,261</u>	<u>\$ 49,468,772</u>	<u>63.46%</u>

MOREHEAD STATE UNIVERSITY
Unrestricted Current Funds
Statements of Revenues, Expenditures and Changes in Fund Balance
For the Six Months Ended December 31, 2000 and 1999

	<u>2000-2001</u>			<u>1999-2000</u>		
	<u>Amended Budget</u>	<u>Actual</u>	<u>Percent of Actual to Budget</u>	<u>Amended Budget</u>	<u>Actual</u>	<u>Percent of Actual to Budget</u>
EXPENDITURES AND TRANSFERS BY DIVISION						
Educational & General						
President & Administration	\$ 1,360,839	\$ 686,990	50.48%	\$ 1,264,039	\$ 643,702	50.92%
University Relations	1,355,182	632,292	46.66%	1,360,036	612,184	45.01%
Administration & Fiscal Services	10,837,600	5,649,839	52.13%	11,011,751	5,351,322	48.60%
Student Life	10,691,203	7,330,345	68.56%	10,134,457	6,940,574	68.48%
Academic Affairs	36,492,514	15,435,164	42.30%	35,296,255	14,931,676	42.30%
Other	10,127,024	5,472,796	54.04%	8,366,089	2,531,084	30.25%
Total Educational & General	<u>\$ 70,864,362</u>	<u>\$35,207,426</u>	<u>49.68%</u>	<u>\$ 67,432,627</u>	<u>\$ 31,010,542</u>	<u>45.99%</u>
Auxiliary Enterprises						
Housing	\$ 8,011,526	\$ 2,846,095	35.53%	\$ 6,741,367	\$ 2,159,985	32.04%
University Store	2,641,329	1,647,186	62.36%	2,587,310	1,903,053	73.55%
Food Services	426,681	203,532	47.70%	725,886	471,109	64.90%
Other	420,342	190,508	45.32%	466,071	219,753	47.15%
Total Auxiliary Enterprises	<u>\$ 11,499,878</u>	<u>\$ 4,887,321</u>	<u>42.50%</u>	<u>\$ 10,520,634</u>	<u>\$ 4,753,900</u>	<u>45.19%</u>
TOTAL EXPENDITURES AND TRANSFERS BY DIVISION	<u>\$ 82,364,240</u>	<u>\$40,094,747</u>	<u>48.68%</u>	<u>\$ 77,953,261</u>	<u>\$ 35,764,442</u>	<u>45.88%</u>
NET CHANGE IN FUND BALANCE		<u>\$14,480,740</u>			<u>\$ 13,704,330</u>	

MOREHEAD STATE UNIVERSITY
Unrestricted Current Funds
Budget Amendments
For the Period October 1, 2000 to December 31, 2000

Description	Opening Budget	Amended Budget As Of 10/01/2000	Adjustments	Amended Budget As Of 12/31/2000
Revenues and Other Additions				
Tuition and Fees	\$ 21,798,200	\$ 21,798,200	\$ -	\$ 21,798,200
Government Appropriations	40,326,200	40,326,200	-	40,326,200
Indirect Cost Reimbursement	300,000	300,000	6,492	306,492
Sales and Services of Ed. Activities	860,400	915,600	115,576	1,031,176
Other Sources	1,360,400	1,474,692	121,584	1,596,276
Budgeted Fund Balance - E&G	5,392,500	5,333,646	425,851	5,759,497
Auxiliary Enterprises	10,035,300	10,035,300	-	10,035,300
Budgeted Fund Balance - AUX	1,211,100	1,211,100	300,000	1,511,100
Total Revenues and Other Additions	\$ 81,284,100	\$ 81,394,738	\$ 969,503	\$ 82,364,241
Expenditure Authorizations by Division				
Educational & General				
President & Administration	\$ 1,231,251	\$ 1,316,226	\$ 44,613	\$ 1,360,839
University Relations	1,227,548	1,292,370	62,812	1,355,182
Administration & Fiscal Services	10,482,681	10,708,043	129,557	10,837,600
Student Life	10,836,618	10,708,709	(17,506)	10,691,203
Academic Affairs	35,693,330	36,083,350	409,165	36,492,515
Debt Service & Mandatory Transfers	3,560,872	3,526,611	-	3,526,611
Other	6,836,800	6,257,163	343,250	6,600,413
Total Educational & General	\$ 69,869,100	\$ 69,892,472	\$ 971,891	\$ 70,864,363
Auxiliary Enterprises				
Administration & Fiscal Services	\$ 7,018,758	\$ 7,046,072	\$ (9,418)	\$ 7,036,654
Student Life	724,142	784,094	7,030	791,124
Debt Service	2,261,000	2,261,000	-	2,261,000
Other	1,411,100	1,411,100	-	1,411,100
Total Auxiliary Enterprises	\$ 11,415,000	\$ 11,502,266	\$ (2,388)	\$ 11,499,878
Total Expenditure Authorizations	\$ 81,284,100	\$ 81,394,738	\$ 969,503	\$ 82,364,241

MOREHEAD STATE UNIVERSITY
Unrestricted Current Funds
Budget Amendments
For the Period October 1, 2000 to December 31, 2000
Notes of Significant Adjustments to Revenue and Other Additions

Sales & Services of Educational Activities

Unbudgeted revenue allocated during the second quarter ending December 31, 2000 totaled \$115,576.

- Unbudgeted EAF (Eagle Athletic Fund) support from the Morehead State University Foundation, Inc. totaled \$57,813. The funds were budgeted in various athletic programs.
- Unbudgeted revenue from guarantee income for the football program totaled \$10,000.
- An additional \$42,046 was allocated from English Language Center revenue to fund expenditures for that program.

Other Sources

Budget allocations from other sources increased by \$121,584 during the second quarter ending December 31, 2000.

- Unbudgeted support from the Morehead State University Foundation, Inc. totaled \$74,405
 - WMKY budgeted \$28,413
 - Most of the remaining funds were budgeted within the Division of Academic Affairs for various academic programs.
- Other miscellaneous sources totaled \$15,665. Most of these funds were budgeted within the Division of Academic Affairs for various academic programs.
- The Morehead State University Foundation, Inc. increased funding to the University by \$19,500 in support of the telegiving program in the Office of Development & Alumni Relations.

