

M I N U T E S

BOARD OF REGENTS  
MOREHEAD STATE UNIVERSITY

February 24, 1989

The Board of Regents of Morehead State University met in the Riggle Room of the Adron Doran University Center on Friday, February 24, 1989, at 10 a.m. in Morehead, Kentucky. Chairman William R. Seaton presided.

CALL TO ORDER

Chairman Seaton called the meeting to order.

INVOCATION

The invocation was delivered by Mr. Charles Wheeler.

LETTERS OF  
RESIGNATION

Letters of resignation were filed with the Board for inclusion in the official Minutes of the proceedings from the Honorable Louie B. Nunn, the Honorable Edward T. Breathitt, Dr. Allan M. Lansing and Ms. Barbara Curry.

OATH OF OFFICE

The oath of office was administered by Carol Johnson, Notary Public, to Mr. William R. Seaton, Mr. Charles Wheeler and Mr. Walter W. Carr, who were reappointed by Governor Wallace G. Wilkinson for terms expiring in 1992, and to newly appointed Regents, Mr. Jerry F. Howell and Mr. Duane Hart for terms expiring in 1991 and 1990 respectively.

ROLL CALL

On roll call, the following members were present:

Mr. J. Calvin Aker  
Mr. Walter W. Carr  
Mr. Duane Hart  
Mr. Jerry F. Howell  
Mr. Sheridan Martin  
Mr. William R. Seaton  
Dr. Alban Wheeler  
Mr. Charles Wheeler

Absent: None

Chairman Seaton welcomed the new members and extended appreciation for their service.

**REORGANIZATION OF  
BOARD**

The next order of business was a reorganization of the Board. Mr. Wheeler moved that Mr. Seaton be elected chairman; Mr. Howell as vice chairman; Mr. Dailey as treasurer; and Ms. Johnson as secretary. The motion was seconded by Mr. Aker and unanimously carried.

**RESOLUTION OF  
COMMENDATION TO  
FORMER REGENTS**

Mr. Howell moved, seconded by Dr. Wheeler, that the following Resolution of Commendation be adopted by the Board in recognition of the Honorable Louie B. Nunn, the Honorable Edward T. Breathitt, Dr. Allan M. Lansing, and Ms. Barbara Curry.

**RESOLUTION**

WHEREAS, the Morehead State University Board of Regents is composed of eight citizens of the Commonwealth, appointed by the Governor, and elected representatives of the faculty and student body; and

WHEREAS, the Governor of the Commonwealth, the Honorable Wallace G. Wilkinson, has chosen to appoint four citizen members of the Morehead State University Board of Regents to the Kentucky State University Board of Regents; and

WHEREAS, those four members are the Honorable Louie B. Nunn, the Honorable Edward T. Breathitt, Dr. Allan M. Lansing and Ms. Barbara Curry, with said members being prominent and respected citizens of the Commonwealth; and

WHEREAS, these distinguished individuals and their colleagues on the Board of Regents have worked in harmony and in a spirit of collegiality with all segments of the Morehead State University community; and

WHEREAS, the commitment and personal example of these members have inspired an outpouring of public support for the University and restored the morale and confidence of the University community; and

WHEREAS, the University today has regained its rightful place in the Commonwealth's system of public higher education as a dynamic, growing institution with a record enrollment; and

WHEREAS, the University has benefited immeasurably from the service of these four individuals and particularly from the leadership of Mr. Nunn as board chairman;

THEREFORE, BE IT RESOLVED by the Morehead State University Board of Regents that the aforementioned individuals be and hereby are commended for their service to the University at a critical time in its history and are extended the best wishes of the University community.

ADOPTED this 24th day of February, 1989.

ATTEST:

/s/ Carol Johnson  
Board Secretary

/s/ William R. Seaton  
Board Chairman

The motion unanimously carried.

**MINUTES OF DECEMBER  
16, 1988**

There being no corrections, additions or deletions to the minutes of the meeting held on December 16, 1988, the minutes were unanimously approved on motion by Mr. Wheeler and seconded by Mr. Hart.

**PRESIDENT'S ANNUAL  
REPORT**

Dr. Grote noted that the President of the University is required by statute to prepare an annual report. While a copy of the report had been sent to the Regents earlier, he advised that this copy was for inclusion in the official Minutes of the Board of Regents.

**PERSONNEL ACTIONS**

RECOMMENDATION: That the Personnel Actions for the period of December 1, 1988, through February 3, 1989, be ratified.

(Personnel Actions attached to these Minutes and marked IX-B-1)

Dr. Grote noted that the report contained a typographical error on page 23 in the middle of the page where it reads "School of Education" which should be corrected to read "Department of Sociology."

MOTION: Mr. Aker moved, seconded by Mr. Martin, that the Personnel Actions as amended be approved.

VOTE: The motion carried with Mr. Carr voting Nay and Dr. Wheeler asking to be disqualified from voting due to reference to him in the Personnel Actions.

**QUARTERLY FINANCIAL  
REPORT**

RECOMMENDATION: That the Board of Regents accept the Quarterly Financial Report as presented and amend the institution's 1988-89 Operating Budget to reflect a revised expenditure level of \$49,730,425 in accordance with the detailed budget information provided.

(Quarterly Financial Report and additional background information attached to these Minutes and marked IX-B-2)

Mr. Pinner advised, in response to a question from the Chair, that there was nothing unusual in the report and that a thorough analysis had been conducted at midyear with the proposed adjustments being reflected in the financial report.

MOTION: Mr. Hart moved, seconded by Mr. Carr, that the President's recommendation be approved.

VOTE: The motion unanimously carried.

**SURPLUS PROPERTY**

RECOMMENDATION: That the Board of Regents declare the MSU property identified in the Written Request to Dispose of Real Property surplus to the needs of the University.

(Additional background information attached to these Minutes and marked IX-B-3)

Vice President Dailey advised that approximately a year and a half ago, the Board authorized the administration to proceed to acquire a piece of property located at the east end of the campus adjacent to the baseball field. The University had the property appraised and made an offer to the owner. The offer was rejected. Due to statutory constraints that are placed on the University in terms of what can be paid for real estate, the transaction could not be completed. In the same general area, the University owns a parcel of property which is of interest to the person with whom we were negotiating. In order to effect a trade of properties, it is necessary for the University to declare our property surplus to our needs.

MOTION: Mr. Carr moved, seconded by Mr. Wheeler, that the Board declare the MSU property identified in the Written Request to Dispose of Real Property surplus to the needs of the University.

Mr. Carr said he would highly recommend that the Board support the recommendation.

VOTE: The motion unanimously carried.

RECOMMENDATION: That the Board approve the attached Resolution entitled:

A RESOLUTION OF THE BOARD OF REGENTS OF MOREHEAD STATE UNIVERSITY AUTHORIZING THE ISSUANCE OF SAID BOARD'S HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES K, TO BE DATED MARCH 1, 1989; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF: AUTHORIZING EXECUTION OF A SECOND SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND PIKEVILLE NATIONAL BANK & TRUST COMPANY, PIKEVILLE, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE OF NOVEMBER 1, 1966.

Mr. Dailey provided changes in the proposed Resolution as contained in the Agenda Book and marked IX-B-4. A copy of the Resolution as amended to be filed with the official Minutes of the proceedings.

**HOUSING AND DINING  
SYSTEM REVENUE BOND  
ISSUE**

MOTION: Dr. Wheeler moved, seconded by Mr. Hart, that the Resolution be adopted.

VOTE: The motion unanimously carried.

Chairman Seaton appointed the following members of the Board to serve on a committee to review and accept bids on the Series K Bond Issue, pending ratification by the full Board at a future meeting:

Mr. Walter Carr  
Dr. Alban Wheeler  
Mr. Sheridan Martin

**STAFF BASIC  
EDUCATIONAL OPPORTUNI-  
TIES POLICY**

RECOMMENDATION: That the Board of Regents approve the adoption of the Staff Basic Educational Opportunities Policy (PG-45).

(Policy and additional background information attached to these Minutes and marked IX-B-5)

Dr. Grote commented that we have provided for a number of our employees to enroll in college credit courses during working hours which has been a benefit to the University as well as to the employees. Some staff members do not possess a high school diploma or GED equivalency certificate and are therefore unable to enroll for college credit courses. This policy extends in a different way the opportunity for approximately 40 employees who might have an interest to enroll during normal work hours to prepare themselves for the GED. The University would pay the test fee.

MOTION: Dr. Wheeler moved, seconded by Mr. Carr, that the President's recommendation be approved.

VOTE: The motion unanimously carried.

Dr. Grote advised that employment practices have been established that do not provide for full-time employment unless the individual has either a high school diploma or GED. As a part of that process in counseling with employees, several have qualified and taken the GED.

**CASH RECEIPTS AND  
OPERATING CASH FUND  
POLICY**

RECOMMENDATION: That the Board of Regents approve the Policy for Cash Receipts and Operating Cash Fund.

(Policy and additional background information attached to these Minutes and marked IX-B-6)

Vice President Dailey indicated that the University has had a cash receipts and operating cash fund policy administered by the office of Business Services for a number of years. The policy is reviewed and revised on a regular basis and distributed across campus to those programs that are involved in collecting monies for the University. An example of such a program is the summer camps and conferences. This practice has been in effect, but due to the importance of it and the statutory responsibility of the Board, we are asking the Board to adopt the policy. Since the procedure will change from time to time, the Board is only asked to adopt the actual policy statement.

Mr. Chenoweth advised that the Board might wish to consider formally adopting this as other policies for inclusion in a policy manual.

Mr. Aker said that he felt the procedure was the important point. The policy is dictated by Kentucky Revised Statutes. We need to adopt both a policy and procedure.

Mr. Chenoweth indicated that this policy will serve as the foundation for those procedures. Those administrative type procedures will require some flexibility. By adopting this policy, the Board is laying the foundation so that employees and those external to the University will know that there is a policy and also procedures that are in place.

MOTION: Mr. Aker moved that the Board not only adopt the policy as suggested here but add to that policy a provision that the administration shall have the delegated authority to implement certain procedures from time to time in compliance with both the policy as stated and the Kentucky Revised Statutes. Motion was seconded by Mr. Wheeler.

VOTE: The motion unanimously carried.

**FOOD SERVICES PROGRAM**

RECOMMENDATION: That the Board of Regents authorize the issuance of a Request for Proposal for the purpose of establishing a contract for food service management for Morehead State University.

That the proposals be evaluated and a contract negotiated and awarded that is sensitive to the stated contract principles, and best addresses the objectives of the MSU Food Service Program, and

That the contract be presented to the Board of Regents for ratification at the first meeting following the final agreement between the parties.

(Additional background information attached to these Minutes and marked IX-B-7)

Dr. Grote indicated he had some basic concerns with the recommendation. First, that our students as primary consumers get quality food that is appropriately priced. Secondly, that the welfare of our food service employees, many of whom are vested in the retirement system, be protected. Another concern was to have a food services program that is financially sound of which the University can be proud.

Two alternatives are provided in the recommendation:

- Plan A. To continue operating the food service program directly by Morehead State University.
- Plan B. To obtain management services through a contract food service agency.

Dr. Grote said that he was convinced that the students would be as well or better served by a contracted food service operation. Those employees who are vested in the Kentucky Employee Retirement System can be protected through provisions in the contract. Non-vested or new employees will be employed by the contractor. Further, the University has the potential of earning money which would be put back into the program to maintain equipment and facilities. Dr. Grote said it was the recommendation of the administration that the University follow Plan B.



Dr. Wheeler, faculty regent, said that he supported the proposal for a contract. He said that he had some concern over an operation that had lost more than a quarter of a million dollars in a four-year period. His main concerns were the quality of service in attempting to rectify the financial problem and protection of our current employees. He said that he believed the contract proposal covers that.

Mr. Martin, student regent, said that a committee comprised of four students had visited Northern Kentucky University, Marshall University and Murray State University. Northern and Marshall are contracted and Murray is an in-house operation. They discovered at Northern and Marshall that the quality control, along with the pricing system, equaled or even surpassed what we have at Morehead State University. He indicated that the proposal took care of his concerns, as well as those of the students which were voiced in a recent meeting of the Student Government Association. Mr. Martin said that he supported the contract proposal.

Mr. Andy Parker, President of the Student Government Association, also spoke on behalf of the proposal. The Student Government Association adopted the following resolution in support of a contracted food service program.

Whereas, a food service program is an important part of campus life;

Whereas, the Student Government Association has an interest in the future direction of the food service program at MSU, and has appointed an advisory committee to gather information about food service programs;

Whereas, the food service advisory committee has reported to the SGA that a contracted food service management company can provide food service programs that meet the needs of students at prices they can afford;

Be it Proposed, the Student Government Association will support a decision to contract with a food service management company to provide a program for Morehead State University Food Services.

Food Services Committee  
Student Government Association

Mr. Carr said that for many years the University has had one of the best cafeterias among all of the universities in the state. He said that he felt that maybe the University had not been careful enough in the selection of personnel to manage the food services operation. The bottom line, he said, "I hate to admit we can't get the job done." He indicated that he felt the University ought to try to solve the problem itself rather than to go to contract.

Mr. Howell said that for several years, the University has had problems with the food services operation. The problem recurs and the food service operation has never been satisfactory. Although Mr. Howell said that he had been out of touch for awhile, he felt the University should give the contract option a try.

MOTION: Mr. Howell moved, seconded Mr. Hart, that the President's recommendation be approved.

Vice President Dailey noted that the recommendation excluded the concessions and vending operation.

Judge Aker said that he would vote for the food services contract with the understanding that, while it is not contained in the resolution, Bob Chenoweth or someone from his firm go over the contract prior to its submission to the Board for ratification.

VOTE: The motion carried with Mr. Carr voting Nay.

Chairman Seaton appointed representatives from the Board, Mr. Walter Carr, Dr. Alban Wheeler and Mr. Sheridan Martin, to assist the administration in the evaluation of the proposals and to oversee the process.

Dr. Grote noted that the exhibit on the 1989 spring enrollment report contained an error. Vice President Taylor advised that the next to last paragraph should read, "The retention rate, as measured by the number of first-time, full-time freshmen returning as second semester 'students' (not sophomores) . . .". The implication from that paragraph is that the University loses about 13 percent of its first-time, full-time freshmen in the fall semester to attrition. From the beginning of the second semester of their freshman year until the fall semester of their sophomore year,

**REPORTS FROM THE  
PRESIDENT**

the percentage is approximately 19. Dr. Taylor indicated that figure was not a far deviation from national norms. Over the past two years, retention has improved significantly.

Dr. Grote reported that the Garred Property fronting on University Boulevard had been acquired by the University at a purchase price of \$145,000 (\$139,150 by MSU; \$5,850 by MSU Foundation, Inc.).

Dr. Grote advised that Dr. Maurice Strider, Professor Emeritus, for whom the Board had named Strider Gallery, had died earlier that week.

Dr. Grote reported that the matching requirement on the Albright-Ashland Oil Challenge Grant had been exceeded by more than \$4,623 as of February 17, 1989. Funds raised from March 1, 1988, through February 17, 1989, totaled \$271,128.81. Ashland Oil will be presenting the University a check on Founders Day, March 31, 1989.

Dr. Grote announced that the Lady Eagles are likely to finish in third place in the OVC and will be in tournament playoffs, as well as the men's team. The first round of the men's playoff has been set for Saturday, March 4, at 7:30 p.m. at Morehead State University.

Dr. Grote introduced Dr. Virginia Wheelless, who was selected to assume the newly created position of Director of Planning, Institutional Research and Evaluation, effective January 3, 1989.

Dr. Grote advised that the presidents of the regional universities have been invited to provide testimony before the Budget Review Subcommittee on Education of the Interim Joint Appropriations and Revenue Committee on March 2, 1989. The purpose of the hearing is to gather information on the academic and financial accountability of higher education. Chairman Seaton asked Dr. Grote to send a copy of his testimony to the Board.

Judge Aker indicated that he recalled earlier the Board had adopted a resolution pertaining to athletics whereupon the Chairman asked that the secretary send each member of the Board a copy of said resolution.

Mr. Seaton further requested that the Board be provided a copy of the SACS Subcommittee draft report on athletics. Dr. Grote noted that there was a first draft that was incomplete and contained many errors, but that as soon as a clean draft copy was ready it would be sent to the Board.

Dr. Grote reported that from early indications, preliminary data showed that the university is going to grow again in the fall of 1989. For budgeting and planning purposes, he said that a target enrollment of 7,800 students has been set.

Mr. Seaton said that he would like to see a compilation that showed the number of "normal" beds in each building compared to how many students that have actually been assigned to the residence halls.

Several of the Board members commented that qualified applicants for admission to Morehead State University from Eastern Kentucky should be given preference over applicants from outside our service region.

Mr. Seaton noted that the default rate on the student loans has decreased from 10.5 percent on January 31, 1988, to 6.5 percent on the same date in 1989. Dr. Grote said that the credit for this decrease is attributed to the diligence of the staff in the Division of Administrative and Fiscal Services and the Division of Student Life.

**QUARTERLY BOARD  
MEETINGS, 1989**

The Board established the regular quarterly Board meetings for the 1989 calendar year as follows:

2nd Quarter	Friday, May 5
3rd Quarter	Friday, September 22
4th Quarter	Friday, November 17

**SPECIAL MEETING**

Further, due to the timeliness of several items that the Board needs to consider prior to the regular second quarter meeting, a special meeting of the Board was established for 2 p.m. on Friday, March 31, 1989, which is Founders Day.

Chairman Seaton declared a five-minute recess prior to the MSU Spotlight presentation.

**MSU SPOTLIGHT--  
KET/GED**

The Spotlight featured the University's KET/GED Program. Mr. George Eyster, Acting Director of the Office of Regional Development Services, presented a historical perspective of the programs, and Mrs. Sharon Jackson, Director of the MSU-based support system for KET's "GED on TV" program, explained its role in preparing students for the GED.

**ADJOURNMENT**

There being no further business to conduct, Mr. Wheeler moved, seconded by Mr. Carr, that the meeting adjourn. The motion unanimously carried.