Budgeted Fund Balance – E&G

During the second quarter ending December 31, 2000, \$425,851 was allocated from fund balance for E&G purposes.

- \$370,818 was allocated to numerous medical insurance accounts in all divisions. MSU's medical insurance contribution rate per month per full-time employee increased from \$140 to \$210 effective January 1, 2001.
- \$42,033 was allocated to cover expenses related to the transitioning of the NASA Satellite Tracking System.
- \$13,000 was allocated to cover ADA renovations.

Budgeted Fund Balance – Auxiliary

During the second quarter ending December 31, 2000, \$300,000 was allocated from fund balance to fund residence hall repairs in East Mignon Hall, Cooper Hall, Wilson Hall and Alumni Tower.

MOREHEAD STATE UNIVERSITY
Budget Amendments Greater Than \$200,000
For the Period October 1, 2000 to December 31, 2000

<u>From: Division/ Budget Unit</u>	<u>To: Division/ Budget Unit</u>	<u>Amount</u>	<u>Description</u>
BUDGETED FUND BALANCE	All Divisions	\$ 370,818	Allocation of funds for increase in medical insurance contribution rate from \$140 to \$210 per month per full-time employee, effective January 1, 2001.
BUDGETED FUND BALANCE	Housing Transfers	\$ 300,000	Allocation of funds for residence hall repair.

MOREHEAD STATE UNIVERSITY
Capital Outlay Status Report
Agency Funds
For the Period of October 1, 2000 to December 31, 2000

	<u>Estimated Project Scope</u>	<u>Completion Date</u>	<u>Project Status</u>
I Equipment Purchases Greater than \$50,000			
Residence Halls Furnishings:			
Alumni Tower	\$ 412,000	August, 2000	Completed
Cartmell Hall	588,000		Scheduled
Telephone Switch Replacement	1,100,000		In Progress
Football Scoreboard	100,000	October, 2000	Completed
	<u>\$ 2,200,000</u>		
II Capital Construction Projects/ Land Acquisitions Greater than \$200,000			
1998-00 Deferred Maintenance and Government Mandates Pool			
(Commonwealth of Kentucky is funding 50 percent of the total cost of the projects)			
Elevator Upgrades/Installation (RH, LC, CY)	\$ 350,000		In Progress
Fire Alarm Upgrades/Replacement	150,000		In Design
Mechanical System Replacements (AA, ADUC)	250,000		In Progress
Window Replacement (BM)	150,000		In Design
Window Replacement (CB)	300,000	July, 2000	Completed
Window Replacement (LC)	150,000	August, 2000	Completed
Dam Restoration	800,000		In Design
	<u>\$ 2,150,000</u>		
Lakewood Terrace Family Housing Complex	\$ 4,000,000		In Design
MSU Network Project Phase V (FY 00/01)	\$ 463,940		In Progress
Protect Investment in Plant - Auxiliary Facilities			
Structural Repairs - Floor & Ceiling Tile, Doors/Locks, Wall Repairs (AT, CH)	\$ 801,000		In Progress
Mechanical Repairs/Replacements - Cooling Tower, Fan Coils (MT, CO)	220,000	August, 2000	Completed
	<u>\$ 1,021,000</u>		

MOREHEAD STATE UNIVERSITY
Capital Outlay Status Report
Agency Funds
For the Period of October 1, 2000 to December 31, 2000

	<u>Estimated Project Scope</u>	<u>Completion Date</u>	<u>Project Status</u>
Residence Halls - Sprinkler Installation & Other Fire Safety Projects			
Project II - 2000	\$ 1,580,000		In Progress
Project III - 2001	980,000		In Design
Project IV - 2002	1,700,000		Scheduled
Project V - 2003	812,000		Scheduled
	<u>\$ 5,072,000</u>		
2000-02 Capital Renewal and Maintenance Pool			
(Commonwealth of Kentucky is funding 50 percent of the total cost of the projects)			
Life Safety - E&G	\$ 200,000		In Design
1990 Clean Air Act Compliance - E&G			
Claypool-Young Chiller Replacement	200,000	August, 2000	Completed
Ginger Hall, Reed Hall, Laughlin Chiller Replacement	800,000		In Design
Roof Replacement - Button, Rice Maint. Bldg., Claypool-Young, Combs	550,000		In Design
Mechanical Systems Replacements	570,000		In Design
Ceiling Tile Replacement (Radar & Laughlin)	100,000		In Design
Window Replacement (Radar)	150,000		In Design
Gas Fired Boiler Installation	380,000		In Design
Central Campus Reconstruction	300,000		Scheduled
	<u>\$ 3,250,000</u>		
Residence Hall Repairs (ME, CO, WI, AT)	\$ 300,000		In Design

**AMEND PERSONNEL POLICIES
PAC-17, SABBATICAL LEAVES OF
ABSENCE AND PAC-28, EDUCATIONAL
LEAVES OF ABSENCES**

**BOR (VIII-A-9)
March 3, 2001**

Background:

According to the Kentucky Teachers' Retirement System (KTRS) interpretation of the Kentucky Revised Statutes, Morehead State University must treat sabbatical and educational leaves of absence the same as leaves of absence without pay, with regards to retirement contributions. Subsequently, employees participating in sabbatical or educational leaves of absence are not eligible to make retirement contributions nor is Morehead State University eligible to make retirement contributions on the employees' behalf.

This is contradictory to our current personnel policies defining retirement credit eligibility for sabbatical and educational leaves of absence participants. On November 30, 2000, President Eaglin granted executive approval to revise PAC-17 and PAC-28 to bring policies into compliance with the KTRS interpretation of the statute.

University Legal Counsel has reviewed the proposed amendments. Members of the University Council, Staff Congress and Faculty Senate were afforded opportunity for comment.

Recommendation:

That the Board of Regents amend PAC-17, Sabbatical Leave of Absence and PAC-28, Educational Leave of Absence.

Policy: PAc-17

Subject

Sabbatical Leave of Absence

Approval Date: 07/01/85

Revision Date: 03/10/97

Revision Date: 03/03/2001

PURPOSE:

To provide a leave of absence with pay for research and independent study, professional enhancement such as institutes and workshops, and travel related to the applicant's professional interests. Sabbatical leaves will not be granted for the purpose of pursuing an advanced degree.