  
\_\_\_\_\_  
Chairman

  
\_\_\_\_\_  
Secretary

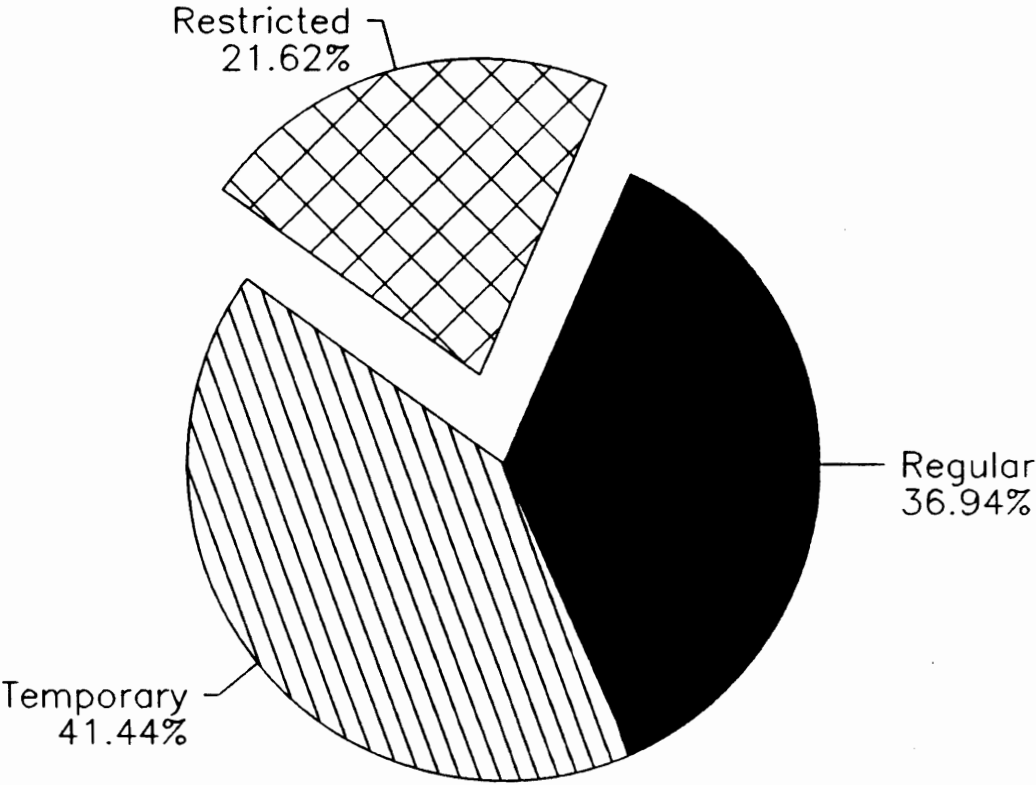
IX-B-1  
February 24, 1989

**PERSONNEL ACTIONS**

**Recommendation**

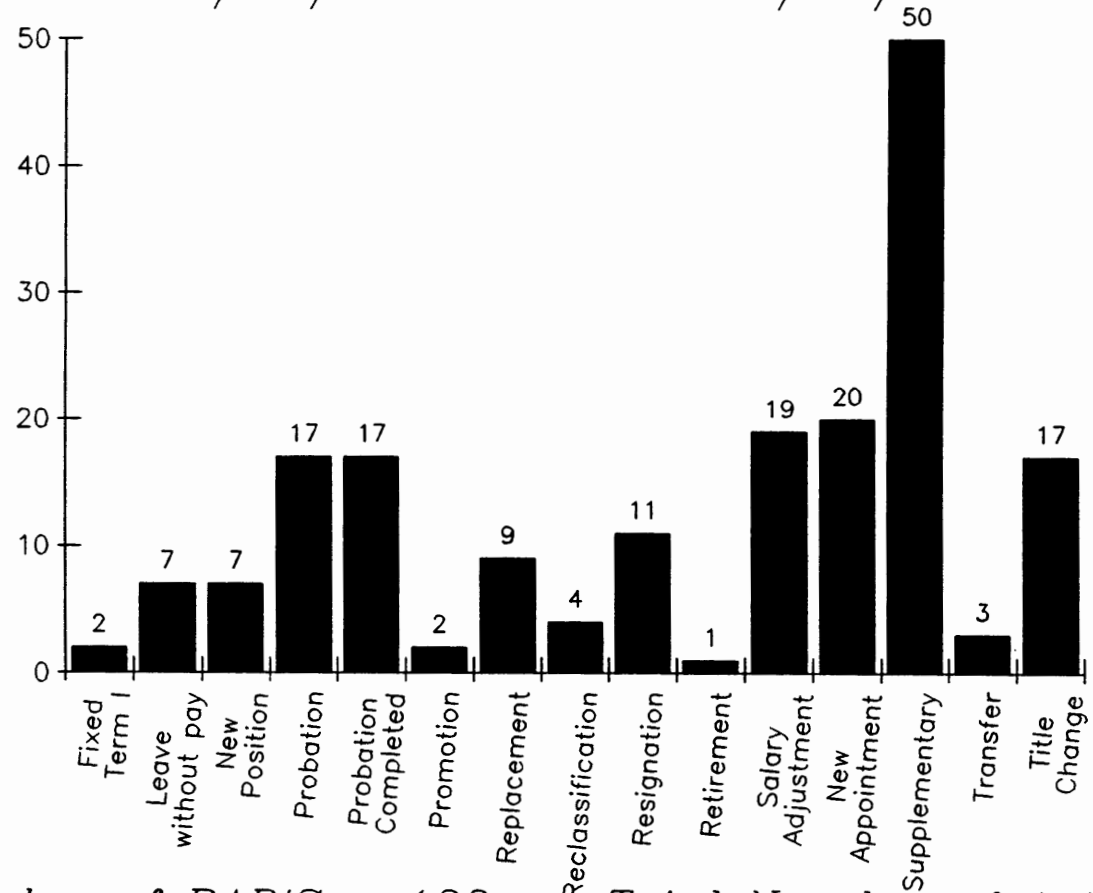
That the Personnel Actions for the period of 12/1/88, through 2/3/89, be ratified.

# PERSONNEL ACTION REQUESTS 12/1/88 THRU 2/3/89



*Total Number of PAR's = 333*

# PERSONNEL ACTIONS FOR STANDING I & II POSITIONS 12/1/88 THRU 2/3/89

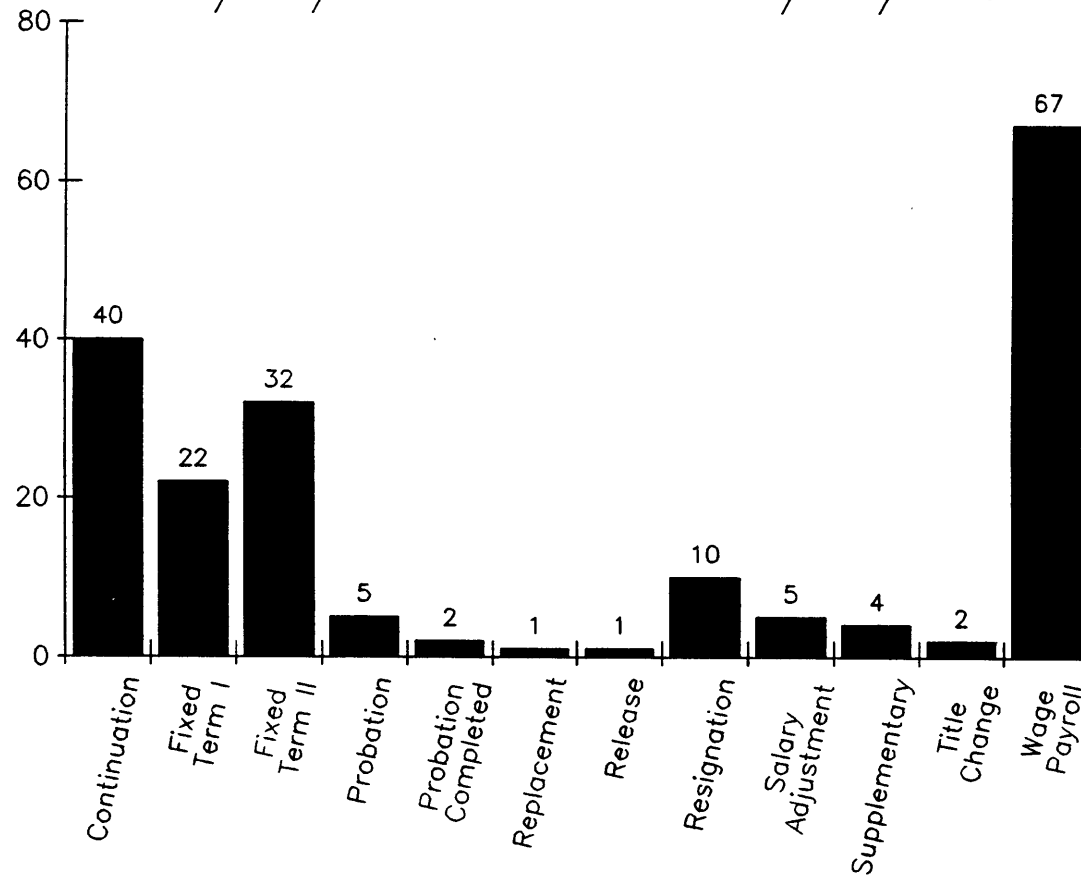


Total Number of PAR'S = 123

Total Number of Actions = 186



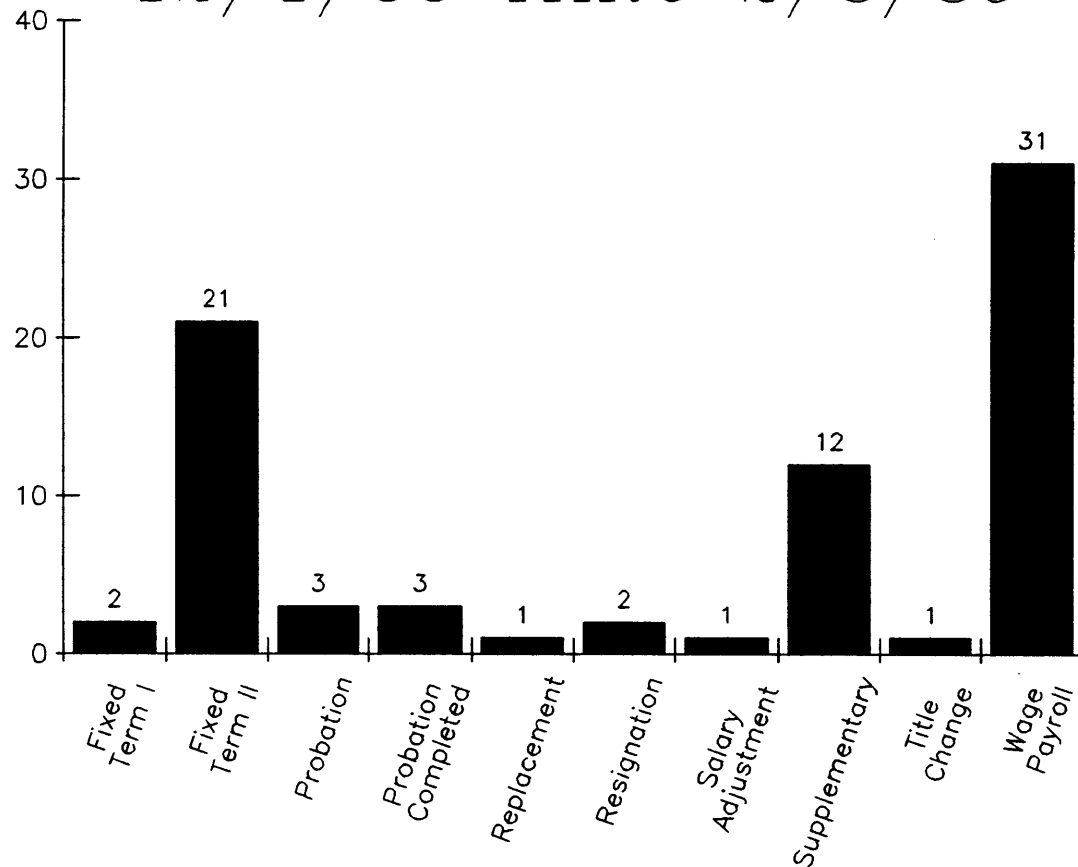
# PERSONNEL ACTIONS FOR TERM APPOINTMENTS 12/1/88 THRU 2/3/89



Total Number of PAR's = 138

Total Number of Actions = 191

# PERSONNEL ACTIONS FOR RESTRICTED APPOINTMENTS 12/1/88 THRU 2/3/89



Total Number of PAR's = 72

Total Number of Actions = 77

MOREHEAD STATE UNIVERSITY  
 STANDING I AND STANDING II POSITIONS SUMMARY  
 02/03/89

<u>DEPARTMENT NAME</u>	<u>JULY 1 AUTHORIZED POSITIONS</u>	<u>CURRENT AUTHORIZED POSITIONS</u>	<u>+/- POSITION ADJUSTMENTS</u>	<u>CURRENT POSITION STRENGTH</u>	<u>% OF CURRENT STRENGTH</u>
OFFICE OF THE PRESIDENT	7.20	3.20	-4.00	3.20	100.00
OFFICE OF SCHOOL RELATIONS	0.00	2.00	2.00	2.00	100.00
UNIVERSITY ADVANCEMENT	19.70	19.70	0.00	18.70	94.92
ATHLETICS	26.20	26.80	0.60	23.40	87.31
DIVISION OF ADMINISTRATIVE AND FISCAL SERVICES	104.20	111.20	7.00	99.20	89.21
PHYSICAL PLANT	131.00	133.00	2.00	130.00	97.74
DIVISION OF STUDENT LIFE	47.45	48.25	0.80	46.25	95.85
DIVISION OF ACADEMIC AFFAIRS	37.00	39.50	2.50	38.50	97.47
GRADUATE AND SPECIAL ACADEMIC PROGRAMS	9.00	9.00	0.00	5.00	55.56
COLLEGE OF ARTS AND SCIENCES	157.75	165.55	7.80	144.55	87.32
COLLEGE OF PROFESSIONAL STUDIES	143.60	147.10	3.50	133.60	90.82
COLLEGE OF APPLIED SCIENCES AND TECHNOLOGY	82.00	83.00	1.00	76.00	91.57
CAMDEN-CARROLL LIBRARY	41.50	41.50	0.00	40.50	97.59
AUXILIARY SERVICES	111.90	110.90	-1.00	90.50	81.61
TOTALS:	<u>918.50</u>	<u>940.70</u>			

NOTE: Positions are expressed in terms of full-time equivalency.

02-08-89

MOREHEAD STATE UNIVERSITY  
MISCELLANEOUS POSITIONS SUMMARY  
02/03/89

<u>DEPARTMENT NAME</u>	<u>TERM APPOINTMENTS</u>	<u>RESTRICTED APPOINTMENTS</u>
OFFICE OF THE PRESIDENT	0	0
OFFICE OF SCHOOL RELATIONS	0	0
UNIVERSITY ADVANCEMENT	6	0
ATHLETICS	1	0
DIVISION OF ADMINISTRATIVE AND FISCAL SERVICES	14	2
PHYSICAL PLANT	32	0
DIVISION OF STUDENT LIFE	47	1
DIVISION OF ACADEMIC AFFAIRS	10	32
GRADUATE AND SPECIAL ACADEMIC PROGRAMS	5	0
COLLEGE OF ARTS AND SCIENCES	63	0
COLLEGE OF PROFESSIONAL STUDIES	31	99
COLLEGE OF APPLIED SCIENCES AND TECHNOLOGY	9	9
CAMDEN-CARROLL LIBRARY	2	0
AUXILIARY SERVICES	43	0
	<u>263</u>	<u>143</u>

## DEFINITIONS OF ACTIONS

- Standing I** Appointment to full-time faculty, administrative, or staff (exempt or non-exempt) position. Employed through permanent budget funds with benefits fully covered. Regular status.\* No ending date.
- Standing II** Appointment to part-time administrative or staff (exempt or non-exempt) position. Employed through permanent budget funds with no benefits. Non-Regular status.\*\*\* No ending date.
- Fixed Term I** Appointment to full-time faculty or staff (exempt) position for more than six (6) months but less than one (1) year. May be employed through Soft Money\*\* with benefits fully covered. Regular status.\* Terminable after one year.
- Fixed Term II** Appointment to full-time or part-time (up to (1) year) faculty position or special project for less than six (6) months. May be employed through Soft Money\*\* or available permanent budget funds, with no benefits. Non-Regular status.\*\*\* Terminable after one (1) year, if part-time; after six (6) months, if full-time.
- Supplementary** Additional contract obligation in supplement to original agreement (adds calendar time) for faculty or staff (exempt or non-exempt) currently Standing I or II appointments. For example, Summer I & II appointments or 9-month appointment extended to 10, 11, or 12 month appointment. Regular status\* with benefits fully covered. OR Additional contract obligation in supplement to Standing I, II or Fixed Term I appointment (same contractual period). For example, administrators teaching night classes or on-the-road payment. Should not handle overtime. Regular status\* with benefits fully covered.
- Visiting Appointment** Faculty with "visiting" as part of title. Can be full or part-time. Limited to one year. Employed through Soft Money\*\* with no benefits. Non-Regular status.
- Wage Payroll** Temporary assignment for staff (exempt or non-exempt) position or special project. Full-time up to 6 months or part-time up to 1 year. Terminable after specified time. May be employed through Soft Money.\*\* Non-Regular status with no benefits. Specified ending date.

\* Regular status is a faculty or staff member who is appointed to a full-time position that will exist for more than six consecutive calendar months with the expectation of continuance.

\*\* Soft Money is defined as nonrecurring funds from University or external funds.

\*\*\* Non-Regular status is a faculty or staff member who works less than full-time or who works full-time but is not appointed to a position that will last more than six consecutive months.

02/08/89

## P E R S O N N E L A C T I O N S

Page: 001

12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Office of the President</b>				
<u>Office of School Relations</u>				
HENSLEY, CAROLYN S.	Probation Completed	Administrative Secretary	1/20/89	\$7.55/hr.
<b>Office, University Advancement</b>				
<u>Office, University Advancement</u>				
QUEEN, SCOTT ALLEN	Resignation	Stage Lighting Technician	12/6/88	\$4.25/hr.
WALLS, DENNIS LEE	Wage Payroll	Stage Lighting Technician	1/17/89 - 5/1/89	\$4.25/hr.
<u>Office of Media Relations</u>				
MARVIN, EUGENE LESLIE	Wage Payroll	Editorial Assistant (Work 25 hours per week)	1/12/89 - 6/30/89	\$9.00/hr.
<u>Office of Development</u>				
BURKHAMER, DIANE RENEE	Wage Payroll	Bookkeeper I (Assume part-time clerical duties)	12/1/88 - 1/31/89	\$5.70/hr.
FUGATE, EVLYNN H	Standing I Transfer Title Change	Secretary (Replacing Debbie Fouch, \$5.81/hr.)	1/17/89	\$5.93/hr.