A sabbatical is a privilege which may be granted to an individual who has demonstrated by publication, teaching, exhibition or performance an above average ability in teaching, professional achievement, and service.

PRINCIPLES:

The privilege of a sabbatical leave of absence may be granted to an individual who has demonstrated through annual performance evaluation of teaching effectiveness, professional achievement, and service, an above-average potential to provide tangible contributions to the University upon completion of this sabbatical leave of absence.

All requests for sabbatical leave will be considered. However, budgetary constraints that may vary from year to year may limit the number of leaves granted.

ELIGIBILITY:

Full-time, tenured (exception 3.b below) faculty members and academic administrators who hold tenure in an academic rank and who meet the following conditions may apply for a sabbatical leave of absence:

1. Rank of Assistant Professor, Associate Professor, or Professor.
2. A minimum of six consecutive contract years of full-time service.
3.
 - a. Tenured (if they are paid through the University) or
 - b. If paid with funds provided by an agency other than the University, and in a non-tenured position, they have served at least seven years in one of the ranks listed above. In these

cases, the use of restricted funds for sabbatical leaves must be allowed by the granting agency.

LIMITATION:

A sabbatical leave will not be granted to an individual who cannot provide two contract years of full-time service to the University following return from the sabbatical leave.

CRITERIA FOR CONSIDERATION:

All of the following factors will be equally considered evaluating an application for sabbatical leave:

1. The potential value of the leave for the professional growth of the applicant.
2. The quality of the applicant's teaching professional achievement, and service.
3. The potential for the enhancement of the University's academic program.
4. The completeness and the specificity of the sabbatical leave application form.

SUBMISSION OF REQUEST FOR SABBATICAL LEAVE:

The faculty member is responsible for submitting the application for a sabbatical leave on a form provided.

Such applications shall be submitted to the department Chair no later than September 15 preceding the fiscal year during which the leave is to begin. A faculty member may submit an application a year in advance.

THE REVIEW PROCESS:

The applications shall be reviewed by a Department Leave Committee (composed of tenured faculty members), and in turn by the Department Chair, the College Dean, the University Professional Development Committee, and the Executive Vice President for Academic Affairs and Dean of Faculty. No person shall be on both departmental leave committee and University Professional Development committees and no applicant for sabbatical leave shall serve on either. The recommendations of each review level shall be recorded and submitted to the next higher level of review.

The University Professional Development Committee will make recommendations, accompanied by brief evaluations of the applications, in writing to the Executive Vice President for Academic Affairs.

At this point the applicants may examine recommendations from all levels of review and, if the recommendations of the Professional Development Committee are negative, may respond to the Executive Vice President in writing.

The Executive Vice President for Academic Affairs will make Recommendations to the President who will make recommendations to the Board of Regents.

Board of Regents.

THE APPROVAL PROCESS:

Sabbatical leave of absence applications which are recommended by the President are sent to the Board of Regents for final approval at its second quarterly meeting.

Applications submitted a year in advance will be reviewed a year in advance. If a sabbatical leave is denied, a new application may be filed.

SALARY PAYMENT

WHILE ON LEAVE:

A person granted a sabbatical leave may receive full monthly base salary

for up to one semester payable over the semester or one-half of the monthly base salary for up to two semesters payable over nine or twelve months. The salary will be based upon that which the person would have received for the academic year had the sabbatical leave not been taken.

FACULTY RIGHTS

DURING THE LEAVE:

The successful applicant will retain the following rights during time spent on a sabbatical leave of absence:

1. The recipient may share in the salary increases awarded by the University.

2. If the recipient is a KTRS participant, retirement contributions will not be withheld during time spent on sabbatical nor will the University make retirement contributions on their behalf. However, sabbatical recipients will be eligible to purchase service credit for the period of time spent on a sabbatical according to the guidelines set forth by the KTRS. If the sabbatical participant chooses to purchase the service credit, the University will pay the difference between the service credit purchase price and the amount that would have otherwise been deducted from the recipient's pay if contributions had been allowed by KTRS. If the sabbatical

participant chooses not to purchase the service credit, the University will make no contributions on the participant's behalf. Retirement withholdings for non-KTRS sabbatical recipients and contribution by the University on their behalf will continue at the rates in effect before the sabbatical began. Time spent on sabbatical will be credited as active service for retirement purposes. University and faculty contributions will continue on the basis of full salary.

3. The leave period counts toward requirement for promotion.
4. The recipient will return to the former position with the University unless otherwise agreed to by the employer and employee.
5. The recipient who is a member of a University insurance coverage plan will continue to receive coverage at the same rates while on sabbatical leave. This applies to dependents as well.

FACULTY
OBLIGATIONS
REGARDING THE
LEAVE:

The successful applicant will accept the following obligations regarding the sabbatical leave of absence:

1. After the leave expires, the recipient shall return to service at Morehead State University for at least two years or repay the amount of compensation received while on leave. If the recipient of sabbatical leave returns to the University for a period less than two years, the amount repayable shall be prorated in relation to the amount of return service given. For example, if he/she returns for one year, the repayable amount shall be one-half the compensation received while on leave.
2. An agreement will be drawn up between the recipient and the University confirming the approved sabbatical plan and the recipient's rights and obligations. The recipient shall make progress reports to the appropriate college dean at the mid-point and to both the dean and the Executive Vice President for Academic Affairs at the end of the sabbatical leave. Failure of the recipient to comply with the contracted provisions of the sabbatical will result in cancellation of the sabbatical, repayment of all salaries received as a result of being granted the leave, and forfeiture of all rights specified in the sabbatical leave agreement.

3. Since the sabbatical leave is granted for academic purposes, paid employment is not an acceptable basis for such a leave. However, it is recognized that some forms of remuneration as well as scholarships, fellowships, and other honorary stipends may be a legitimate component of an academic experience. Therefore, a limited amount of income is allowable as part of the sabbatical experience. The determination of any limits to be placed on income earned during a sabbatical leave should be made by the University Professional Development Committee as a part of its review of the application. Recommendations as to such limits can be made at all other levels of review.