02/08/89

## PERSONNEL ACTIONS

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Office, University Advancement</b>				
<u>Office of Alumni Relations</u>				
BIGELOW, MALCOM JOSEPH	Wage Payroll Continuation	General Office Worker	1/3/89 - 3/10/89	\$4.25/hr.
<b>Off of Director, Athletics</b>				
<u>Off of Director, Athletics</u>				
THORNBERRY, LORRAINE ELIZABET	Standing I Replacement Probation	Clerk Typist (Replacing Pat Piles, \$5.92/hr.)	12/12/88	\$4.93/hr.
<u>Sports Information</u>				
STACY, RANDY L.	Probation Completed	Sports Information Director	12/2/88	\$23,970.00
<u>Football</u>				
BALDRIDGE, BILL JAMES	Salary Adjustment	Head Football Coach	1/1/89	\$43,350.00 (\$850.00 incr.)
CHIN, TERRY JAY	Fixed Term I Continuation Salary Adjustment	Assistant Football Coach	1/1/89 - 12/31/89	\$20,400.00
CLARK, VICTOR ALLEN	Supplementary Title Change	Acting Head Football Coach	12/1/88 - 3/31/89	\$400.00/mo.
CLARK, VICTOR ALLEN	Fixed Term I Continuation Salary Adjustment	Assistant Head Coach	1/1/89 - 12/31/89	\$25,500.00 (\$500.00 incr.)

02/08/89

## PERSONNEL ACTIONS

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off of Director, Athletics				
<u>Football</u>				
GOOCH, DANIEL WADE	Fixed Term I Continuation Salary Adjustment	Assistant Football Coach	1/1/89 - 12/31/89	\$22,950.00
GOOCH, DANIEL WADE	Fixed Term I Salary Adjustment Title Change	Defensive Coordinator (Replacing Phil Zacharias, \$24,735.00)	2/1/89 - 12/31/89	\$24,500 (\$2,000.00 incr.)
HARBAUGH, JOHN W.	Fixed Term I Continuation Salary Adjustment	Assistant Football Coach	1/1/89 - 12/31/89	\$17,000.00 (\$1,000.00 incr.)
HARBAUGH, JOHN W.	Resignation	Assistant Football Coach	1/27/89	\$17,000.00
MORROW, JEFF W.	Salary Adjustment	Offensive Coordinator	1/1/89	\$24,735.00
ZACHARIAS, PHILIP KEITH	Salary Adjustment	Defensive Coordinator	1/1/89	\$24,735.00 (\$485.00 incr.)
ZACHARIAS, PHILIP KEITH	Resignation	Assistant Football Coach	1/23/89	\$24,735.00
Off VP, Adm., Fiscal Services				
<u>Off. Budget &amp; Management Inf.</u>				
LOO, CHIH YIH	Standing I Replacement Probation Salary Adjustment	Budget Policy Analyst II (Replacing Mark Stahley, \$28,636.00)	1/1/89	\$22,875.00 (\$3,875.00 incr.)
LOO, CHIH YIH	Salary Adjustment Reclassification Title Change	Budget Policy Analyst I	10/28/88 - 12/31/88	\$19,716.00 (\$716.00 incr.)



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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Off VP, Adm., Fiscal Services</b>				
<u>Office of Business Services</u>				
DOWDY, CHARLOTTE LEE	Promotion Probation Salary Adjustment Title Change	Purchasing Officer (Replacing Janet Allen, \$29,253.00)	2/1/89	\$26,034.00 (\$5,634.00 incr.)
FIELDS, RHONDA GAYLE	Reclassification Title Change Salary Adjustment	From Data Entry Specialist I to Data Entry Specialist II	10/1/88	\$5.81/hr. (\$.99/hr. incr.)
GUNNELL, SANDRA DENISE	Probation Completed	Data Entry Specialist I	1/18/89	\$4.99/hr.
HOWARD, CINDY LOU	Probation Completed	Data Entry Specialist I	1/5/89	\$4.99/hr.
SMITH, PHILIP RALPH	Resignation	Accountant I	1/3/89	\$18,205.00
<u>Off. of Information Technology</u>				
BLEVINS, BRIQITTE ANN	Leave without pay	Secretary Specialist (Extend Leave of Absence)	11/1/88 - 12/31/88	\$6.48/hr.
BLEVINS, BRIQITTE ANN	Leave without pay	Secretary Specialist (Extend Leave of Absence)	1/1/89 - 1/12/89	\$6.54/hr.
BLEVINS, BRIQITTE ANN	Probation	Secretary Specialist (Extend probationary period 30 days)	1/23/89	\$6.54/hr.
CLARK, KIRK A.	Standing I Probation New Position	Data Base Specialist	12/12/88	\$16,557.00
COOK, JAMES LEVI	Title Change	From Coordinator, Communication Engineering to Coordinator of Tele- communications	1/16/89	\$27,594.00
CORNETT, ANDREA F.	Probation Completed	Programmer/Analyst	1/1/89	\$20,311.00
HALL, RANDY LEE	Supplementary	Programmer/Analyst (Extra duties during the absence of Beth Patrick)	12/12/88 - 12/30/88	\$100.00

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## P E R S O N N E L   A C T I O N S

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off VP, Adm., Fiscal Services				
<u>Off. of Information Technology</u>				
HORTON, VANESSA MAYSE	Wage Payroll Continuation	Secretary	12/1/88 - 12/22/88	\$5.70/hr.
HORTON, VANESSA MAYSE	Wage Payroll	Secretary	1/3/89 - 1/27/89	\$5.70/hr.
JAMES, KEVIN BERT	Title Change	Systems Manager	1/16/89	\$27,893.00
LYONS, BARRY E	Wage Payroll Continuation	Communications Technician	12/1/88 - 12/30/88	\$6.48/hr.
LYONS, BARRY E	Wage Payroll Continuation	Communications Technician (Will work 40 hours per week)	1/1/89 - 6/30/89	\$6.48/hr.
SLOCUM, JOHN PAUL	Probation Completed	Software Analyst	12/13/88	\$19,864.00
<u>Office of Personnel Services</u>				
LAWSON, MONEISA DIANE	Resignation	Secretary	2/3/89	\$5.87/hr.
<u>Office of WMKY Radio</u>				
GRAY, DAVID CHARLES	Wage Payroll	Production Assistant	12/18/88 - 12/31/88	\$4.50/hr.
MORRIS, LONNIE R	Resignation	Morning Edition Host	11/25/88	\$4.50/hr.
<u>Office of Public Safety</u>				
BARKER, REGENIA RYAN	Leave without pay	Dispatcher	11/27/88 - 12/28/88	\$5.03/hr.
CLINE, MARK ANTHONY	Wage Payroll Continuation	Parking Attendant	1/8/89 - 5/13/89	\$4.16/hr.

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off VP, Adm., Fiscal Services				
<u>Office of Public Safety</u>				
HART, TWILA GRIFFITH	Wage Payroll Continuation	Parking Attendant	1/8/89 - 5/13/89	\$4.16/hr.
LIVERS, DAVID MATTHEW	Standing I Probation Replacement	Public Safety Officer (Replacing David Joiner, \$7.27/hr.)	1/9/89	\$6.48/hr.
MCCARTY, LARRY	Supplementary	Safety Officer (Additional duties as Security Officer)	10/1/88 - 10/31/88	\$488.07
MCCARTY, LARRY	Supplementary	Safety Officer (Additional duties as Security Officer)	11/1/88 - 11/30/88	\$414.12
MCCARTY, LARRY	Supplementary	Safety Officer (Additional duties as Security Officer)	12/1/88 - 12/31/88	\$327.85
SERGEANT, JAMES KINDRICH	Wage Payroll	Security Guard	2/1/89 - 5/31/89	\$4.93/hr.
<u>Office of Printing Services</u>				
BURGE, SHIRLEY DENISE	Wage Payroll	Typesetter	1/23/89 - 7/21/89	\$5.70/hr.
WRIGHT, DAVID MICHAEL	Probation Completed	Printer II	12/12/88	\$7.29/hr.

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Office VP, Student Life</u>				
<u>Off. Univ. Couns. &amp; Hlth. Serv</u>				
MOORE, EDITH P	Title Change	From Housekeeper to Custodian	1/3/89	\$5.34/hr.
REYNOLDS, BARBARA	Wage Payroll	Custodian	1/17/89 - 3/10/89	\$3.35/hr.
<u>Office of Financial Aid</u>				
BLACK, VALERIE REDWINE	Fixed Term I Probation Replacement	Clerk/Typist (Replacing Teresa Shields)	12/16/88 - 6/30/89	\$4.93/hr.
BURKE, JENNIFER FRAZIER	Resignation	Clerk/Typist	1/11/89	\$4.93/hr.
SHIELDS, TERESA J	Standing I Probation Salary Adjustment Replacement	Financial Aid Specialist (Replacing Carolyn Hensley, \$7.40/hr.)	12/19/88	\$7.25/hr. (\$2.32/hr. incr.)
<u>Off., VP Academic Affairs</u>				
<u>Off., Plann., Inst. Res. &amp; Eval</u>				
WHEELESS, VIRGINIA EMAN	Fixed Term II	Director of Planning, Institutional Research & Evaluation	11/9/88 - 11/18/88	\$1,153.86
WHEELESS, VIRGINIA EMAN	Fixed Term II	Director of Planning, Institutional Research & Development	12/8/88 - 12/9/88	\$384.62
WHITE, ANGELA LEA	Standing I Transfer Probation New Position Salary Adjustment	From Secretary to Administrative Secretary	1/3/89	\$7.25/hr. (\$1.17/hr. incr.)

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. Dean, Grad. &amp; Spec. Acad</u>				
<u>Off. Dean, Grad. &amp; Spec. Acad</u>				
BLANKENBECKLER, DIXIE L	Salary Adjustment Reclassification Title Change	Coord. of Regional Campus & Correspondence Study	2/1/89	\$16,357.00 (1,991.00 incr.)
LITTLETON, WANDA LEE	Reclassification Salary Adjustment Title Change	Secretary Specialist	2/1/89	\$6.48 (\$ .72/hr. incr.)
<u>Off. of Dean, Col. Arts&amp;Scienc</u>				
<u>Art</u>				
LAUFER, MARILYN	Fixed Term II	Lecturer (Teach FNA 160-002 FNA 160-003)	1/9/89 - 3/12/89	\$2,400.00
SWAIN, ADRIAN	Supplementary	Curator/Folk Art Collection	1/1/89 - 6/30/89	\$4,962.00
<u>Biological &amp; Env. Sciences</u>				
WRIGHT, RITA B.	Supplementary	Water Analyst/Asst. Med. Tech. Coordinator (Teach BIOL 105-001 and 105-006)	1/11/89 - 3/13/89	\$2,400.00
<u>Communications</u>				
GOUGH, PAUL ALEXANDER	Supplementary	Instructor of Radio-TV (Additional instruc- tionally related duties)	1/9/89 - 3/13/89	\$202.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. of Dean, Col. Arts&amp;Scienc</u>				
<u>Communications</u>				
GRUBB-SWETNAM, AUTUMN	Supplementary	Coord. of TV Production (Teach R-TV 151-001)	1/11/89 - 5/15/89	\$800.00
HALL, JOAN LANCASTER	Fixed Term II	Lecturer (Teach JOUR 201-001 and JOUR 201-002)	1/11/89 - 5/15/89	\$2,400.00
HAMILTON, KYUNG K	Fixed Term I	Instructor of Theatre (Teach THEA 313-001 and THEA 328-001)	1/11/89 - 5/15/89	\$2,400.00
HUFFMAN, MARTIN	Supplementary	Manager, Printing & Postal Services (Teach JOUR 306-001)	1/11/89 - 5/15/89	\$1,200.00
LAYNE, SYLVIA HORTON	Fixed Term II	Lecturer (Teach THEA 308-001 THEA 310-001 and THEA 375-001)	1/11/89 - 5/15/89	\$3,600.00
SCOTT, THOMAS ELIHU	Supplementary	Assistant Professor of Speech (Presentation of training sessions for Peer Advisors)	10/11/88 - 10/13/88	\$354.00
WEAVER, ROGER DUANE	Fixed Term II	Lecturer (Teach R-TV 450-001)	1/11/89 - 5/15/89	\$1,200.00
<u>English, Foreign Lang. &amp; Phil.</u>				
BAILEY, REBECCA LYNN	Fixed Term II	Instructor of English (Teach full load)	1/11/89 - 5/13/89	\$9,180.00
CAND, SARAH C.	Fixed Term II	Lecturer (Teach SPA 102-002 SPA 201-001 and additional advising duties)	1/11/89 - 5/13/89	\$3,000.00
FOUNTAIN, PAULA ANN	Fixed Term II	Lecturer (Teach ENG 090-003)	1/11/89 - 5/13/89	\$1,200.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. of Dean, Col. Arts&amp;Scienc</u>				
<u>English, Foreign Lang. &amp; Phil.</u>				
HAMILTON, BERNARD O	Fixed Term II	Lecturer (Teach GER 101-001)	1/11/89 - 5/13/89	\$1,500.00
HILTERBRAND, ANGELA C	Supplementary	Instructor of English (Additional instructional related duties)	1/9/89 - 5/13/89	\$202.00
HUBBARD, KEITH MACDONALD	Supplementary	Instructor of English (Additional instructional related duties)	1/9/89 - 5/13/89	\$202.00
LUCKEY, JR., GEORGE M	Supplementary	Prof. of Philosophy (Coordinator of Faculty Professional Development)	1/1/89 - 6/30/89	\$1,200.00
MINCEY, KATHRYN CRUSIE	Supplementary	Asst. Prof. of English (Additional instructional related duties)	1/9/89 - 5/13/89	\$202.00
OLSON, ANN W	Fixed Term II	Lecturer (Teach FRN 101-001 FRN 101-003 and additional advising duties)	1/9/89 - 5/13/89	\$3,000.00
REDING, TIMOTHY THOMAS	Fixed Term II	Lecturer (Teach ENG 102-006)	1/11/89 - 5/13/89	\$1,200.00
SERGEANT, JOAN E	Supplementary	Instructor of English (Additional instructional related duties)	1/9/89 - 5/13/89	\$202.00
VANMETER, ELLA JANE	Fixed Term II	Lecturer (Teach ENG 102-044)	1/11/89 - 5/13/89	\$1,200.00
<u>Geog., Govt., History</u>				
CLARK, WILLIAM T	Fixed Term II	Lecturer (Teach GEO 100-005 and GEO 319-001)	1/9/89 - 5/13/89	\$3,150.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Geog., Govt., History</u>				
CONKLIN, PEGGY LYNN	Supplementary	Residence Hall Director (Teach HIS 141-004 HIS 142-080 and HIS 142-081)	1/9/89 - 5/13/89	\$2,880.00
HOLT, CHARLES E	Supplementary	Asst. Prof. of History (Revision of HIS 132)	7/1/88 - 9/1/88	\$400.00
MAINS, WILLIAM B.	Fixed Term II	Lecturer (Teach GOVT 290-002)	1/13/89 - 5/13/89	\$1,200.00
STOKES, PAUL R	Fixed Term II	Lecturer (Teach GOVT 315-001)	1/12/89 - 2/6/89	\$300.00
<u>Music</u>				
PRITCHARD, ROBERT D	Salary Adjustment	Assistant Professor of Music (Completion of Doctorate)	1/3/89	\$27,334.00 (\$1,000.00 incr.)
<u>Physical Sciences</u>				
LIERMAN, ROBERT T.	Supplementary	Asst. Prof. of Geoscience (Additional instructional related duties)	1/9/89 - 5/13/89	\$202.00
STORY, LLOYD EDWARD	Fixed Term II	Lecturer (Teach SCI 490)	1/9/89 - 5/13/89	\$1,200.00



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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. of Dean, Col. Arts&amp;Scienc</u>				
<u>Academy of Arts</u>				
BUFORD, JOHN LOUIS	Fixed Term II	Instructor (Teach private piano lessons)	1/30/89 - 6/30/89	\$5.00/half hr.
COPLEY, JACK ALAN	Fixed Term II	Instructor (Teach private piano lessons)	1/30/89 - 6/30/89	\$5.00/half hr.
LUST, JO ELLEN	Fixed Term II	Instructor (Teach private piano lessons)	1/30/89 - 6/30/89	\$5.00/half hr.
 <u>Off. of Dean, Prof. Studies</u>				
<u>Off. of Dean, Prof. Studies</u>				
STULL, SUZANNE	Standing I Probation New Position	Data Entry Specialist I	1/3/89	\$5.70/hr.
 <u>School of Business &amp; Economics</u>				
GRIER, PENNY EILEEN	Probation Completed	Secretary	12/12/88	\$5.70/hr.
HARFORD, MICHAEL NEIL	Supplementary	Acting Chair, Department of Management & Marketing (Changed from 9 month to 12 month appointment)	1/1/89 - 6/30/89	\$4,080.00
LUCKEY, SUE Y	Standing I New Position Salary Adjustment Title Change	From Coordinator of Information Sciences to Department Chair of Information Sciences (Changed from 9 month to 12 month appointment)	1/1/89	\$41,800.00 (\$7,969.00 incr.)
MCOLONE, VERNON LEE	Resignation	Assistant Professor of Finance	12/17/88	\$28,368.00

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off. of Dean, Prof. Studies				
<u>School of Business &amp; Economics</u>				
OSBORNE, JOHN W	Supplementary	Acting Chair, Department of Accounting & Economics (Changed from 9 month to 12 month appointment)	1/1/89 - 6/30/89	\$3,523.00
PIERCE, BILL B	Supplementary	Professor of Marketing (Revision of MKT 304)	10/1/88 - 12/31/88	\$400.00
<u>Education</u>				
ANDERSON, ELIZABETH C	Fixed Term II	Lecturer (Teach full load)	1/9/89 - 5/15/89	\$6,600.00
BRADEN, BILL	Fixed Term II	Assistant Professor (Teach full load)	1/9/89 - 5/13/89	\$12,500.00
GRACE, DANIEL P	Leave without pay	Asst. Prof. of Education	1/9/89 - 2/17/89	\$23,843.00
GRACE, DANIEL P	Leave without pay	Asst. Prof. of Education	11/16/88 - 12/16/88	\$23,330.00
HELTON, TYRA J	Wage Payroll	Secretary	1/17/89 - 3/3/89	\$5.70/hr.
MCGHEE, PAUL RALPH	Standing I New Position Salary Adjustment Title Change	From Coord. of Elem., Reading & Spec. Ed. to Dept. Chair of Elem., Reading & Spec. Ed. (Changed from 9 month to 12 month appointment)	1/1/89	\$46,117.00 (\$8,926.00 incr.)
MCGUINNESS, JAMES P.	Fixed Term II	Assistant Professor (Teach full load)	1/9/89 - 5/15/89	\$12,250.00
POWELL, JAMES H	Fixed Term II	Lecturer (Teach EDEM 426-008 and EDEL 425-008, shared full load)	1/9/89 - 5/15/89	\$4,100.00
POWELL, MARY N	Fixed Term II	Lecturer (Teach EDEM 426-008 and EDEL 425-008, shared full load)	1/9/89 - 5/15/89	\$4,100.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. of Dean, Prof. Studies</u>				
<u>Education</u>				
SPRADLING, KAREN LEE	Wage Payroll	General Office Worker	11/15/88 - 12/15/88	\$3.50/hr.
WHEELER, KENNETH NEAL	Supplementary	Coord. Audio Visual Serv. (Teach EDEL 301L Sections 002 and 003)	1/9/89 - 5/15/89	\$800.00
WHORDLEY, DEREK	Standing I New Position Salary Adjustment Title Change	From Director of Educational Services Unit to Department Chair of Educational Services (Changed from 9 month to 12 month position)	1/1/89	\$46,404.00 (\$8,981.00 incr.)
WILSON, JEAN	Standing I New Position Salary Adjustment Title Change	From Coord. of Leadership & Secondary Ed. to Dept. Chair of Leadership & Secondary Ed. (Changed from 9 month to 12 month position)	1/1/89	\$41,800.00 (\$9,806.00 incr.)
<u>Off. of Inserv. Teacher Educ.</u>				
FASKO, DANIEL	Supplementary	Assistant Professor of Education (In-Service at Carter Co.)	11/1/88 - 5/30/89	\$100.00
HAMMONS, KAREN OREILLY	Supplementary	Assistant Professor of Education (High Scope Project in Magoffin County)	11/21/88 - 5/30/89	\$600.00
TILLER, WARREN PARKER	Fixed Term II	FPMS Regional Trainer (5 updates in Johnson Co.)	1/9/89 - 5/30/89	\$1,000.00
WHORDLEY, DEREK	Supplementary	Department Chair (1/2 day In-service at Lewis Co.)	11/1/88 - 5/30/89	\$100.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. of Dean, Prof. Studies</u>				
<u>Health/P.E./Recreation</u>				
BOWLING, LINDA IRENE	Probation Completed	Equipment Room Clerk	11/22/88	\$4.93/hr.
 <u>School of Education</u>				
BYLUND, ROBERT A	Supplementary	Associate Professor of Sociology (Complete instruction of 2 classes for Tom Munson)	11/28/88 - 12/16/88	\$600.00
WHEELER, ALBAN LEWIS	Supplementary	Professor of Sociology (Complete instruction of 1 class for Tom Munson)	11/28/88 - 12/16/88	\$300.00
WHITSON, PATSY R.	Supplementary	Assoc. Prof. of Social Work (To serve as social work consultant as member of Dialysis Health team)	11/16/88 - 11/15/89	\$9,500.00
WHITSON, S. MONT	Supplementary	Professor of Sociology (Complete instruction of 1 class for Tom Munson)	11/28/88 - 12/16/88	\$300.00
 <u>Psychology</u>				
JONES, ARNO ELDON	Wage Payroll	Software Specialist	1/17/89 - 5/12/89	\$5.00/hr.