SUBSEQUENT
SABBATICAL
LEAVE:

An individual becomes eligible to apply for a subsequent sabbatical leave under the terms and conditions specified above, provided that a minimum of six contract years of full-time service has elapsed since ending a previous sabbatical leave and that the applicant has met all obligations of the previous sabbatical leave, including submission of the final report to the Executive Vice President for Academic Affairs.

EXCEPTIONS:

The President has the option of making exceptions to the above stated policy when deemed in the best interest of the University.

Policy: PAc-28

Subject

Educational Leave of Absence

Approval Date: 11/17/89

Revision Date: 11/22/91

Revision Date: 3/03/2001

PURPOSE:

1. To provide a leave of absence for advanced study leading to the earning of a degree in the applicant's teaching field or a related field.
2. To provide a leave of absence for retraining and preparation for a specific vacancy.

PRINCIPLES:

The privilege of an educational leave of absence may be granted to an individual who has demonstrated through annual performance evaluation of teaching effectiveness, professional activities, and service, an above-average potential to provide tangible contributions to the University upon completion of this educational leave of absence.

All requests for educational leave will be considered. However, budgetary constraints that may vary from year to year may limit the number of leaves granted.

ELIGIBILITY:

Full-time faculty members, Standing I appointments, who meet the following conditions are eligible to apply for an educational leave of absence:

1. Rank of instructor, assistant professor, associate professor, or professor.
2. A minimum of three consecutive contract years of full-time teaching. Time spent on any type of leave of absence is not counted towards full-time service for the purposes of an educational leave.

LIMITATION:

An educational leave will not be granted to an individual who cannot provide two contract years of full-time service to the University following return from the educational leave.

CRITERIA FOR
CONSIDERATION:

The following factors will be considered in evaluating an application for an educational leave of absence:

1. The academic department's/University's need for the faculty member to have additional advanced study.
2. The benefit received by the academic department/University as a result of the faculty member completing additional advanced study.
3. Quality of academic service of the faculty member.

APPLICATION PROCEDURE:

The faculty member is responsible for submitting the application for an educational leave on the form provided. Such applications should be submitted to the department chair by December 15 preceding the fiscal year during which the leave is to begin.

REVIEW PROCESS:

The applications shall be reviewed by a Departmental Leave Committee (composed of tenured faculty members), the Department Chair, the College Dean, the University Faculty Leave Committee, and the Vice President for Academic Affairs and Dean of Faculty. No person shall serve on both departmental and University leave committees. The recommendations of each review level shall be recorded and submitted to the next higher level of review.

Recommendations will be made by the Vice President for Academic Affairs and Dean of Faculty to the President.

Educational leave of absence applications which are recommended by the President shall be presented to the Board of Regents for final approval at its third quarterly meeting.

SALARY PAYMENT:

A person granted an educational leave will receive full monthly base salary for up to one semester payable over the semester or one-half of the monthly base salary for up to two semesters payable over nine, ten, or twelve months. The salary will be based upon that which the person would have received for the academic year had the educational leave not been taken. After the Educational Leave of Absence the faculty member is eligible to apply for leave of absence without pay.

FACULTY RIGHTS DURING THE LEAVE:

The successful applicant will retain the following rights during time spent on an educational leave:

1. The recipient who is a member of a University insurance plan will continue to receive coverage at the same rates while on an educational leave. This applies to dependents as well.

2. If the recipient is a KTRS participant, retirement contributions will not be withheld during time spent on educational leave nor will the University make retirement contributions on their behalf. However, educational leave recipients will be eligible to purchase service credit for the period of time spent on educational leave according to the guidelines set forth by the KTRS. If the educational leave participant chooses to purchase the service credit, the University will pay the difference between the service credit purchase price and the amount that would have otherwise been deducted from the recipient's pay if contributions had been allowed by KTRS. If the educational leave participant chooses not to purchase the service credit, the University will make no contributions on the participant's behalf. Retirement withholdings for non-KTRS educational leave recipients and contributions by the University on their behalf will continue at the rates in effect before the educational leave began. Time spent on educational leave will be credited as active service for retirement purposes. University and faculty contributions will continue on the basis of full salary.
3. The faculty member may request to credit one academic year towards the probationary period for tenure (if in a tenure track position) and length of service in rank for promotion. This request must be made on the Educational Leave of Absence Application Form. Leave not counted towards probationary time will be considered a break in continuous service. Non-tenured faculty members returning from leave not counted towards tenure will be in probationary status with zero years credit unless credit for, up to three years, previous experience at Morehead State University is applied upon return to active employment as specified in PAc-27 (Probationary or Pre-tenure).
4. The recipient will return to the former position with the University unless otherwise agreed to by the employer and employee.

**FACULTY
OBLIGATIONS:**

The recipient will have the following obligations regarding the educational leave:

1. The recipient of an educational leave will submit the following reports to the appropriate college dean:
 - a. An interim report will be submitted at the completion of each semester/quarter. This report will consist of a description of course work completed and credit earned.

- b. A final report will be submitted at the completion of the educational leave consisting of transcripts of courses completed, description of how the leave was spent, and status of degree completion.
2. An agreement will be drawn up between the recipient and the University stating the approved course work during the educational leave and the recipient's rights and obligations. Failure of the recipient to comply with the contractual provisions of the educational leave will result in cancellation of the leave and forfeiture of all rights specified in the leave agreement.
3. After the educational leave expires the recipient shall return to service at Morehead State University for two years or repay the amount of salary received while on leave. If the recipient of an educational leave returns to the University for a period less than the time contracted, the amount of salary to be repaid shall be prorated in relation to the amount of return service given.

SUBSEQUENT LEAVES
OF ABSENCE:

An individual becomes eligible to apply for a subsequent educational leave of absence under the terms and conditions specified.

EXCEPTIONS:

The President has the option of making exceptions to the above stated policy when deemed in the best interest of the University.

Background:

The current Great Seal of Morehead State University was adopted in 1966 as a revision of the previous Great Seal of Morehead State College, which was created in 1948 and revised in 1954. In its current form, the University Seal is not legally protected from unauthorized use. The design was not registered initially with the U.S. Patent and Trademark Office as a trademark or servicemark of the University. Neither does it accurately reflect the founding date, 1887, of the predecessor institutions of Morehead State University. Moreover, the current seal conflicts with modern graphic design standards in that it is overstylized with the ruffled outer edge and the short lines in the center circle representing radiating light.