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## PERSONNEL ACTIONS

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off. of Dean, App. Scie. & Tec				
<u>Ag-Nat. Resources-Agriculture</u>				
FOUCH, DEBBIE L.	Standing I Replacement Transfer	Secretary (Replacing Wanda James, \$6.20/hr.)	12/5/88	\$9.81/hr.
<u>Ag./Nat. Resources-Farm</u>				
PORTER, GARY LEE	Probation Completed	Farm Laborer	12/20/88	\$4.93/hr.
PURDOM, DANIEL KIRKLAND	Resignation	Farm Laborer	12/2/88	\$3.50/hr.
SNIDER, WANDA BETHEL	Wage Payroll	Farm Laborer	12/5/88 - 2/1/89	\$3.50/hr.
<u>Home Economics</u>				
TIERNEY, LELANA GRAVES	Fixed Term II	Lecturer (Teach full load)	1/11/89 - 5/12/89	\$11,500.00
<u>Ind. Educ. &amp; Technology</u>				
BAUER, ROBERT JOSEPH	Fixed Term I	Wood Technologist	1/3/89 - 9/30/89	\$25,000/annual
GREENFIELD, DANA G	Fixed Term II	Lecturer (Teach CON 206)	1/9/89 - 5/13/89	\$1,200.00
MCDAVID, CRISTINA CAROL	Probation Completed	Clerk/Typist	1/24/89	\$4.99/hr.
MURPHY, JEFFERY R	Fixed Term II	Lecturer (Teach WEL 204 & WEL 202)	1/9/89 - 5/13/89	\$2,400.00
TAYLOR, III, WALTER STEWART	Fixed Term II	Lecturer (Teach IET 320)	1/9/89 - 5/13/89	\$1,200.00

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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off. of Dean, App. Scie. & Tec <u>Nursing &amp; Allied Health</u>				
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Ashland)	9/14/88 - 9/14/88	\$110.00
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Pikeville)	1/20/89 - 1/21/89	\$110.00
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Mt. Sterling)	2/21/89 - 2/21/89	\$110.00
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Prestonsburg)	3/6/89 - 3/6/89	\$110.00
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Louisa)	3/22/89 - 3/22/89	\$110.00
GROSS, JANET J	Supplementary	Assistant Professor of Nursing (Respiratory Nursing workshop at Pineville)	1/13/89 - 1/14/89	\$110.00
HERALD, PATRICIA ANN	Supplementary	Assistant Professor of Nursing (Presentation of Continuing Ed. workshop at Mt. Sterling)	4/20/89 - 4/20/89	\$210.00
KILBURN, FREDA L.	Supplementary	Assistant Professor of Nursing (Continuing Ed. workshop- 2 hrs.)	2/11/89 - 2/11/89	\$60.00
KILBURN, FREDA L.	Supplementary	Assistant Professor of Nursing (Continuing Ed. workshop- 2 hrs.)	4/8/89 - 4/8/89	\$60.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Off. of Dean, App. Scie. & Tec				
<u>Nursing &amp; Allied Health</u>				
LUCHTEFELD, SHERYL LYNN	Supplementary	Assistant Professor of Nursing (Continuing Ed. workshop- 4 hrs.)	2/14/89 - 2/14/89	\$120.00
MOORE, BARBARA SEELEY	Supplementary	Assistant Professor of Nursing (Continuing Ed. workshop- 3 hrs.)	2/14/89 - 2/14/89	\$90.00
PORTER, BETTY M	Supplementary	Department Chair (Continuing Ed. workshop- 2 hrs.)	3/21/89 - 3/21/89	\$60.00
THOMPSON, CYNTHIA K	Probation Completed	Secretary	12/19/88	\$5.70/hr.
Office of Dean, Under. Program				
<u>Office of Testing Center</u>				
ELDRIDGE, PATTY V.	Supplementary	Coordinator of Testing (GED testing at Morgan County)	11/4/88 - 11/4/88	\$200.00
ELDRIDGE, PATTY V.	Supplementary	Coordinator of Testing (GED Testing at Morgan County)	12/9/88 - 12/9/88	\$200.00
HALL, PEGGY LEE	Standing I Probation Salary Adjustment Title Change Replacement	From Clerk/Typist to Secretary (Replacing Angela White, \$6.20/hr.)	1/3/89	\$6.05/hr.

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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Office of Dean, Under. Program				
<u>Off. Extended Campus Programs</u>				
JACKSON, RODI	Fixed Term II	Teacher (Assist during Elderhostel)	12/12/88 - 5/19/89	\$6.00/hr.
MCKEE, W. SCOTT	Wage Payroll	Community Education Clogging Instructor	1/11/89 - 5/10/89	\$13.00/hr.
MCNELLY, JAMES RAY	Fixed Term II	Community Education Instructor (Provide swimming instruction)	12/3/88 - 12/17/88	\$48.00
MCNELLY, JAMES RAY	Wage Payroll	Community Education Swimming Instructor	1/17/89 - 4/29/89	\$4.00/hr.
STULL, SUZANNE	Supplementary	Data Entry Specialist (Community Education Aerobics Instructor)	1/17/89 - 4/6/89	\$10.00/hr.
<u>Office Regional Dev. Services</u>				
BEGLEY, ERNEST R.	Supplementary	General Mgt. Consultant (Laborer for Arts & Crafts Market)	6/23/89	\$115.00
FOUCH, TRENT LEE	Wage Payroll	Laborer	6/23/89 - 6/24/89	\$5.00/hr.
GRAY, JOAN MARIE	Supplementary	Secretary (Technical Assistant for Arts & Crafts Market)	2/1/89 - 6/24/89	\$300.00
GRAY, ZANE MICHAEL	Supplementary	Equipment Room Clerk (Laborer for Arts & Crafts Market)	6/22/89 - 6/24/89	\$115.00
SAMMONS, GEORGIA	Supplementary	Data Entry Specialist (Arts & Crafts Market Manager)	2/1/89 - 6/26/89	\$62,500.00
SIMPSON, MICHAEL LYNN	Wage Payroll	Laborer	6/23/89 - 6/24/89	\$5.00/hr.



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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<u>Off. Library &amp; Instruc. Media</u>				
BACK, CARRIE COURTNEY	Retirement	Librarian III	6/30/89	\$25,655.00
HOWARD, CAROLYN SUE	Standing I Probation Replacement	Library Assistant I (Replacing Betty Stevens, \$5.68/hr.)	1/17/89	\$4.93/hr.
JACKSON, MYRTLE H.	Leave without pay	Library Assistant I	9/22/88 - 1/31/89	\$6.62/hr.
MCCANE, ROGER DAVID	Wage Payroll	Library Study Center Attendant (Will work 15-20 hours per week)	1/23/89 - 5/13/89	\$4.30/hr.
MORRIS, DEBRA RENEE	Standing I Probation Replacement	Library Assistant I (Replacing Velma Campbell, \$5.63/hr.)	1/17/89	\$4.93/hr.
MULKEY, TAMALA NEU	Resignation	Library Assistant III	1/9/89	\$6.71/hr.
NUTTER, DANICE ELIZABETH	Probation Completed	Library Automation Specialist	12/26/88	\$6.48/hr.
STEVENS, BETTY JUNE	Standing I Promotion Probation Replacement Salary Adjustment Title Change	Library Assistant II (Replacing Danice Nutter, \$5.84/hr.)	11/14/88	\$5.70/hr. (\$ .19/hr. incr.)
<u>Physical Plant Administration</u>				
<u>General Services</u>				
MAY, GARDNER J	Probation Completed	Warehouseman	12/12/88	\$4.93/hr.
STONE, RICKY ALLEN	Wage Payroll	Painter	1/23/89 - 7/22/89	\$5.00/hr.

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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Physical Plant Administration</b>				
<u>Power Plant</u>				
JESSEE, WAYNE MICHAEL	Standing I Probation	Heating & Water Plant Operator	1/3/89	\$6.48/hr.
<u>Build. Maint.-Mechanical Shop</u>				
MOORE, KEITH	Wage Payroll	Electronic Technician	12/26/88 - 12/30/88	\$10.00/hr.
STAPLETON, JOHN A.	Wage Payroll	Electrician	1/17/89 - 6/30/89	\$6.48/hr.
<u>Build. Maint.-Carpenters</u>				
BENTLEY, SANFORD	Fixed Term I Probation	Carpenter	1/3/89 - 6/30/89	\$6.48/hr.
ELAM, NICK RAY	Fixed Term I Probation	Carpenter	1/3/89 - 6/30/89	\$6.48/hr.
ELLIOTT, AVERY D	Fixed Term I Continuation	Carpenter	1/1/89 - 6/30/89	\$6.68/hr.
JOHNSON, HOWARD RANDY	Standing I Probation	Carpenter	1/3/89	\$6.61/hr.
WINKLE, WENDELL LEE	Fixed Term I Continuation	Carpenter	1/1/89 - 6/30/89	\$6.68/hr.
<u>Custodial</u>				
BARKER, DETTA FRANCES	Probation Completed	Custodian	1/3/89	\$4.21/hr.
MCCLURG, DOROTHY MAE	Resignation	Custodian	1/3/89	\$5.37/hr.
STEVENSON, VERNON L.	Leave without pay	Custodian	12/1/88 - 6/30/89	\$5.29/hr.

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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Physical Plant Administration</b>				
<u>Preventative Maintenance</u>				
LEITZ, STEVE P.	Probation Completed	Engineer	1/1/89	\$19,716.00
WILLIAMS, RICKY JOE	Fixed Term I	Envir. Control Technician	1/17/89 - 10/30/89	\$6.48/hr.
<b>Adm. and Fiscal Services -- Auxiliary</b>				
<u>Office of Food Services</u>				
BACK, CAROLYN SUE	Fixed Term I	Food Service Worker	1/5/89 - 6/30/89	\$4.21/hr.
BACK, CAROLYN SUE	Resignation	Food Service Worker	1/13/89	\$4.21/hr.
BEAR, LINDA	Fixed Term I	Food Service Worker	1/14/89 - 6/30/89	\$4.21/hr.
BLACK, ETHEL L.	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
BOWLING, KEITH R	Resignation	Food Service Worker	12/5/88	\$3.35/hr.
BOYD, BETTY JEAN	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
BREWER, BARRY L.	Probation	Food Service Manager (Extend Probation Period)	1/1/89	\$13,398.00
BUCKLER, LORI JANE	Wage Payroll Continuation	Food Service Worker (Will work 32 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
CATRON, LILLIE M.	Fixed Term I	Food Service Worker	2/1/89 - 6/30/89	\$4.21/hr.
CAUDILL, RUSSELL LAWRENCE	Wage Payroll Continuation	Food Service Worker (Will work 32 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
COX, OWEN W.	Wage Payroll	Food Service Worker	1/30/89 - 5/13/89	\$3.35/hr.
CRAIL, VICTORIA LYNN	Wage Payroll Continuation	Food Service Worker (Will work 32 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.

12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Adm. and Fiscal Services -- Auxiliary				
<u>Office of Food Services</u>				
DAY, HAZEL RAE	Fixed Term I	Food Service Worker	2/16/89 - 6/30/89	\$4.21/hr.
FERGUSON, CAROLYN DENISE	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
FULTON, MARTHA S.	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
FUOSS, L. MARIE	Wage Payroll Continuation	Food Service Worker (Will work 32 hours per week)	12/20/88 - 6/30/89	\$3.35/hr.
GUY, MICHELLE LYNNE	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
HOWARD, BRENDA S.	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
HOWARD, BRENDA S.	Resignation	Food Service Worker	1/13/89	\$3.35/hr.
HOWARD, PEGGY LYNN	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
HOWARD, PEGGY LYNN	Resignation	Food Service Worker	1/13/89	\$3.35/hr.
ISON, ALISHA CAROL	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
ISON, ALISHA CAROL	Release	Food Service Worker	12/22/88	\$3.35/hr.
JOHNSON, LOUIS	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
KEETON, PHILLIP NEIL	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
KEETON, PHILLIP NEIL	Resignation	Food Service Worker	1/9/89	\$3.35/hr.
KNIPP, LORRETTIA HAMM	Wage Payroll	Food Service Worker	1/30/89 - 5/13/89	\$3.35/hr.

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Adm. and Fiscal Services -- Auxiliary				
<u>Office of Food Services</u>				
MABRY, ELLA KAY	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
MCCARTY, PATTY ANN	Wage Payroll	Food Service Worker (Will work 32 hours per week)	1/23/89 - 5/13/89	\$3.35/hr.
MCCLAIN, ANTHONY WILLIAM	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
MICHAELS, DAVID J	Resignation	Food Service Manager	1/3/89	\$31,620.00
MORRISON, ANNA LEE	Wage Payroll	Food Service Worker (Will work 32 hrs/week)	11/1/88 - 12/17/88	\$3.35/hr.
MORRISON, ANNA LEE	Wage Payroll Continuation	Food Service Worker (Will work 32 hours per week)	12/21/88 - 6/30/89	\$3.35/hr.
NICKELL, WANDA L.	Fixed Term I	Food Service Worker	1/5/89 - 6/30/89	\$4.21/hr.
NOBLE, ERNESTINE	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
PENNINGTON, JUDY C.	Fixed Term I	Food Service Worker	1/13/89 - 6/30/89	\$4.21/hr.
RIDDLE, CHARLES MICHAEL	Wage Payroll Salary Adjustment Title Change	From Food Service Manager to Food Service Worker (Will work 37.5 hours per week)	1/9/89 - 5/13/89	\$4.16/hr.
RIVERS, CYNTHIA RENEE	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
ROBERSON, MINA FAYE	Fixed Term I	Food Service Worker	1/5/89 - 6/30/89	\$4.21/hr.
ROBINETTE, REBECCA L	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
ROUTT, KIMBERLY SCOTT	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Adm. and Fiscal Services -- Auxiliary				
<u>Office of Food Services</u>				
RUCKER, EARL DEAN	Fixed Term I Probation	Food Service Worker	1/1/89 - 6/30/89	\$4.16/hr.
SMITH, LISA C	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
SMITH, SHIRLEY J.	Fixed Term I	Food Service Worker	1/13/89 - 6/30/89	\$4.21/hr.
STATON, AUDREY I.	Fixed Term I	Food Service Worker	2/8/89 - 6/30/89	\$4.21/hr.
STEVENS, BARBARA A.	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
STONE, TOMMIE L.	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
STRANGE, AMANDA LOUISE	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
SURFACE, DEBBIE LYNN	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
TERRELL, KAY	Wage Payroll Continuation	Food Service Worker (Not to exceed 100 hours per month)	1/8/89 - 5/13/89	\$3.35/hr.
TERRELL, KAY	Resignation	Food Service Worker	1/21/89	\$3.35/hr.
WELLS, FRANCES JEAN	Title Change	From Food Services Worker to Acting Director of Food Services	10/24/88 - 6/30/89	\$4.83/hr.
WHITE, RONALD	Wage Payroll Continuation	Food Service Worker (Will work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.
WHITE, RONALD	Wage Payroll	Food Service Worker (Work 37.5 hours per week)	1/8/89 - 5/13/89	\$3.35/hr.

12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
Adm. and Fiscal Services -- Auxiliary				
<u>University Store</u>				
GEE, KIMBERLY	Wage Payroll	Clerk Typist	12/12/88 - 4/1/89	\$4.93/hr.
GRIFFEY, JACKIE R	Supplementary	Supply Specialist (Additional duties until Director's position is filled)	12/1/88 - 4/1/89	\$600.00
JONES, SHEILA L.	Wage Payroll	Clerk Typist	12/12/88 - 4/1/89	\$4.93/hr.
MCCLAIN, PAULA L.	Wage Payroll	Cashier	1/9/89 - 1/20/89	\$3.35/hr.
STEWART, EVELYN S	Supplementary	Book Specialist (Additional duties until Director's position is filled)	12/1/88 - 4/1/89	\$600.00
THOMPSON, SHERRY E	Wage Payroll	Cashier	1/9/89 - 1/17/89	\$3.35/hr.
WHITT, TONYA M.	Wage Payroll	Cashier	1/9/89 - 1/20/89	\$3.35/hr.
<u>Golf Course</u>				
HAMILTON, ROBERT CHRISTOPHER	Probation Completed	Assistant Manager	12/6/88	\$10,048.00
<u>Residence Education</u>				
BOHRER, ANTHONY DARYL	Supplementary	Student Assistant (Assuming additional duties due to the resignation of Aurora Conn)	1/2/89 - 5/31/89	\$2,150.00
CONKLIN, PEGGY LYNN	Probation Completed	Residence Hall Director	1/18/89	\$13,500.00
CONN, AURORA H	Resignation	Residence Hall Director	1/3/89	\$13,500.00
EBRIGHT, MICHAEL PAUL	Probation Completed	Residence Hall Director	1/5/89	\$13,770.00

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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Adm. and Fiscal Services -- Auxiliary</b>				
<u>Residence Education</u>				
HANSHAW, JOHN DEXTER	Supplementary	Plumber (Extra duty/work to recover pool tables in Waterfield Hall)	12/10/88	\$100.00
ISON, JAMES ROBERT	Supplementary	Plumber (Extra duty/work to recover pool tables in Waterfield Hall)	12/10/88	\$100.00
MORRIS, LONNIE R	Fixed Term I Probation	Residence Hall Director (Replacing Darinda Marriner, \$13,770.00)	1/6/89 - 7/15/89	\$13,500.00
RAMEY-MARRINER, DARINDA JUNE	Resignation	Residence Hall Director	1/3/89	\$13,770.00
<u>Custodial-Res. Hall Services</u>				
CLARK, DANIEL ARTHUR	Wage Payroll	Custodian (Not to exceed 100 hours per month)	1/9/89 - 5/31/89	\$3.35/hr.
FERGUSON, CECIL RAY	Wage Payroll	Custodian (Will be working 100 hours or less per month)	12/12/88 - 5/30/89	\$3.35/hr.
MARRINER, MICHAEL STEVEN	Resignation	Laborer	12/31/88	\$3.35/hr.
WHITT, SHEILA PENCE	Standing I Probation	Custodian	1/17/89	\$4.16/hr.



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## PERSONNEL ACTIONS

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Federal -- Restricted Program</b>				
<u>Adult Basic Educ In-Serv Train</u>				
DIETZ, ARTHUR H	Fixed Term II	Consultant (ABE Program Evaluation in Nicholas and Bath Counties)	11/15/88 - 12/15/88	\$250.00
HANEY, MARLENE S	Fixed Term II	Consultant (ABE Program Evaluation in Boyle and Washington Counties)	11/15/88 - 12/15/88	\$250.00
HURLEY, TONY	Fixed Term II	Consultant (ABE Program Evaluation at Fulton and Fulton Independent Schools)	11/15/88 - 12/15/88	\$250.00
<u>Talent Search - TRIQ</u>				
MCDAVID, CINDY KAYE	Wage Payroll	Secretary	11/21/88 - 6/30/89	\$5.70/hr.
<u>Special Services - TRIQ</u>				
LEWIS, RHONDA S	Wage Payroll	Learning Lab Assistant	1/17/89 - 5/19/89	\$4.68/hr.
<u>Upward Bound - TRIQ</u>				
CADY, JENNIFER PERDUE	Fixed Term I Probation Replacement	Coordinator Upward Bound (Replacing Judith Edinger, \$24,997.00)	2/6/89 - 6/30/89	\$24,000.00
COX, WANDA KATHERN	Supplementary	Secretary (Prepare Upward Bound Grant Proposal)	11/1/88 - 12/2/88	\$239.00

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## PERSONNEL ACTIONS

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Federal -- Restricted Program</b>				
<u>Upward Bound - TRIQ</u>				
KIBBEY, CONNIE LYNN	Supplementary	Upward Bound Counselor (Develop Upward Bound Grant Proposal)	10/1/88 - 12/2/88	\$675.00
KIBBEY, CONNIE LYNN	Supplementary	Counselor (Extension as Acting Coordinator of Upward Bound)	1/3/89 - 2/5/89	\$400.00
 <u>Head Start</u>				
EVANS, JACQUELINE FAYE	Probation Completed	Head Start Teacher	1/10/89	\$12,897.00
 <u>Job Training Part. Act Prog.</u>				
COOPER, JACKIE	Fixed Term II	Consultant (Present a one-day seminar)	4/3/89 - 4/3/89	\$25.00
DEPRIEST, ROBERTA JO	Probation Completed	Secretary	12/30/88	\$5.00/hr.
IGO, DAVID	Fixed Term II	Consultant (18 hours of instruction)	1/18/89 - 2/3/89	\$180.00
LAMBERT, KIM	Fixed Term II	Consultant (Instruct JTP students 2 days per week)	1/18/89 - 5/1/89	\$330.00
OSBORNE, MOLLY REGISTER	Fixed Term II	Consultant (Instruct JTP students 2 days per week)	1/18/89 - 5/10/89	\$320.00
SHELY, CATHERINE LYNN	Fixed Term II	Consultant (56 hours of instruction)	1/18/89 - 4/1/89	\$730.00
SPANIOL, CHERYL RENEE	Fixed Term II	Consultant (45 hours of instruction)	1/18/89 - 5/10/89	\$900.00

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12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Federal -- Restricted Program</b>				
<u>KET-GED on T.V.</u>				
DAVIS, ANITA KAY	Wage Payroll	KET Student Advisor	12/12/88 - 4/28/89	\$4.93/hr.
MOORE, GINA ELAINE	Wage Payroll Title Change Salary Adjustment	From General Office Worker to KET Student Advisor	12/18/88 - 6/30/89	\$4.93/hr.
STONE, SHEILA ROBIN	Resignation	KET Student Advisor	12/30/88	\$5.48/hr.
THOMPSON, ALICE RUSSELL	Wage Payroll	Secretary	12/12/88 - 4/28/89	\$5.50/hr.
<u>Career Planning &amp; Placement</u>				
BUCK, LESLIE ANN	Fixed Term I Probation	Cooperative Education Job Developer	12/12/88 - 9/30/89	\$16,557.00
<u>CDPCRC</u>				
CARSNER, CATHLEEN MAE	Fixed Term II	Testing Specialist	1/9/89 - 3/11/89	\$85.00/test date
TAPP, GEORGE S	Supplementary	Dept. Chair, Psychology (Evaluation sessions under terms of CDPCRC)	1/9/89 - 5/27/89	\$150.00/test date
<u>Reg. Teacher Intern. Program</u>				
BENDIXEN, JOE F	Supplementary	Professor of Agriculture (1 additional intern)	11/1/88 - 5/30/89	\$200.00
BROWN, JAMES LUSTER	Fixed Term II	Teacher Educator (2 interns)	12/1/88 - 5/30/89	\$400.00

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
Federal -- Restricted Program				
<u>Reg. Teacher Intern Program</u>				
CAUDILL, BILLY F	Fixed Term II	Teacher Educator (Complete remaining observations on 2 interns)	11/1/88 - 5/30/89	\$300.00
DAVIDSON, ROBERTA W	Fixed Term II	Teacher Educator (1 additional intern)	11/1/88 - 5/30/89	\$200.00
FRANKLIN, JERRY RUDOLPH	Supplementary	Asst. Prof. of Education (Administer FPMS test)	12/16/88 - 12/16/88	\$150.00
HAMM, DURELL DEXTER	Supplementary	Instructor of Speech (6 interns)	11/1/88 - 5/30/89	\$1,200.00
HARPER, JAMES R.	Fixed Term II	Teacher Educator (Complete remaining observations on 2 interns)	11/1/88 - 5/30/89	\$300.00
HENSLEY, ANN M	Wage Payroll	Secretary (Work 20 hours per week)	1/3/89 - 6/30/89	\$5.25/hr.
JOHNSON, JAMES	Fixed Term II	Teacher Educator (Complete remaining observations on 2 interns)	11/1/88 - 5/30/89	\$300.00
PHELPS, MARGANNA C	Fixed Term II	Teacher Educator (Complete remaining observations on 3 interns)	11/1/88 - 5/30/89	\$450.00
POLLOCK, MARY ANNE	Supplementary	Assistant Professor of Education (4 interns)	11/1/88 - 5/30/89	\$800.00
POLLOCK, MARY ANNE	Supplementary	Assistant Professor of Education (Initial observations of 2 interns in Carter Co.)	11/1/88 - 5/30/89	\$100.00
PRICKETT, ROBERT L	Supplementary	Assistant Professor of Education (Initial observation of 1 intern in Letcher Co.)	11/1/88 - 5/30/89	\$50.00

12/01/88 thru 02/03/89

Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Federal -- Restricted Program</b>				
<u>Reg. Teacher Intern. Program</u>				
RAMEY, STAN	Fixed Term II	Teacher Educator (3 interns)	1/9/89 - 5/30/89	\$600.00
RIEDEL, GLENN ALBERT	Fixed Term II	Teacher Educator (Complete remaining observations on 1 intern)	11/1/88 - 5/30/89	\$150.00
STIDOM, RICHARD A	Fixed Term II	Teacher Educator (2 interns)	12/1/88 - 5/30/89	\$400.00
TOLER, WILLIAM ALEX	Fixed Term II	Teacher Educator (1 additional intern)	11/1/88 - 5/30/89	\$200.00
TUCKER, RONALD F.	Supplementary	Professor of IET (1 intern)	11/1/88 - 5/30/89	\$200.00
WELLS, SUE S	Supplementary	Assistant Professor of Education (Complete remaining observations on 4 interns)	11/1/88 - 5/30/89	\$600.00
WILSON, ELAINE N	Fixed Term II	Teacher Educator (2 interns)	11/1/88 - 5/30/89	\$400.00
<u>Dropout Prevention Program</u>				
ALBRIGHT, VIRGINIA BETH	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
BANKS, ANTONIA JUNE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
BURRIS, LISA MARIE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
BYRNE, MICHAEL JOSEPH	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
CAUDILL, LANNA	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
FRAZIER, LATONYA DENISE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
FRENCH, SARA JEAN	Wage Payroll	Dropout Tutor	1/18/89 - 5/6/89	\$4.00/hr.
GREEN, WILLIAM DAVID	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.

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Administrative Unit/ Name	Action	Description	Effective Date	Salary
<b>Federal -- Restricted Program</b>				
<u>Dropout Prevention Program</u>				
HATFIELD, SONYA MARIE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
HOLLAND, DONNA JEAN	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
HOWARD, TERESA	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
JONES, TONYA SHAY	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
KELTNER, LISA MARIE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
KENNARD, DAVID LEE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
LATHERY, ANGELA BETH	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
LITTLETON, VIRGINIA JOSETTE	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
MITTEN, KIMBERLY NOEL	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
MORGAN, ANTHONY LYNN	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
MORRELLES, JOHN ALEXANDER	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
MORRISON, LORA ANN	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
PRICE, LUCINDA ANN	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
STEPHENS, THOMAS WILLIAM	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
WHITAKER, STACY LYNN	Wage Payroll	Dropout Tutor	1/17/89 - 5/6/89	\$4.00/hr.
<u>Martiki Research Project</u>				
HALE, TONY	Wage Payroll	Farm Laborer	1/3/89 - 3/3/89	\$3.75/hr.
JUDE, DARRELL	Probation	Farm Laborer (Extend Probationary Period)	12/1/88	\$4.08/hr.
JUDE, DARRELL	Resignation	Farm Laborer	12/15/88	\$4.08/hr.
REED, DON	Wage Payroll	Laborer	12/19/88 - 2/18/89	\$3.75/hr.
RICHARDSON, STEPHEN T	Probation Completed	Swine Herdsman	12/1/88	\$15,000.00

**QUARTERLY FINANCIAL REPORT  
AND AMENDMENT OF FY 89 OPERATING BUDGET**

**Background**

**Financial Statements:**

KRS 164.420 provides that a financial report shall be issued to the Board of Regents on a timely basis. In accordance with that statute, financial statements have been prepared with information as of December 31, 1988.

**Operating Budget Reports:**

Also included in the financial report, as required by the 1988-89 Operating Budget Resolution, are budget reports for revenues and expenditures. These reports provide detail of adjustments to the original budget and a comparison of budgeted revenues and expenditures to actual as of December 31, 1988.

**Capital Outlay:**

The 1988-89 Operating Budget Resolution also requires that a report of equipment purchases greater than \$25,000 and a report of capital construction projects greater than \$50,000 be provided as part of the quarterly financial report. Such reports are presented herein.

**Analysis**

**Operating Budget Reports:**

The original 1988-89 operating budget included budgeted revenues and expenditures of \$47,004,969 and was based on a projected fall enrollment of 6,800 students. An amended budget of \$47,592,823, based on a revised projection of 7,092 students for fall, was authorized by the Board on August 6, 1988. Several additional increases, funded from an increase in the university's unallocated fund balance and additional enrollment growth, were approved by the Board on October 7, 1988. Major items approved at the October Board meeting included an upgrade of administrative and academic computer systems, relocation of the computer center, a non-recurring salary supplement and midyear salary increases for university faculty and staff. These authorizations, totalling \$1,201,926, resulted in amending the operating budget to \$48,794,749, an increase of 2.5%.

The revised operating budget of \$49,730,425, as presented on the following pages, reflects an additional proposed increase in the operating budget of \$935,676, 1.9% of the amended base of \$48,794,749. The requested amendment can be summarized as follows:

**1. TUITION AND FEES - \$343,000**

The final fall enrollment was 7,379 students, slightly higher than the 7,304 students previously projected. An increased retention rate for the spring semester also resulted in additional students and, as a result, additional fees. Finally, summer school tuition was increased to revised projections. The increased revenues from tuition and fees will be used for related instructional expenses.

**2. AUXILIARY ENTERPRISES - \$537,490**

Revenue estimates for two major auxiliary operations the University Store and Food Services - have not been adjusted since the original operating budget was approved. The enrollment growth experienced in 1988-89 has caused an increase in sales for both these operations. An increase in revenues of \$373,490 for the University Store and \$164,000 for Food Services is proposed. Most of the requested increase in revenues will be applied to cost of sales and related expenses for the University Store and Food Services.

**3. OTHER - \$55,186**

Internal transfers and other revenue adjustments totalling \$55,186 have also occurred since October 1, 1988, and are so reflected in the financial report.

**Recommendation**

That the Board of Regents accept the quarterly financial report as presented and amend the institution's 1988-89 Operating Budget to reflect a revised expenditure level of **\$49,730,425** in accordance with the detailed budget information provided.





BUSINESS SERVICES

207 HOWELL-MCDOWELL AD. BLDG.  
MOREHEAD, KENTUCKY 40351  
TELEPHONE 606-783-2115

December 31, 1988

Dr. C. Nelson Grote, President  
Members of the Board of Regents  
Morehead State University  
Morehead, Kentucky 40351

Dear Dr. Grote and Members of the Board:

I am submitting to you the December 31, 1988, Balance Sheet for Morehead State University and the related Statement of Current Fund Revenues and Expenditures for the three months then ended.

These statements have been prepared on the accrual basis and present fairly the financial position of Morehead State University at December 31, 1988, and the current fund revenues and expenditures for the three months then ended.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Carla G. Scott'.

Carla G. Scott, C.P.A.  
Controller

CGS:nb

Enclosures

MOREHEAD STATE UNIVERSITY  
 FY 1988-89 BALANCE SHEET  
 DEC. 31, 1988,

PAGE: 001

1 Current Funds	ASSETS CURRENT YEAR	10 Unrestricted	LIABILITIES & FUND BALANCE CURRENT YEAR
101 Cash	6,231,530.42	201 Accounts Payable	58,423.70
102 Receivables, Invest	1,839,308.42	202 Accrued P/R W/H	524,856.92
103 Inventories	<u>1,433,211.87</u>	205 Due To Other Funds	44,940.09
		206 Other Accruals	0.00
		209 Contingent Liability	<u>700,504.54</u>
		TOTAL LIABILITIES	1,328,725.25
		301 Fund Balance	<u>8,175,325.46</u>
TOTAL Unrestricted	<u>9,504,050.71</u>	TOTAL Unrestricted	<u>9,504,050.71</u>
11 Restricted Current		11 Restricted Current	
101 Cash	217,082.96	201 Accounts Payable	196,308.91
102 Receivables, Invest	<u>358,991.96</u>	202 Accrued P/R W/H	0.00
		203 Unearned Revenues	291,526.18
		205 Due To Other Funds	0.00
		206 Other Accruals	<u>0.00</u>
		TOTAL LIABILITIES	487,835.09
		303 Rest. Fund Balance	<u>88,239.83</u>
TOTAL Restricted Current	<u>576,074.92</u>	TOTAL Restricted Current	<u>576,074.92</u>
TOTAL Current Funds	<u>10,080,125.63</u>	TOTAL Current Funds	<u>10,080,125.63</u>

MOREHEAD STATE UNIVERSITY  
 FY 1988-89 BALANCE SHEET  
 DEC. 31, 1988

2 Loan Funds	ASSETS CURRENT YEAR	20 NDSL	LIABILITIES & FUND BALANCE CURRENT YEAR
20 NDSL		20 NDSL	
101 Cash	347,996.82	201 Accounts Payable	19,900.00
102 Receivables, Invest	<u>2,943,099.24</u>	205 Due To Other Funds	<u>0.00</u>
		TOTAL LIABILITIES	19,900.00
		302 Loan Fund Balance	<u>3,271,196.06</u>
TOTAL NDSL	<u>3,291,096.06</u>	TOTAL NDSL	<u>3,291,096.06</u>
21 Nursing Loans		21 Nursing Loans	
101 Cash	4,859.38	201 Accounts Payable	<u>0.00</u>
102 Receivables, Invest	<u>45,348.40</u>	TOTAL LIABILITIES	0.00
		302 Loan Fund Balance	<u>50,207.78</u>
TOTAL Nursing Loans	<u>50,207.78</u>	TOTAL Nursing Loans	<u>50,207.78</u>
TOTAL Loan Funds	<u>3,341,303.84</u>	TOTAL Loan Funds	<u>3,341,303.84</u>

MOREHEAD STATE UNIVERSITY  
 FY 1988-89 BALANCE SHEET  
 DEC. 31, 1988

3 Endowment Funds	ASSETS CURRENT YEAR		LIABILITIES & FUND BALANCE CURRENT YEAR
30 Endowment		30 Endowment	
101 Cash	1,937.51	301 Fund Balance	2,640.09
102 Receivables, Invest	702.58		
<u>TOTAL Endowment</u>	<u>2,640.09</u>	<u>TOTAL Endowment</u>	<u>2,640.09</u>
31 Fund for Excellence		31 Fund for Excellence	
101 Cash	139,118.01	301 Fund Balance	139,118.01
102 Receivables, Invest	0.00		
<u>TOTAL Fund for Excellence</u>	<u>139,118.01</u>	<u>TOTAL Fund for Excellence</u>	<u>139,118.01</u>
<u>TOTAL Endowment Funds</u>	<u>141,758.10</u>	<u>TOTAL Endowment Funds</u>	<u>141,758.10</u>