Analysis:

Adoption of this revision of the current design will make our official publications and documents consistent with the correct founding date which already appears on major campus signage. By substantially changing the existing design, we will be able to register it as a new mark. Costs associated with the change will be minimal, including new dies for embossing instruments used to certify official documents and for a minor change of the Great Seal displayed on the Howell-McDowell Administration Building.

Recommendation:

That the Board of Regents adopt the proposed revision of the Great Seal of the University as presented herein and authorize its registration as a protected mark with the U.S. Patent and Trademark Office, effective immediately.

NAMING OF FACILITY

Background:

The naming of physical facilities at the University requires a recommendation from the President and approval of the Board of Regents. Traditionally, naming of facilities has been a permanent means of recognizing those who have provided outstanding support, financial or otherwise, to the institution or given exemplary personal service over a sustained period. The MSU Foundation, Inc., acquired property adjacent to our SunnyBrook Golf Course in 1999 which now is under a lease-purchase agreement with the University. One of the primary objectives for obtaining the property was to provide space for the construction of game and practice facilities for our women's soccer team. As a result of the personal generosity of James and Linda Booth of Inez, Ky., more than \$150,000 worth of excavation and grading improvements were made to the site at no cost to the University. The Booths also were among the first donors to establish an academic endowment for the benefit of the University and they are the luncheon hosts each fall of our new faculty tour of East Kentucky. In addition, they provided financial support for the expansion of the broadcast signal of WMKY Radio in Martin and surrounding counties. In recognition of the generous and ongoing support of the University by these outstanding citizens, it would be fitting to name the new soccer complex in their honor.

Recommendation:

That the Board of Regents approve naming of the new soccer complex in recognition of James and Linda Booth of Inez, Ky.

**STUDENT GOVERNMENT ASSOCIATION
CONSTITUTION AMENDMENTS**

**BOR (VIII-A-12)
March 3, 2001**

Background:

The executive branch of the Student Government Association has included a vice president for programming, who is responsible for "administering to and proposing all special programs or events to the Executive Committee and Congress." Concerts and other special programs are now being coordinated through the Student Activities Council. In addition, there is a need for a member of the executive branch to be responsible for administering special programs related to student issues or concerns. In a special election on February 6, 2001, the students voted to establish the SGA Office of Vice President for Campus Involvement and to eliminate the SGA Office of Vice President for Programming, with programming responsibilities given to the Student Activities Council.

ARTICLE IV - THE EXECUTIVE BRANCH

Section 1. Officers

Change title of vice president for programming to vice president for campus involvement.

Section 5. Duties of Officers

- F. The vice president for programming campus involvement shall be responsible for administering to and proposing special programs or events to related to student issues or concerns as directed by the Executive Committee and Congress.

Recommendation:

That the Board of Regents ratify the Student Government Association Constitution amendments.

REPORT ON LAKEWOOD PROJECT

BOR (VIII-B-2)
March 3, 2001

The Board of Regents approved the \$4 million Lakewood Terrace Family Housing Project in November 1999. The project will be funded with Housing and Dining Bonds that will be sold in June/July in conjunction with the bonds for Phase III of the sprinkler project.

Luckett & Farley was selected as the professional design team to assist the State Finance and Administration Cabinet and Morehead State University with the project.

The design/build team selection process began in February with receipt of twelve proposals. On February 19, the evaluation committee, composed of representatives from Morehead State University and the Division of Facilities Management, selected three design/build teams from the twelve, to present a final design proposal and their bid. The three selected were Packs, Inc., CMW/Codell, and Messer & Sons Construction Co. These firms will have 30 days to prepare their design and bid and submit to the State. An evaluation of each firm's qualifications, design and bid are scheduled to be completed by the end of March. Construction is scheduled to be completed within nine months from award of contract.

REAL PROPERTY ACQUISITION**Background:**

In accordance with policies approved by the Board of Regents on April 30, 1993 and September 17, 1999, related to real property management, the President may initiate the acquisition of real property included in the approved Campus Master Plan.

REAL PROPERTY ACQUISITION

PROPERTY LOCATION	OWNER(S)	APPRAISAL PRICE	ACQUISITION PRICE
Lots thirty (30) and thirty-one (31) in Section D of College Subdivision (Shafer Addition)	Fred McCarty, David Little, Kevin Legg, Michael Hubbard, Michael McKinney, and LaDonna McKinney	\$60,000.00	\$60,000.00
Property Description: Lots thirty (30) and thirty-one (31) are presently the location of the "old Theta Chi House." Each lot being 25 feet in width and 115 feet in depth.			

The University acquired lots thirty-two (32) and thirty-three (33) in August of 1996. In January, 2001, we obtained an appraisal for lots thirty (30) and thirty-one (31) estimating the value of the property to be \$60,000.00. This appraisal was equal to the asking price of \$60,000.00.

Exhibit A is the Campus Master Plan site drawing and reflects the location of the property acquired and the two lots previously acquired by the University.

- The property is included in the 1997 Campus Master Plan.
- In accordance with state procurement regulations, an independent appraisal was obtained in January, 2001.
- We believed the value established by the appraisal to be reasonable.
- The property owners were willing to sell at the appraised value.
- The house on the property is to be razed.
- The attached Campus Master Plan site drawing reflects the location of the property.
- The closing date was January 23, 2001 and recorded on January 24, 2001.

2/07/2001

REPORT OF PRIVATE GIVING
JULY 1, 2000 THROUGH JANUARY 31, 2001

BOR (VIII-B-4)
March 3, 2001

Private gifts to Morehead State University for this period are as follows:

Giving Summary

Scholarships	\$ 32,245.00
Endowments	\$ 553,702.00
Gifts-in-kind	\$ 120,800.00
Eagle Athletic Fund	\$ 85,819.00
Unrestricted	\$ 56,766.00
Colleges, Departments, and Other Units	<u>\$ 119,712.00</u>

Sub-Total	\$ 969,044.00
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State Matching Funds	<u>\$ 1,475,000.00</u>
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TOTAL	\$ 2,444,044.00
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REPORT OF MAJOR GIFTS SINCE SEPTEMBER 1, 2000 THROUGH JANUARY 31, 2001	BOR (VIII-B-5) March 3, 2001
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The following is a list of gifts of \$10,000 or more that have been received and/or recorded since September 1, 2000, on behalf of the University in accordance with terms of the operating agreement between Morehead State University and the MSU Foundation, Inc.

<u>Amount</u>	<u>Donor(s)</u>	<u>Type or Purpose</u>
\$10,000	Citizens Bank	Endowment
\$10,000	Gregory D. Palmer	Endowment
\$11,250	David McEwen	Gift-in-Kind (livestock)
\$14,899	Teresa J. Eigler	Gift-in-Kind (Other)
\$16,000	Tom T. Hall Enterprises	Gift-in-Kind (Equipment)
\$21,049	Anonymous	Endowment
\$25,000	Robert L. Coleman	Endowment
\$25,000	Elmer R. Smith	Endowment
\$25,000	Elmer R. Smith	Endowment
\$28,700	K-MEC	Gift-in-Kind (Equipment)
\$150,113	Addie Rader Estate	Scholarship
\$177,581	Ruth L. Shannon	Endowment
<hr style="width: 20%; margin-left: 0;"/>		
\$514,592		

**APPROVE CAPITAL PROJECT RELATED TO
SPACE SCIENCE CENTER -
ANTENNA RELOCATION PHASE**

**BOR (IX-A)
March 3, 2001**

Background:

A joint NASA-Morehead State University-Kentucky National Science Foundation Experimental Program to Stimulate Competitive Research (NSF EPSCoR) venture has resulted in the approval to move operations of an 18-meter NASA-Goddard satellite tracking station to Morehead State University. The satellite tracking station, located at the NASA-Wallops Island, Virginia facility, served as the telecommunications station for the NASA IUE (International Ultraviolet Explorer) satellite until 1997.

The total project scope is estimated to cost \$4.2 million. The project will result in the instrument being relocated and becoming a part of the University's Astrophysics Laboratory, where it will be maintained for future NASA missions and simultaneously provide a replacement antenna for the MSU Radio Telescope. It is expected that the instrument will be contracted 50 percent of the time to the NASA Satellite Tracking Program. The remainder of the time the instrument will be used in the University's astrophysics program.

We have received an initial \$2 million federal earmark for the project and are seeking the remainder of the funding primarily through other federal grant processes.

The antenna's current replacement value is \$20.1 million. It stands nine stories tall, and combined with the MSU Astrophysics Laboratory receiver system will literally represent a world-class research facility and provide state-of-the-art opportunities for students in astrophysics, satellite telecommunications, electrical engineering and software development. In addition, the gain of the large 18-meter antenna combined with the sensitivity of the University's current radio telescope receiver will provide a powerful telescope for research in radio frequency astrophysics.

Since the capital project was not conceived or requested by the University at the time the 2000-2002 Biennial Budget was being prepared, interim legislative approval is required in order for the project to be advanced and contracts awarded. KRS 45.760(14) allows for a capital project, which is not specifically listed in any branch budget bill, to be authorized during the interim budget period if 50 percent or more of the cost is federal funds. Following approval by the Council on Postsecondary Education (CPE) on March 19, the project will be submitted in April to the General Assembly's Capital Project and Bond Oversight Committee.

Engineering, dismantlement, transportation, site development, reassembly and upgrade of the equipment are estimated to cost \$3.45 million. Additionally, sophisticated weather and climatological forecasting equipment and GPS/GIS systems are a component of the project estimated to cost \$750,000. Cost estimates are based on a preliminary study of the antenna project by Associated Engineers, Lexington, Kentucky.

The Finance and Administration Cabinet has established a consulting contract with a Richardson, Texas, based large antenna construction and relocation company to conduct the final feasibility analysis and cost estimates.

The benefits of the project are numerous and far-reaching. Immediate advantages relate to improving MSU's instructional programs and student recruitment and retention. We plan to develop an innovative center for student training in hi-tech disciplines – specifically physics, engineering, and industrial education technology. We plan to employ consultants to identify the instrument's best linkage to an engineering program to meet the greatest needs in our service region.

We also believe that deep space research will certainly enhance the University's recruitment and retention efforts. The MSU Space Science Center/NASA Satellite Tracking Facility will serve as a giant symbol of hi-tech research and education to which the Commonwealth and national communities can relate.

A new support and classroom facility is anticipated and will be a high priority in the University's 2002-2008 capital plan. The construction of new classroom and support space becomes important, as current academic, research, and outreach programs are enhanced and improved and new programs evolve.

New economic development, research, and education outreach programs will be created as a result of this initiative through a joint effort with the University's Institute for Regional Analysis and Public Policy (IRAPP). IRAPP was approved by the CPE as the University's Program of Distinction in 1998. This joint effort will connect research and science to the region and provide the type of telecommunication infrastructure to move Eastern Kentucky into a more competitive environment in the information age economy.

The specific mechanisms to deliver the economic development potential of the science and research infrastructure of this project to the businesses and entrepreneurs throughout our region will evolve through IRAPP. IRAPP faculty will also conduct economic and environmental science research utilizing the GPS and GIS infrastructure. Educational outreach services that interface with K-12 public schools, other postsecondary education institutions, and the Appalachian P-16 Council will likewise evolve with the project.

NEXWOS Weather Stations and GPS Base Stations:

NEXWOS weather stations will be located at the Center for Rural Development in Somerset and in Morehead as part of the project. These stations will provide important scientific meteorological data that will be shared with the NOAA Weather Station in Jackson, Kentucky.

Global Positioning System (GPS) base stations will also be located at the Center for Rural Development and Morehead. The National Geodetic Survey (NGS) network has identified a significant gap in coverage (defined as over 300 miles from a GPS

station) for Eastern Kentucky. These stations provide critical GPS coverage for Eastern Kentucky and will improve weather forecasting and enhance prior warning alerts for the area. The advances in GPS technology will make this a very significant infrastructure investment for both researchers and corporations and will favorably position the region with critical technology for economic development.