MOREHEAD STATE UNIVERSITY  
 FY 1988-89 BALANCE SHEET  
 DEC. 31, 1988

PAGE: 004

4 Plant Funds	ASSETS CURRENT YEAR		LIABILITIES & FUND BALANCE CURRENT YEAR
40 Unexpended		40 Unexpended	
101 Cash	1,158,199.39	201 Accounts Payable	0.00
102 Receivables, Invest	<u>0.00</u>		
		TOTAL LIABILITIES	0.00
		304 Plant Fund Balance	<u>1,158,199.39</u>
TOTAL Unexpended	<u>1,158,199.39</u>	TOTAL Unexpended	<u>1,158,199.39</u>
41 Renewal/Replacement		41 Renewal/Replacement	
101 Cash	514,035.18	304 Plant Fund Balance	610,987.18
102 Receivables, Invest	<u>96,952.00</u>		
		TOTAL Renewal/Replacement	<u>610,987.18</u>
TOTAL Renewal/Replacement	<u>610,987.18</u>		
42 Ret. of Indebtedness		42 Ret. of Indebtedness	
101 Cash	346,827.52	304 Plant Fund Balance	3,077,230.19
102 Receivables, Invest	<u>2,730,402.67</u>		
		TOTAL Ret. of Indebtedness	<u>3,077,230.19</u>
TOTAL Ret. of Indebtedness	<u>3,077,230.19</u>		
43 Investment In Plant		43 Investment In Plant	
109 Fixed Assets	<u>96,306,900.71</u>	208 Long Term Liabilites	24,890,000.00
		TOTAL LIABILITIES	24,890,000.00
		304 Plant Fund Balance	<u>71,416,900.71</u>
TOTAL Investment In Plant	<u>96,306,900.71</u>	TOTAL Investment In Plant	<u>96,306,900.71</u>
<u>TOTAL Plant Funds</u>	<u>101,153,317.47</u>	<u>TOTAL Plant Funds</u>	<u>101,153,317.47</u>

MOREHEAD STATE UNIVERSITY  
 FY 1988-89 BALANCE SHEET  
 DEC. 31, 1988,

5 Agency Funds	ASSETS CURRENT YEAR		LIABILITIES & FUND BALANCE CURRENT YEAR
50 Club Accounts		50 Club Accounts	
101 Cash	34,821.88	201 Accounts Payable	1,596.63
		204 Deposits Held/Others	33,225.25
		205 Due To Other Funds	0.00
		TOTAL LIABILITIES	34,821.88
TOTAL Club Accounts	34,821.88	TOTAL Club Accounts	34,821.88
51 Scholarship Account		51 Scholarship Account	
101 Cash	12,652.15	201 Accounts Payable	74,334.00
102 Receivables, Invest	68,824.00	204 Deposits Held/Others	7,142.15
		TOTAL LIABILITIES	81,476.15
TOTAL Scholarship Account	81,476.15	TOTAL Scholarship Account	81,476.15
52 Deposit Account		52 Deposit Account	
101 Cash	235,449.40	201 Accounts Payable	501.28
102 Receivables, Invest	0.00	204 Deposits Held/Others	234,948.12
		205 Due To Other Funds	0.00
		TOTAL LIABILITIES	235,449.40
TOTAL Deposit Account	235,449.40	TOTAL Deposit Account	235,449.40
53 Consolidated Agency		53 Consolidated Agency	
101 Cash	397,668.18	201 Accounts Payable	39,549.94
102 Receivables, Invest	126,338.50	204 Deposits Held/Others	466,145.22
		205 Due To Other Funds	18,311.52
		TOTAL LIABILITIES	524,006.68
TOTAL Consolidated Agency	524,006.68	TOTAL Consolidated Agency	524,006.68
54 Federal Programs		54 Federal Programs	
101 Cash	0.00	204 Deposits Held/Others	0.00
		TOTAL LIABILITIES	0.00
TOTAL Federal Programs	0.00	TOTAL Federal Programs	0.00
<u>TOTAL Agency Funds</u>	<u>875,754.11</u>	<u>TOTAL Agency Funds</u>	<u>875,754.11</u>

Statement of Current Fund Revenues and Expenditures

Morehead State University

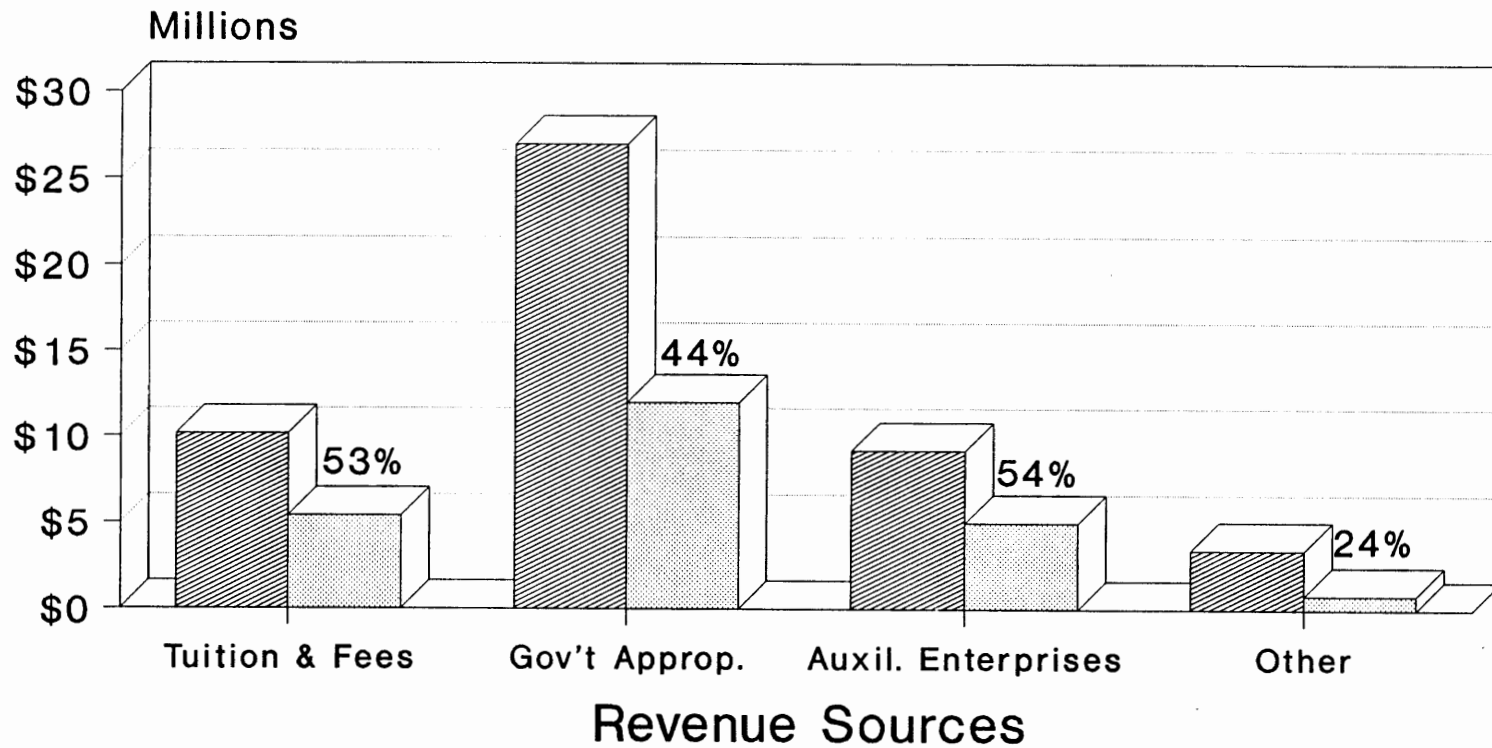
For the 6 months ending 12/31/88

	Unrestricted	Restricted	Total
<b>REVENUES</b>			
Tuition and Fees	5,385,589.20	0.00	5,385,589.20
Gov't Appropriations	11,974,289.41	0.00	11,974,289.41
Private Gifts	0.00	0.00	0.00
Indirect Cost Reimb.	8,552.30	0.00	8,552.30
S&S of Ed. Activities	319,756.43	0.00	319,756.43
Other Sources	475,944.61	0.00	475,944.61
Auxiliary Enterprise	4,982,295.51	0.00	4,982,295.51
Restricted Current	0.00	4,058,089.68	4,058,089.68
<b>Total CURRENT REVENUES</b>	<b>23,146,427.46</b>	<b>4,058,089.68</b>	<b>27,204,517.14</b>
<b>EXPENDITURES AND MANDATORY TRANSFERS</b>			
<b>EDUCATION AND GENERAL</b>			
INSTRUCTION	6,881,259.24	560,698.39	7,441,957.63
RESEARCH	4,426.94	16,172.64	20,599.58
PUBLIC SERVICE	400,305.67	472,154.71	872,460.38
LIBRARY	785,036.79	30,946.80	815,983.59
ACADEMIC SUPPORT	1,003,028.73	14,501.32	1,017,530.05
STUDENT SERVICES	1,851,468.56	186,483.57	2,037,952.13
INSTITUTIONAL SUPPORT	2,768,469.06	58,675.22	2,827,144.28
OPERATIONS AND MAINTENANCE OF PLANT	2,449,470.98	4,037.67	2,453,508.65
STUDENT FINANCIAL AID PROGRAM	1,235,809.23	2,542,067.00	3,777,876.23
<b>Sub-Total EDUCATION AND GENERAL</b>	<b>17,379,275.20</b>	<b>3,885,737.32</b>	<b>21,265,012.52</b>
MANDATORY TRANSFERS	227,198.00	0.00	227,198.00
<b>Total EDUCATION AND GENERAL</b>	<b>17,606,473.20</b>	<b>3,885,737.32</b>	<b>21,492,210.52</b>
<b>AUXILIARY ENTERPRISES</b>			
EXPENDITURES	3,812,170.60	151,875.12	3,964,045.72
MANDATORY TRANSFERS	0.00	0.00	0.00
<b>Total AUXILIARY ENTERPRISES</b>	<b>3,812,170.60</b>	<b>151,875.12</b>	<b>3,964,045.72</b>
<b>Total EXPENDITURES AND MANDATORY TRANSFERS</b>	<b>21,418,643.80</b>	<b>4,037,612.44</b>	<b>25,456,256.24</b>

# MOREHEAD STATE UNIVERSITY

## UNRESTRICTED CURRENT FUND REVENUES

For the Quarter Ended December 31, 1988



Proj. Rev FY 88-89



Actual 12/31/88



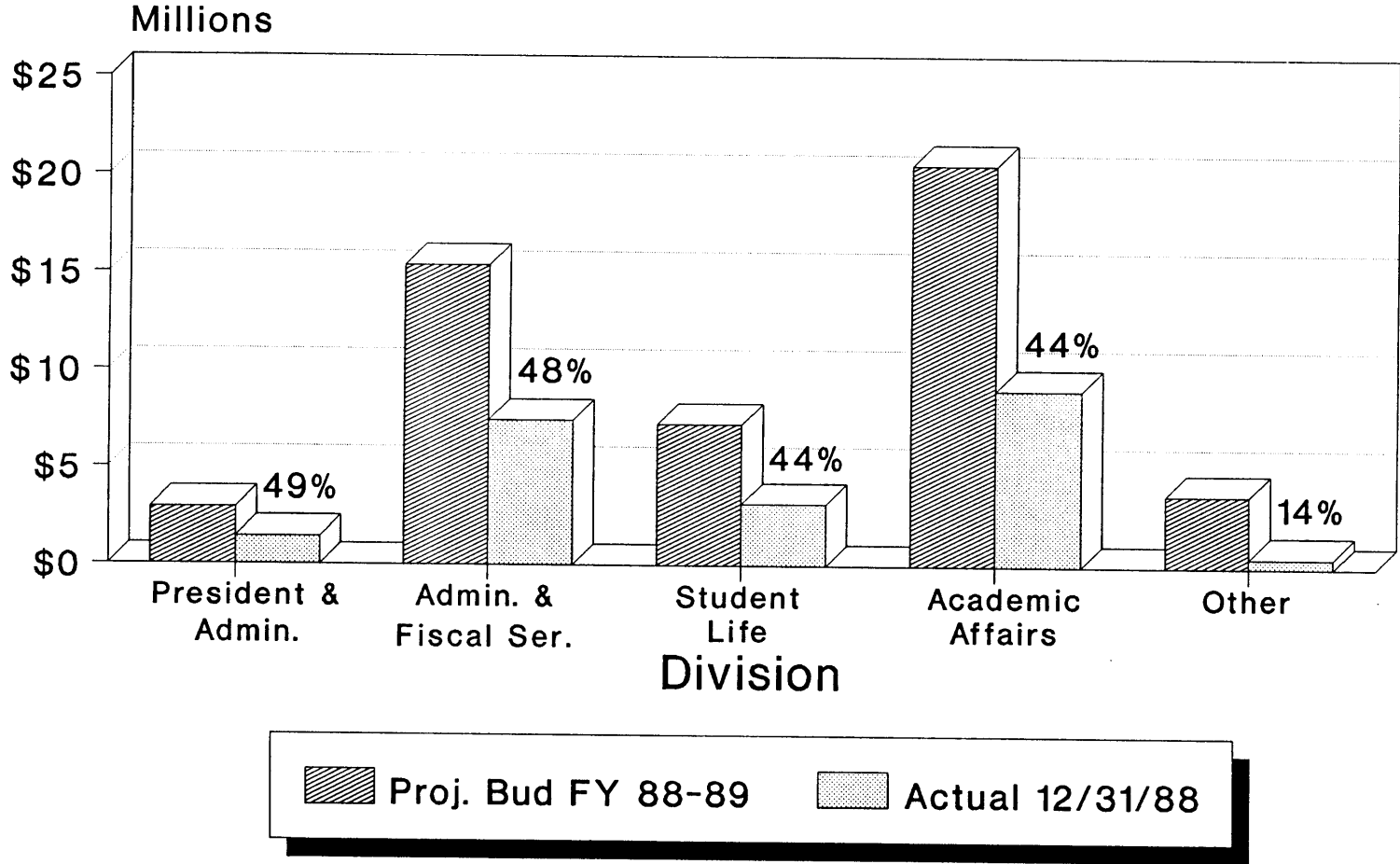
MOREHEAD STATE UNIVERSITY  
 FY 1988-89 REVENUES  
 FOR PERIOD 07/01/88 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	YEAR-TO-DATE 12/31/88	UNREALIZED REVENUES
TUITION AND FEES	\$9,246,525	\$922,150	\$10,168,675	10.0%	\$5,385,589	\$4,783,086
GOV'T APPROPRIATIONS	\$26,965,500	\$0	\$26,965,500	0.0%	\$11,974,289	\$14,991,211
PRIVATE GIFTS	\$271,100	\$0	\$271,100	0.0%	\$0	\$271,100
INDIRECT COST REIMB	\$121,000	\$0	\$121,000	0.0%	\$8,552	\$112,448
SALES AND SERVICES	\$484,280	(\$5,769)	\$478,511	-1.2%	\$319,756	\$158,755
OTHER SOURCES	\$1,509,417	\$1,040,593	\$2,550,010	68.9%	\$475,945	\$2,074,065
AUXILIARY ENTERPRISES	\$8,407,147	\$768,482	\$9,175,629	9.1%	\$4,982,296	\$4,193,333
<b>FISCAL YEAR TOTALS:</b>	<b>\$47,004,969</b>	<b>\$2,725,456</b>	<b>\$49,730,425</b>	<b>5.8%</b>	<b>\$23,146,427</b>	<b>\$26,583,998</b>

# MOREHEAD STATE UNIVERSITY

## UNRESTRICTED CURRENT FUND EXPENDITURES

For the Quarter Ended December 31, 1988



MOREHEAD STATE UNIVERSITY  
 FY 1989-90 EXPENDITURE BUDGET  
 FOR PERIOD 07/01/89 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
<u>Division of President &amp; Administr</u>							
BOARD OF REGENTS	\$2,450.00	\$1,000.00	\$3,450.00	40.8%	\$1,994.22	\$0.00	\$1,455.78
STUDENT PUBLICATIONS	\$89,739.00	\$3,416.00	\$93,155.00	3.8%	\$23,768.67	\$1,420.91	\$67,965.42
Subtotal	\$92,189.00	\$4,416.00	\$96,605.00	4.8%	\$25,762.89	\$1,420.91	\$69,421.20
PRESIDENT	\$256,753.00	\$-51,623.60	\$205,129.40	-20.1%	\$91,259.59	\$4,778.45	\$109,091.36
SCHOOL RELATIONS	\$0.00	\$85,097.00	\$85,097.00	100.0%	\$34,270.00	\$2,282.00	\$48,545.00
Subtotal	\$256,753.00	\$33,473.40	\$290,226.40	13.0%	\$125,529.59	\$7,060.45	\$157,636.36
UNIV ADVANCEMENT	\$203,121.00	\$8,317.00	\$211,438.00	4.1%	\$131,890.59	\$1,496.00	\$78,051.41
ALUMNI RELATIONS	\$165,600.00	\$4,765.42	\$170,365.42	2.9%	\$83,891.20	\$3,123.41	\$83,350.81
DEVELOPMENT	\$149,475.00	\$6,012.00	\$155,487.00	4.0%	\$80,721.41	\$1,876.49	\$72,889.10
MEDIA RELATIONS	\$115,010.00	\$5,891.00	\$120,901.00	5.1%	\$66,754.48	\$1,108.85	\$53,037.67
PUBLICATIONS	\$54,192.00	\$20,499.00	\$74,691.00	37.8%	\$19,658.97	\$0.00	\$55,032.03
Subtotal	\$687,398.00	\$45,484.42	\$732,882.42	6.6%	\$382,916.65	\$7,604.75	\$342,361.02
ATHLETICS-DIRECTOR	\$167,865.00	\$14,089.00	\$181,954.00	8.4%	\$87,369.70	\$11,078.18	\$83,506.12
TRAINER	\$105,188.00	\$5,341.00	\$110,529.00	5.1%	\$38,936.31	\$1,048.81	\$70,543.88
SPORTS INFO DIRECTOR	\$60,444.00	\$3,484.00	\$63,928.00	5.8%	\$30,234.23	\$1,035.46	\$32,658.31
BASEBALL-MENS	\$89,973.00	\$5,906.00	\$95,879.00	6.6%	\$40,330.05	\$16,919.29	\$38,629.66
BASKETBALL-MENS	\$307,290.00	\$-7,185.00	\$300,105.00	-2.3%	\$159,723.38	\$10,815.06	\$129,566.56
FOOTBALL-MENS	\$656,409.00	\$3,902.15	\$660,311.15	0.6%	\$361,666.58	\$8,248.37	\$290,396.20
GOLF-MENS	\$23,546.00	\$-1,150.00	\$22,396.00	-4.9%	\$12,707.71	\$0.00	\$9,688.29
SOCCER	\$11,411.00	\$-1,268.00	\$10,143.00	-11.1%	\$5,402.11	\$120.00	\$4,620.89
TENNIS-MENS	\$26,451.00	\$65.00	\$26,516.00	0.2%	\$9,720.23	\$296.91	\$16,498.86
SWIMMING	\$17,479.00	\$-981.00	\$16,498.00	-5.6%	\$6,673.66	\$2,868.96	\$6,955.38
CROSS COUNTRY	\$13,573.00	\$4,445.00	\$18,018.00	32.7%	\$7,682.30	\$2,502.20	\$7,833.50
BASKETBALL-WOMENS	\$137,728.00	\$8,925.00	\$146,653.00	6.5%	\$61,826.83	\$15,054.00	\$69,772.17
SOFTBALL-WOMENS	\$42,005.00	\$-181.00	\$41,824.00	-0.4%	\$15,447.72	\$0.00	\$26,376.28
TENNIS-WOMENS	\$25,200.00	\$-181.00	\$25,019.00	-0.7%	\$9,589.83	\$0.00	\$15,429.17
VOLLEYBALL-WOMENS	\$80,420.00	\$2,553.00	\$82,973.00	3.2%	\$43,924.77	\$0.00	\$39,048.23
Subtotal	\$1,764,982.00	\$37,764.15	\$1,802,746.15	2.1%	\$891,235.41	\$69,987.24	\$841,523.50
Total President & Administr	\$2,801,322.00	\$121,137.97	\$2,922,459.97	4.3%	\$1,425,444.54	\$86,073.35	\$1,410,942.08
Amendments to date:							
Approved August 6, 1988		(\$636.00)		-			
Approved October 7, 1988		\$63,074.97		2.2%			
Requested February 24, 1988		\$58,699.00		2.1%			
Total		\$121,137.97		4.3%			