Initial Funding:

The initial \$2 million federal earmark is estimated to provide sufficient funding for the preliminary engineering study, roadway construction, dismantlement and transportation costs to the campus and to begin the upgrades necessary to link the antenna to the MSU Astrophysics Laboratory. Additionally, the available funding will allow the acquisition and installation of the GPS base stations and support equipment, NEXWOS stations, and GOS stations.

The remaining funds necessary to complete the antenna phase of the project will be sought in the next federal budget cycle.

ESTIMATE OF TIMETABLES AND COSTS¹

Timetable	Project	Cost
March 2001 – June 2001	□ Engineering and Planning	\$ 42,800.00
July 2001 – December 2003	□ Engineering ²	307,200.00
July 2001 – January 2002	□ Dismantlement and relocation	315,000.00
	□ Site and road development	300,000.00
January 2002 – December 2003	□ Electronic upgrades	1,000,000.00
	□ GPS-GOS station installations	750,000.00
	□ Reconstruction	1,185,000.00
Total Cost		\$3,900,000.00
JANUARY 2004 – ANTENNA FUNCTIONAL		

Recommendation:

That the Board of Regents approve a capital construction project related to the Antenna Relocation Phase of the Space Science Center project.

¹ Total Project Scope of \$4.2 million provides for a \$300,000 contingency.

² Engineering costs are associated with the antenna project only (not the GPS and NEXWOS equipment) and will be part of the single source contract for the project.



OFFICE OF THE PRESIDENT

201 HOWELL-MCDOWELL AD. BLDG.
MOREHEAD, KENTUCKY 40351-1689
TELEPHONE: 606-783-2022
FAX: 606-783-2216

February 12, 2001

Dr. Gordon Davies, President
Council on Postsecondary Education
1024 Capital Drive, Suite 320
Frankfort, KY 40601

**Reference: Morehead State University
Space Science Center – Antenna Relocation Phase**

Dear Dr. Davies:

Thanks in large part to your support, the support of the Governor and his staff and our faculty, a joint NASA-Morehead State University-Kentucky National Science Foundation Experimental Program to Stimulate Competitive Research (NSF EPSCoR) venture has resulted in the approval to move operations of an 18-meter NASA-Goddard satellite tracking station to Morehead State University. The satellite tracking station, located at the NASA-Wallops Island, Virginia facility, served as the telecommunications station for the NASA IUE (International Ultraviolet Explorer) satellite until 1997.

The primary purpose of this correspondence is to seek the Council's approval of a \$4.2 million capital project during this interim biennial budget period. We have received an initial \$2 million federal earmark for the project and are seeking the remainder of the funding primarily through other federal grant processes.

If approved, the project will result in the instrument being relocated and becoming a part of the University's Astrophysics Laboratory, where it will be maintained for future NASA missions and simultaneously provide a replacement antenna for the MSU Radio Telescope. It is expected that the instrument will be contracted 50 percent of the time to the NASA Satellite Tracking Program. The remainder of the time the instrument will be used in the University's astrophysics program.

The antenna's current replacement value is \$20.1 million. It stands nine stories tall, and combined with the MSU Astrophysics Laboratory receiver system will literally represent a world-class research facility and provide opportunities for high-tech research and education for students in astrophysics, satellite telecommunications, electrical engineering and software development. The gain of this large 18-meter antenna combined with the sensitivity of the University's current radio telescope receiver will provide a powerful telescope for research in radio frequency astrophysics.



MSU is an affirmative action equal opportunity educational institution.

Dr. Gordon Davies
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February 12, 2001

In accordance with KRS 45.760(14) a capital project that is not specifically listed in any branch budget bill may be authorized during the interim budget period if 50 percent or more of the cost is federal funds. This project is not authorized in the current Budget of the Commonwealth but funding for the project will be greater than 50 percent federal funds, thus allowing the project to be advanced during the interim. If approved by CPE, the next step is the approval by the Finance and Administration Cabinet and the Capital Projects and Bond Oversight Committee.

Engineering, dismantlement, transportation, site development, reassembly and upgrade of the equipment are estimated to cost \$3.4 million. Additionally, sophisticated weather and climatological forecasting equipment and GPS/GIS systems are a component of the project estimated to cost \$800,000. Cost estimates are based on a preliminary study of the antenna project by Associated Engineers, Lexington, KY. We have been working with the Finance and Administration Cabinet to establish a consulting contract with a Richardson, Texas, based large antenna construction and relocation company to conduct the final feasibility analysis and cost estimates.

The benefits of the project are numerous and far reaching. Immediate advantages relate to improving MSU's instructional programs, and student recruitment and retention. We plan to develop an innovative center for student training in hi-tech disciplines – specifically physics, engineering and industrial education technology. We plan to employ consultants to identify the instrument's best linkage to an engineering program to meet the greatest needs in our service region.

We also believe that deep space research will certainly enhance the University's recruitment and retention efforts. The MSU Space Science Center/NASA Satellite Tracking Facility will serve as a giant symbol of hi-tech research and education to which the Commonwealth and national communities can relate.

A new support and classroom facility is anticipated and will be a high priority in the University's 2002-2008 capital plan. The construction of new classroom and support space becomes important, as current academic, research and outreach programs are enhanced and improved and new programs evolve.

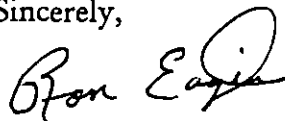
New economic development, research and education outreach programs will be created as a result of this initiative through a joint effort with the University's Institute for Regional Analysis and Public Policy (IRAPP). IRAPP was approved by the CPE as the University's Program of Distinction in 1998. This joint effort will connect research and science to the region and provide the type of telecommunication infrastructure to move Eastern Kentucky into a more competitive environment in the information age economy. The specific mechanisms to deliver the economic development potential of the science and research infrastructure of this project to the businesses and entrepreneurs throughout

Dr. Gordon Davies
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February 12, 2001

our region will evolve through IRAPP. IRAPP faculty will also conduct economic and environmental science research utilizing the GPS and GIS infrastructure. Educational outreach services that interface with K-12 public schools, other postsecondary education institutions, and the P-16 Council will likewise evolve with the project.

Attached is the Capital Request Form for the project. Your favorable review and approval is requested. If you have questions, please let me know.

Sincerely,

A handwritten signature in dark ink, appearing to read "Ron Eaglin", written in a cursive style.

Ronald G. Eaglin
President

Attachment

CC: Mr.. Kevin Flanery
Dr. Ed Ford
William Hintze
Roger Burge
Angela Martin
Sherron Jackson

KENTUCKY BRANCH BUDGET REQUEST
2000-2002 CAPITAL BUDGET REQUEST
FORM CBR-02, CAPITAL PROJECT RECORD

Branch: Executive Branch
Cabinet/Function: Postsecondary Education
Agency: Postsecondary Education
Appropriation Unit: Morehead State University
Project Title: Space Science Center-Antenna Relocation Phase
Project Number:

Cabinet: Agency:	Capital Budget Request	Six Year Capital Plan			
		2000-2001	2001-2002		
	Current Authorization	Requested FY 1999-00	Requested FY 2000-01	Requested FY 2001-02	Requested Total
Fund Source					
Federal Funds			4,200,000		4,200,000
Total Funds			4,200,000		4,200,000
Cost Elements					
Project Design			350,000		350,000
Construction Costs			2,800,000		2,800,000
Movable Equipment/Furniture			750,000		750,000
Contingency Expense			300,000		300,000
Total Cost			4,200,000		4,200,000

PROJECT DOCUMENTATION

Location (County): Rowan
Location (ADD): Gateway

Reauthorization-Regular Capital Project: Is this a currently authorized project which is being requested for reauthorization and/or additional funding? No

(No | Yes, Reauthorization Only | Yes, Additional Funding)

Capital Project Type: New Construction
Primary Need Addressed: Expand Current Service Level
Other Needs Addressed: Providing New Service

Universities Only: Type of Space to be addressed by this project: Educational & General

PROJECT BUDGET

Has this project been reviewed by the Department for Facilities Management?: Yes-further reviews scheduled

Method of Procurement (Acquisition Only): N/A

(Purchase | Lease-Purchase | Other)

Method for Cost Determination: Consulting Engineer

(Facilities Mgmt. | Agency Est. |
Means Const. Data | Other)

Form:	CBR-02	Project Title:	Space Science Center-Antenna Relocation Phase
Branch:	Executive Branch	Project:	
Cabinet/Function:	Postsecondary Education		
Appropriation Unit:	Morehead State University		

PROJECT DOCUMENTATION

Project Description

A joint NASA/Morehead State University-Kentucky National Science Foundation Experimental Program to Stimulate Competitive Research (NSF EPSCoR) venture has resulted in the approval to move operations of an 18 meter NASA-Goddard satellite tracking station to Morehead State University. The satellite tracking station, located at the NASA-Wallops Island, Virginia facility, served as the telecommunications station for the NASA IUE (International Ultraviolet Explorer) satellite until 1997.

Project Purpose/Operating Budget Relationship

The antenna will be relocated and be a part of the University's Astrophysics Laboratory. It will be maintained for future NASA missions and simultaneously provide a replacement antenna for the MSU RadioTelescope. The instrument may be contracted for up to 50% of the time to the NASA Satellite Tracking Program, with the remainder of the time devoted to the MSU Astrophysics Program.

Universities Only: Basis in/Reference to Campus Master Plan

This proposed project will be reconstructed on MSU property contiguous to the central campus.

Universities Only: Basis in/Reference to Institution Plan

The Space Science Center projects relates to the University Plan for 1996-2000 as follows: promotes excellence in student achievement in and outside the classroom, (Goal 1) by enhancing student learning and success by further developing teaching and advising skills, (Objective 1.1); allows for the development of workshops, seminars, and other resources to assist faculty and staff in attaining excellence in teaching and advising, (Strategy 1.1.3); allows MoSU to develop the use of existing and new technology to enable faculty, staff and administrators to provide quality classroom instruction and support functions, (Objective 1.3) by making available and effectively using current instructional technology to improve academic programs, (Strategy 1.3.1).

Universities Only: Basis in/Reference to Statewide Strategic Plan

This project supports the Kentucky Council on Postsecondary Education's 2020 Vision: An Agenda for Kentucky's System of Postsecondary Education. The project would assist in providing a campus environment that fosters better teaching and learning for our students.

Has this item been requested in a prior biennial budget request?: No

If yes, identify the biennium/biennia; the project name(s); and the cabinet/agency.

PROJECT FEATURES

Timetable

Design date: 04/01/01

Construction date: 07/01/2001

Completion date: 08/01/2002

Space Summary

Use	Current	New	Exp/Add/Alter	Renov
Classroom (100)				
Class Lab (200-229)				
Research (230-299)		1,600		
Office (Fac/Admin) (300)				
Study (400)				
Special Use (500)				
General Use (600)				
Support Facilities (700)				
Hospital/Medical Center (800)				
Residential (900)				
Nonassignable (WWW/XXX/YYY/ZZZ)				
Other (Specify)		1,600		
Total Gross Square Footage				

Acres (Land Acquisition): N/A

Is the site presently owned or must it be acquired?: Owned

Proposed site location and/or site development: MSU campus

Proposed Heat/Air Conditioning Fuel Type: Electrical

Specialized Project Requirements: Road improvements to the site; specialized engineering services; technical equipment upgrades

Relationship to Existing Space: N/A

IMPACT ON OPERATING BUDGET

Completion Date:	First Full Year Of Operations FY	Second Full Year Of Operations FY	Third Full Year Of Operations FY	Fourth Full Year Of Operations FY
Fund Source				
General Fund	\$86,580	\$133,172	\$139,246	\$145,623
Restricted Funds				
Federal Funds				
Road Funds				
Other				
Total Funds	\$86,580	\$133,172	\$139,246	\$145,623
Cost Elements				
Personnel Expenses	\$66,600	\$102,440	\$107,112	\$112,018
Operating Expenses	19,980	30,732	32,134	33,605
Maintenance Expenses				
New Debt Service				
Transfer Restricted to Capital Construction Fund				
Transfer Federal to Capital Construction Fund				
Total Costs	\$86,580	\$133,172	\$139,246	\$145,623