MOREHEAD STATE UNIVERSITY  
 FY 1989-90 EXPENDITURE BUDGET  
 FOR PERIOD 07/01/89 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
<b>Division of Admin &amp; Fiscal Svcs</b>							
VP ADMIN-FISCAL SERV	\$121,668.00	\$3,992.25	\$125,660.25	3.3%	\$60,032.92	\$1,064.00	\$64,563.33
OFF/BUD & MAN INFO	\$153,201.00	\$5,009.00	\$158,210.00	3.3%	\$61,689.02	\$610.90	\$95,910.08
BUSINESS SERVICES	\$724,558.00	\$20,934.25	\$745,492.25	2.9%	\$383,933.50	\$33,528.79	\$328,029.96
INFO TECH- USER SERV	\$808,650.00	\$64,414.00	\$873,064.00	8.0%	\$471,647.15	\$94,817.50	\$306,599.35
PERSONNEL SERVICES	\$215,467.00	\$18,072.70	\$233,539.70	8.4%	\$117,726.16	\$2,095.92	\$113,717.62
WMKY RADIO	\$320,845.00	\$24,143.00	\$344,988.00	7.5%	\$148,658.59	\$1,491.02	\$194,838.39
GEN SERVICES-ADMIN	\$0.00	\$47,373.00	\$47,373.00	100.0%	\$4,379.63	\$0.00	\$42,993.37
SAFETY AND SECURITY	\$447,731.00	-\$29,214.00	\$418,517.00	-6.5%	\$227,750.45	\$1,821.99	\$188,944.56
INFO TECH- TECH SERV	\$260,821.00	-\$38,023.85	\$222,797.15	-14.6%	\$111,961.27	\$16,066.52	\$94,769.36
TELECOMMUNICATIONS	\$378,101.00	\$503.00	\$378,604.00	0.1%	\$138,110.71	\$1,111.26	\$239,382.03
PRINTING SERVICES	\$263,067.00	\$6,130.00	\$269,197.00	2.3%	\$108,808.44	\$329.98	\$160,058.58
POST OFFICE	\$65,188.00	\$1,623.00	\$66,811.00	2.5%	\$64,278.90	\$3,525.46	\$-993.36
STAFF CONGRESS	\$5,000.00	\$900.00	\$5,900.00	18.0%	\$1,251.07	\$0.00	\$4,648.93
Subtotal	\$3,764,297.00	\$125,856.35	\$3,890,153.35	3.3%	\$1,900,227.81	\$156,463.34	\$1,833,462.20
PHYSICAL PLANT ADMIN	\$573,431.00	\$80,477.00	\$653,908.00	14.0%	\$347,742.08	\$75,421.10	\$230,744.82
UTILITIES - E & G	\$953,000.00	-\$135,678.00	\$817,322.00	-14.2%	\$346,488.16	\$0.00	\$470,833.84
POWER PLANT	\$596,997.00	-\$75,754.00	\$521,243.00	-12.7%	\$218,473.40	\$129,945.66	\$172,823.94
BLD MAINT-MECHANICAL	\$209,713.00	\$4,787.00	\$214,500.00	2.3%	\$133,880.86	\$3,310.74	\$77,308.40
BLDG MAINT-CARPENTRY	\$371,909.00	\$12,265.00	\$384,174.00	3.3%	\$195,152.96	\$6,540.00	\$182,481.04
LAND & GROUNDS MAINT	\$170,462.00	\$122,030.00	\$292,492.00	71.6%	\$193,907.04	\$2,360.20	\$96,224.76
GEN SERVICES-PLANT	\$179,775.00	\$21,380.00	\$201,155.00	11.9%	\$105,578.58	\$0.00	\$95,576.42
CUSTODIAL SERVICES	\$723,614.00	\$67,920.00	\$791,534.00	9.4%	\$391,955.19	\$1,715.16	\$397,863.65
PEST CONTROL	\$21,646.00	\$621.00	\$22,267.00	2.9%	\$12,951.44	\$226.00	\$9,089.56
WAREHOUSE	\$25,420.00	-\$420.00	\$25,000.00	-1.7%	-\$20,132.93	\$67,920.89	-\$22,787.96
FACILITY REMODELING	\$161,747.00	\$316,967.00	\$478,714.00	196.0%	\$128,621.54	\$53,750.00	\$296,342.46
MOTOR POOL	\$213,954.00	\$143,584.00	\$357,538.00	67.1%	\$255,268.44	\$34,859.68	\$67,409.88
UPHOLSTERY SHOP	\$55,155.00	\$1,294.00	\$56,449.00	2.3%	\$18,703.33	\$293.05	\$37,452.62
PREVENTATIVE MAINTEN	\$221,985.00	-\$18,871.00	\$203,114.00	-8.5%	\$120,880.89	\$16,015.83	\$66,217.28
Subtotal	\$4,478,808.00	\$540,602.00	\$5,019,410.00	12.1%	\$2,449,470.98	\$392,358.31	\$2,177,580.71
CABLE TV	\$112,998.00	-\$464.15	\$112,533.85	-0.4%	\$41,716.10	\$11,245.10	\$59,572.65
RES HALL-TELEPHONE	\$213,260.00	\$0.00	\$213,260.00	0.0%	\$35,868.93	\$0.00	\$177,391.07
COPY CENTER	\$335,000.00	\$0.00	\$335,000.00	0.0%	\$207,614.51	\$121,117.45	\$6,268.04
MARRIED HOUSING	\$104,140.00	\$0.00	\$104,140.00	0.0%	\$43,991.37	\$19,957.36	\$40,191.27
RES HALL-O&M	\$1,037,550.00	-\$63,000.00	\$974,550.00	-6.1%	\$354,323.93	\$100,829.31	\$519,396.76
UNIV CTR - O&M	\$60,000.00	\$0.00	\$60,000.00	0.0%	\$24,136.19	\$0.00	\$35,863.81
LAUNDRY	\$52,110.00	\$675.00	\$52,785.00	1.3%	\$33,697.82	\$0.00	\$19,087.18
FOOD SERVICES	\$1,027,732.00	\$2,282.00	\$1,030,014.00	0.2%	\$535,358.40	\$18,155.47	\$476,500.13
ADUC CAFETERIA	\$509,922.00	\$163,565.00	\$673,487.00	32.1%	\$300,728.61	\$5,279.53	\$367,478.86
ALUMNI TOWER CAFE	\$282,154.00	\$4,807.00	\$286,961.00	1.7%	\$100,231.10	\$22.00	\$186,707.82
CATERING	\$68,220.00	\$1,559.00	\$69,779.00	2.3%	\$14,499.54	\$704.00	\$54,575.46
UNIVERSITY STORE	\$1,520,967.00	\$346,678.00	\$1,867,645.00	22.8%	\$1,040,653.28	\$1,125.90	\$825,865.82
GOLF COURSE	\$132,077.00	\$31,671.00	\$163,748.00	24.0%	\$82,463.61	\$3,312.50	\$77,971.89
FAC/STAFF HOUSING	\$80,800.00	-\$670.00	\$80,130.00	-0.8%	\$22,505.44	\$0.00	\$57,624.56
STOREROOM/CONCESSION	\$64,662.00	\$1,053.00	\$65,715.00	1.6%	\$24,380.40	\$36.00	\$41,298.60

02/09/89

MOREHEAD STATE UNIVERSITY  
 FY 1989-90 EXPENDITURE BUDGET  
 FOR PERIOD 07/01/89 TO 12/31/88

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DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
AUX FACILITY REMODEL	\$370,000.00	\$0.00	\$370,000.00	0.0%	\$110,080.92	\$67,839.28	\$192,079.80
Subtotal	\$5,971,592.00	\$488,155.85	\$6,459,747.85	8.2%	\$2,972,250.15	\$349,623.98	\$3,137,873.72
Total Admin & Fiscal Svcs	\$14,214,697.00	\$1,154,614.20	\$15,369,311.20	8.1%	\$7,321,948.94	\$898,445.63	\$7,148,916.63
Amendments to date:							
Approved August 6, 1988		\$126,905.00		0.90%			
Approved October 7, 1988		\$506,367.00		3.60%			
Requested February 24, 1989		\$521,342.20		3.60%			
Total		\$1,154,614.20		8.10%			

MOREHEAD STATE UNIVERSITY  
FY 1989-90 EXPENDITURE BUDGET  
FOR PERIOD 07/01/89 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
VP STUDENT LIFE	\$162,049.00	\$12,300.50	\$174,349.50	7.6%	\$88,985.55	\$789.47	\$84,574.48
CAREER PLAN & PLACE	\$65,707.00	\$1,329.00	\$67,036.00	2.0%	\$33,246.32	\$0.00	\$33,789.68
UNIV COUNS & HLTH SV	\$360,815.00	\$17,412.00	\$378,227.00	4.8%	\$198,914.66	\$58,909.96	\$120,402.38
FINANCIAL AID	\$164,927.00	\$29,136.00	\$194,063.00	17.7%	\$108,386.59	\$1,228.75	\$84,447.66
GRANTS-SCHOLARSHIPS	\$2,817,992.00	-\$262,623.00	\$2,555,369.00	-9.3%	\$1,235,809.23	\$0.00	\$1,319,559.77
CHEERLEADERS-STU DEV	\$11,670.00	\$2,625.00	\$14,295.00	22.5%	\$7,869.50	\$4,273.00	\$2,152.50
UNIV CTR & STU ACT	\$381,692.00	\$74,479.10	\$456,171.10	19.5%	\$298,516.67	\$1,570.78	\$156,083.65
ADMISSIONS	\$503,855.00	-\$22,545.00	\$481,310.00	-4.5%	\$228,473.93	\$8,973.58	\$243,862.49
MINORITY AFFAIRS	\$109,710.00	\$1,654.00	\$111,364.00	1.5%	\$45,507.62	\$2,617.33	\$63,239.05
UNIV ENROLL SERVICES	\$63,606.00	\$39,267.00	\$102,873.00	61.7%	\$43,459.18	\$809.04	\$58,604.78
UNIV BOWLING LANES	\$47,791.00	\$5,080.00	\$52,871.00	10.6%	\$28,146.95	\$3,651.20	\$21,072.85
Subtotal	\$4,689,814.00	-\$101,885.40	\$4,587,928.60	-2.2%	\$2,317,316.20	\$82,823.11	\$2,187,789.29
STUDENT HOUSING	\$191,940.00	\$160,967.00	\$352,907.00	83.9%	\$241,945.39	\$11,815.45	\$99,146.16
UNIV CTR - CUSTODIAL	\$76,390.00	\$2,096.00	\$78,486.00	2.7%	\$33,953.27	\$3,604.25	\$40,928.48
RES HALL - HOUSING	\$504,794.00	\$13,747.00	\$518,541.00	2.7%	\$298,619.34	\$6,510.70	\$213,410.96
RESIDENCE EDUCATION	\$386,521.00	\$102,352.00	\$488,873.00	26.5%	\$250,499.01	\$1,369.77	\$237,004.22
RECREATION ROOM	\$29,000.00	-\$455.60	\$28,544.40	-1.6%	\$14,903.44	\$379.08	\$13,261.88
AUX DEBT SERVICE	\$1,246,910.00	-\$72,000.00	\$1,174,910.00	-5.8%	\$0.00	\$0.00	\$1,174,910.00
Subtotal	\$2,435,555.00	\$206,706.40	\$2,642,261.40	8.5%	\$839,920.45	\$23,679.25	\$1,778,661.70
<b>Total Student Life</b>	<b>\$7,125,369.00</b>	<b>\$104,821.00</b>	<b>\$7,230,190.00</b>	<b>1.5%</b>	<b>\$3,157,236.65</b>	<b>\$106,502.36</b>	<b>\$3,966,450.99</b>
Amendments to date:							
Approved August 6, 1988		\$206,003.00		2.9%			
Approved October 7, 1988		(\$137,896.00)		-1.9%			
Requested February 24, 1988		\$36,714.00		0.5%			
<b>Total</b>		<b>\$104,821.00</b>		<b>1.5%</b>			

MOREHEAD STATE UNIVERSITY  
FY 1989-90 EXPENDITURE BUDGET  
FOR PERIOD 07/01/89 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
<b>Division of Acadmic Affairs</b>							
VP ACADEMIC AFFAIRS	\$293,660.00	\$139,971.00	\$433,631.00	47.7%	\$104,318.93	\$3,801.60	\$325,510.47
PLANNING & INST RES	\$109,093.00	\$783.00	\$109,876.00	0.7%	\$15,617.61	\$2,272.00	\$91,986.39
LIBRARY-INSTR MEDIA	\$1,404,847.00	\$66,994.00	\$1,471,841.00	4.8%	\$785,036.79	\$20,356.05	\$666,448.16
FACULTY/STAFF DEV	\$70,500.00	\$-27,665.00	\$42,835.00	-39.2%	\$16,896.70	\$2,855.66	\$23,082.64
FACULTY SENATE	\$12,073.00	\$573.00	\$12,646.00	4.7%	\$4,664.51	\$256.83	\$7,730.66
UNDIST INSTRUC SUPPO	\$324,203.00	\$-207,293.00	\$116,910.00	-63.9%	\$33,015.73	\$11,430.83	\$72,463.44
CONCERT & LECTURE SE	\$28,450.00	\$0.00	\$28,450.00	0.0%	\$22,414.53	\$0.00	\$6,035.47
Subtotal	\$2,242,826.00	\$-26,637.00	\$2,216,189.00	-1.2%	\$981,964.80	\$40,966.97	\$1,193,257.23
GRAD-SPEC ACAD,DEAN	\$452,109.00	\$-115,191.00	\$336,918.00	-25.5%	\$101,498.46	\$399.95	\$235,019.59
HONORS PROGRAM	\$5,710.00	\$0.00	\$5,710.00	0.0%	\$2,102.16	\$110.00	\$3,497.84
ORGANIZED RESEARCH	\$2,261.00	\$0.00	\$2,261.00	0.0%	\$0.00	\$0.00	\$2,261.00
FACULTY RESEARCH	\$77,739.00	\$-2,347.00	\$75,392.00	-3.0%	\$3,565.95	\$2,359.67	\$69,466.38
INDIRECT COST REBATE	\$10,000.00	\$207.60	\$10,207.60	2.1%	\$860.99	\$102.50	\$9,244.11
REGIONAL CAMPUS	\$309,819.00	\$-43,501.40	\$266,317.60	-14.0%	\$51,808.31	\$4,456.00	\$210,053.29
SUMMER SESSIONS	\$560,000.00	\$-240,111.00	\$319,889.00	-42.9%	\$699.12	\$0.00	\$319,189.88
MSU-ASHLAND CENTER	\$72,394.00	\$999.00	\$73,393.00	1.4%	\$29,818.24	\$0.00	\$43,574.76
MSU-MORGAN CO. CTR	\$80,402.00	\$11,469.00	\$91,871.00	14.3%	\$51,902.02	\$0.00	\$39,968.98
INTERNATIONAL STUDY	\$2,701.00	\$0.00	\$2,701.00	0.0%	\$273.38	\$187.15	\$2,240.47
Subtotal	\$1,573,135.00	\$-388,474.80	\$1,184,660.20	-24.7%	\$242,528.63	\$7,615.27	\$934,516.30
COLL ARTS & SCI,DEAN	\$256,091.00	\$-21,025.00	\$235,066.00	-8.2%	\$114,917.47	\$14,905.17	\$105,243.36
ACADEMY OF ARTS	\$38,500.00	\$905.00	\$39,405.00	2.4%	\$28,676.34	\$0.00	\$10,728.66
ART	\$424,150.00	\$69,783.50	\$493,933.50	16.5%	\$246,713.84	\$5,437.86	\$241,781.80
ART GALLERY	\$7,400.00	\$500.00	\$7,900.00	6.8%	\$3,731.73	\$0.00	\$4,168.27
BIOLOGICAL & ENV SCI	\$575,845.00	\$92,880.00	\$668,725.00	16.1%	\$316,193.64	\$5,686.46	\$346,844.90
WATER ANALYSIS LAB	\$37,736.00	\$85.00	\$37,821.00	0.2%	\$14,372.29	\$400.40	\$23,048.31
COMMUNICATIONS	\$735,160.00	\$115,881.00	\$851,041.00	15.8%	\$412,001.54	\$4,349.56	\$434,689.90
TV PRODUCTION	\$93,653.00	\$3,500.20	\$97,153.20	3.7%	\$48,190.00	\$10,115.52	\$38,847.68
ENG. FOR LANG & PHIL	\$1,080,563.00	\$219,973.00	\$1,300,536.00	20.4%	\$572,963.02	\$2,895.32	\$724,677.66
GEO, GOVT & HISTORY	\$649,479.00	\$52,112.00	\$701,591.00	8.0%	\$305,841.76	\$609.12	\$395,140.12
MATHEMATICS	\$540,107.00	\$79,685.50	\$619,792.50	14.8%	\$284,110.34	\$2,759.11	\$332,923.05
MUSIC	\$993,023.00	\$108,868.58	\$1,101,891.58	11.0%	\$501,410.99	\$11,459.78	\$589,020.81
PHYSICAL SCIENCES	\$580,137.00	\$68,625.00	\$648,762.00	11.8%	\$283,700.13	\$18,328.56	\$346,733.31
Subtotal	\$6,011,844.00	\$791,773.78	\$6,803,617.78	13.2%	\$3,132,823.09	\$76,946.86	\$3,593,847.83
COL PROF STUDY,DEAN	\$226,366.00	\$2,992.00	\$229,358.00	1.3%	\$92,961.57	\$31,996.02	\$104,400.41
SCH OF BUS/ASSOC DN	\$1,565,417.00	\$183,232.00	\$1,748,649.00	11.7%	\$789,079.39	\$5,010.62	\$954,558.99
SCH OF EDUC/ASSOC DN	\$1,905,875.00	\$224,891.40	\$2,130,766.40	11.8%	\$989,446.53	\$3,574.76	\$1,137,745.11
EDUCATIONAL SERVICES	\$0.00	\$11,800.00	\$11,800.00	100.0%	\$7,551.62	\$764.87	\$3,483.51
ELEMENTARY EDUCATION	\$0.00	\$17,113.00	\$17,113.00	100.0%	\$7,149.02	\$745.73	\$9,218.25
LEADER & SECONDARY	\$0.00	\$11,373.60	\$11,373.60	100.0%	\$5,321.99	\$667.42	\$5,384.19
CHILD DEVELOPMENT	\$46,163.00	\$1,396.00	\$47,559.00	3.0%	\$21,910.65	\$190.00	\$25,458.35
IN SERVICE TEACH ED	\$55,392.00	\$10,221.00	\$65,613.00	18.5%	\$23,416.26	\$0.00	\$42,196.74
HPER	\$678,563.00	\$38,620.00	\$717,183.00	5.7%	\$322,597.65	\$1,485.65	\$393,099.70
MILITARY SCIENCE	\$24,929.00	\$6,209.00	\$31,138.00	24.9%	\$16,317.74	\$372.69	\$14,447.57

MOREHEAD STATE UNIVERSITY  
 FY 1989-90 EXPENDITURE BUDGET  
 FOR PERIOD 07/01/89 TO 12/31/88

DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
PSYCHOLOGY	\$382,060.00	\$84,996.00	\$467,056.00	22.2%	\$207,193.72	\$3,549.56	\$256,312.72
SOCIOLOGY	\$503,842.00	\$50,279.00	\$554,121.00	10.0%	\$243,999.12	\$4,318.14	\$305,803.74
INTERNATIONAL EDUC	\$0.00	\$21,950.00	\$21,950.00	100.0%	\$0.00	\$1,642.00	\$20,308.00
Subtotal	\$5,388,607.00	\$665,073.00	\$6,053,680.00	12.3%	\$2,726,945.26	\$54,317.46	\$3,272,417.28
COLLEGE A S & T, DEAN	\$171,087.00	\$41,508.12	\$212,595.12	24.3%	\$96,644.60	\$16,272.52	\$99,678.00
UNIVERSITY FARM	\$313,574.00	\$8,184.00	\$321,758.00	2.6%	\$152,498.76	\$9,781.22	\$159,478.02
BREEDING PROGRAM	\$25,000.00	\$19,064.00	\$44,064.00	76.3%	\$14,035.03	\$1,037.96	\$28,991.01
AGRICULTURE	\$401,420.00	\$76,876.00	\$478,296.00	19.2%	\$223,491.51	\$2,125.04	\$252,679.45
MINING TECH PROGRAM	\$71,437.00	\$-8,502.00	\$62,935.00	-11.9%	\$0.00	\$0.00	\$62,935.00
VET TECH PROGRAM	\$208,164.00	\$2,940.00	\$211,104.00	1.4%	\$103,280.93	\$6,444.72	\$101,378.35
HOME ECONOMICS	\$334,475.00	\$15,489.23	\$349,964.23	4.6%	\$163,883.10	\$3,277.52	\$182,803.61
INDUSTRIAL ED & TECH	\$616,471.00	\$66,910.94	\$683,381.94	10.9%	\$332,956.43	\$12,422.78	\$338,002.73
NURSING-ALLIED HLTH	\$569,422.00	\$22,857.00	\$592,279.00	4.0%	\$247,774.23	\$3,243.86	\$341,260.91
RAD TECH PROGRAM	\$102,073.00	\$4,348.00	\$106,421.00	4.3%	\$51,357.67	\$162.95	\$54,900.38
Subtotal	\$2,813,123.00	\$249,675.29	\$3,062,798.29	8.9%	\$1,385,922.26	\$54,768.57	\$1,622,107.46
DEAN/UG PROGRAM	\$64,667.00	\$-2,525.00	\$62,142.00	-3.9%	\$4,533.33	\$0.00	\$57,608.67
TESTING CENTER	\$72,417.00	\$931.00	\$73,348.00	1.3%	\$37,893.61	\$403.40	\$35,050.99
REGISTRAR	\$247,546.00	\$-852.00	\$246,694.00	-0.3%	\$132,667.98	\$3,263.20	\$110,762.82
EXTENDED CAMPUS	\$176,719.00	\$3,486.04	\$180,205.04	2.0%	\$104,209.11	\$1,480.68	\$74,515.25
RESEARCH, GRANTS-CONT	\$161,890.00	\$4,350.00	\$166,240.00	2.7%	\$87,623.83	\$831.60	\$77,784.57
ACADEMIC SERV. CTR.	\$200,174.00	\$51,590.00	\$251,764.00	25.8%	\$105,008.76	\$3,517.99	\$143,237.25
REGIONAL DEV. SERV.	\$155,525.00	\$21,966.00	\$177,491.00	14.1%	\$58,558.55	\$6,793.22	\$112,139.23
AREA HLTH ED SYSTEM	\$20,640.00	\$0.00	\$20,640.00	0.0%	\$0.00	\$0.00	\$20,640.00
UNIV ENRICHMENT PGRM	\$30,393.00	\$0.00	\$30,393.00	0.0%	\$9,305.88	\$0.00	\$21,087.12
Subtotal	\$1,129,971.00	\$78,946.04	\$1,208,917.04	7.0%	\$539,801.05	\$16,290.09	\$652,825.90
Total Acadmic Affairs	\$19,159,506.00	\$1,370,356.31	\$20,529,862.31	7.2%	\$9,009,985.09	\$250,905.22	\$11,268,972.00

## Amendments to date:

Approved August 6, 1988	\$328,777.00	1.70%
Approved October 7, 1988	\$588,253.00	3.10%
Requested February 24, 1989	\$453,326.31	2.40%

Total	\$1,370,356.31	7.20%
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02/09/89

MOREHEAD STATE UNIVERSITY  
 FY 1989-90 EXPENDITURE BUDGET  
 FOR PERIOD 07/01/89 TO 12/31/88

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DESCRIPTION	ORIGINAL BUDGET	ADJUSTMENTS	AMENDED BUDGET	PERCENT OF CHANGE	EXPENDITURES	ENCUMBRANCES	AVAILABLE BALANCE
<u>Other</u>							
UNDIS INST SUPPORT	\$138,200.00	\$110,178.00	\$248,378.00	79.7%	\$149,041.13	\$19,997.00	\$79,339.87
UNDIS PUBLIC SERVICE	\$17,077.00	\$0.00	\$17,077.00	0.0%	\$0.00	\$0.00	\$17,077.00
OTHER TRANSFERS	\$810,220.00	\$-484,627.60	\$325,592.40	-59.8%	\$0.00	\$0.00	\$325,592.40
FAC/STAFF BENEFITS	\$327,902.00	\$8,576.00	\$336,478.00	2.6%	\$127,789.45	\$0.00	\$208,688.55
E & G DEBT SERVICE	\$2,208,294.00	\$0.00	\$2,208,294.00	0.0%	\$0.00	\$0.00	\$2,208,294.00
MATCHING FUNDS, PLT	\$202,382.00	\$340,400.00	\$542,782.00	168.2%	\$227,198.00	\$27,322.00	\$288,262.00
Subtotal	\$3,704,075.00	\$-25,473.60	\$3,678,601.40	-0.7%	\$504,028.58	\$47,319.00	\$3,127,253.82
Total Other	\$3,704,075.00	\$-25,473.60	\$3,678,601.40	-0.7%	\$504,028.58	\$47,319.00	\$3,127,253.82
FISCAL YEAR TOTALS:	\$47,004,969.00	\$2,725,455.88	\$49,730,424.88	5.8%	\$21,418,643.80	\$1,389,245.56	\$26,922,535.52

MOREHEAD STATE UNIVERSITY  
 MAJOR INTERNAL TRANSFERS  
 FOR PERIOD 10/01/88 TO 12/31/88

FROM	TO	Amount	Date	Purpose
College of Arts & Sciences-Dean	Art Department	\$2,500	10/04/88	Instructional equipment allocation
	Biology Department	\$12,000		
	Communications	\$10,000		
	English, Foreign Lang., Phil.	\$5,000		
	Geo., Government, & History	\$5,000		
	Mathematics	\$7,500		
	Music	\$10,000		
	Physical Sciences	\$12,000		
	TOTAL	----- \$64,000		
Regional Campus	Business & Economics	\$9,230	10/18/88	Fall off-campus Instruction
	Physical Sciences	\$1,404		
	Art	\$1,595		
	Communications	\$1,200		
	HPER	\$1,022		
	Psychology	\$1,446		
	IET	\$1,352		
	Math	\$2,252		
	English, Foreign Lang., Phil.	\$3,418		
	Geo., Government, & History	\$3,990		
	Sociology, S.W., & Correction	\$2,274		
	Education	\$32,998		
	Biology	\$263		
	TOTAL	----- \$62,444		
Information Technologies -Technical Services	General Services	\$32,850	10/20/88	Establish new budget unit
Office of the President	School Relations	\$54,961	10/27/88	Establish new budget unit
Non-Recurring Contingency Funds	University Advancement	\$32,250	12/02/88	Increase in publications & marketing
Non-Recurring Contingency Funds	Student housing	\$32,500	12/14/88	Student housing - beds

MOREHEAD STATE UNIVERSITY  
CAPITAL OUTLAY REPORT  
FOR PERIOD 10/01/88 TO 12/31/88

	<u>Amount</u>	<u>Date</u>	<u>Status</u>
I. EQUIPMENT PURCHASES GREATER THAN \$25,000			
None in second quarter			
II. CAPITAL CONSTRUCTION GREATER THAN \$50,000			
Downing Hall Renovation	\$60,000	12/19/88	In Process

**WRITTEN REQUEST TO DISPOSE OF REAL PROPERTY**

**Description of Property**

On November 11, 1956, Morehead State University acquired .3 acres of land (known as the Williams Property) in Rowan County for the future use and benefit of Morehead State University. The tract is located to the east of the MSU baseball field in the Tolliver Addition off Piedmont Street and was used as a trailer site for married student housing. The trailers were later removed as a safety precaution due to baseballs landing in and near the trailer site. The property has not been used for the past fifteen years.

On October 30, 1987, the Board of Regents authorized the University to acquire an approximate one (1) acre tract of land located contiguous and to the north of above referenced property. The property was acquired in order to re-route a small stream behind the baseball field. The re-routing was necessary to prevent further erosion of the MSU property and to improve the baseball field by lengthening the right and center field boundaries. The property was appraised and an offer made last summer to the owner. The owner rejected the offer.

The owner has recently expressed an interest in trading the one (1) acre tract for a portion of the University's .3 acre tract. Because the MSU tract is terraced, it would be possible to sell the upper-east-portion, retain the lower portion closest to the baseball field, and still accomplish the originally planned baseball field improvements.

**Administrative Procedures**

State law and regulations govern the disposal (or acquisition) of real property.

In order to accomplish an exchange (or disposal) of state owned real property, the Board of Regents must first declare the property surplus to the needs of the University. Once declared surplus, an appraisal is obtained.

Upon approval by the President and the Board of the Order to Dispose of Real Property, Council on Higher

Written Request to Dispose of Real Property

February 24, 1989

Page Two

Education staff approval must be obtained. The Executive Department of Finance and Administration, Division of Real Properties will then have the property appraised. Upon receipt of the appraisal, negotiations for the exchange of the properties may begin. When an exchange of property is being considered, the value of the privately-owned property must equal or exceed the value of the property owned by the Commonwealth. If the privately-owned property is lower in value, it may be brought into equality by a cash supplement.

Total consideration (cash and property) paid by the Commonwealth cannot exceed the value established by the Executive Department for Finance and Administration.

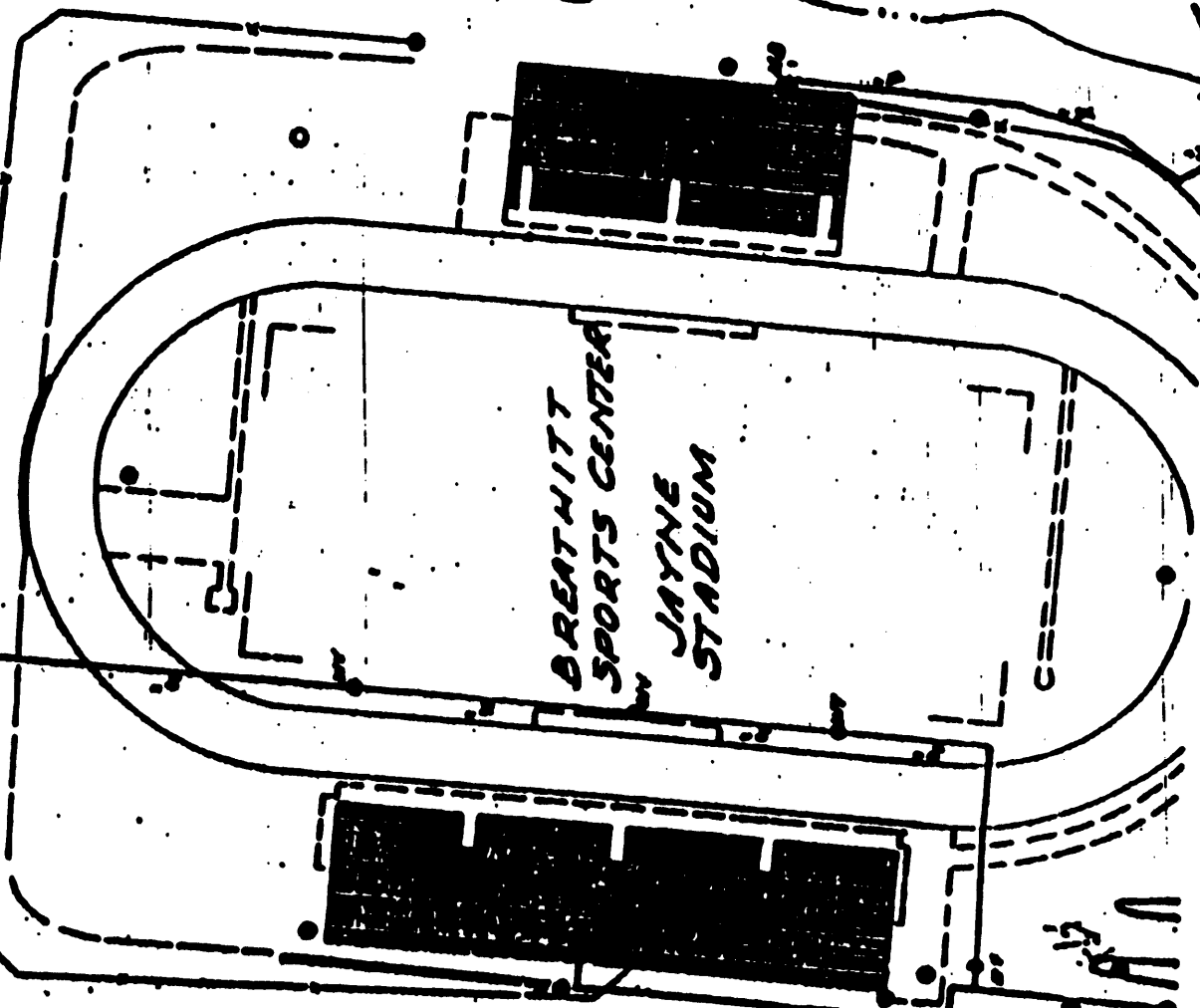
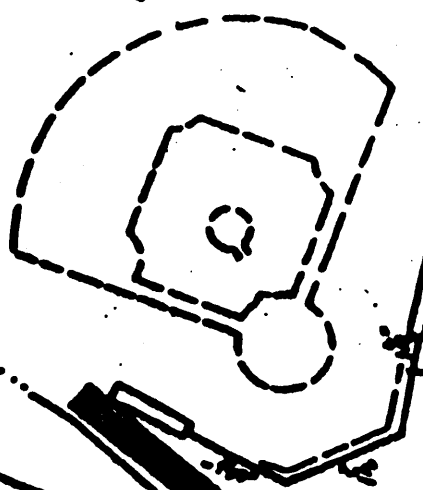
Recommendation

That the Board of Regents declare the MSU property identified in the Written Request to Dispose of Real Property surplus to the needs of the University.

PROPERTY TO  
TRADE

TRACT #3

MSU PROPERTY



MORDEAD STATE UNIVERSITY

DATE

DRAWN BY:

SIC

SHEET

**ORDER TO DISPOSE OF PROPERTY**

**WHEREAS**, upon review of the written request to Dispose of Real Property, on \_\_\_\_\_ 1989, in reference to the following property:

**Approximately .1 acres known as the Williams property in Rowan County, Kentucky.**

That the President of Morehead State University declares that the referenced property be declared surplus and is no longer needed by the University,

**WHEREAS**, the disposal of the above referenced property by Morehead State University will be in the best interest of the public, and therefore,

**BE IT RESOLVED**, that the President is authorized to proceed with the disposal of referenced property in accordance with the statutory provisions governing the Disposal of Real Property.

**APPROVED:** \_\_\_\_\_  
**President, Morehead State University** **Date**

\_\_\_\_\_ **Date**  
**Chairman, MSU Board of Regents**

**HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES K**

**Background**

The 1988 General Assembly authorized the issuance by Morehead State University of Housing and Dining System Revenue Bonds. The proceeds of the bond issue will be used to finance a renovation of Fields Hall.

The Finance and Administration Cabinet, on behalf of Morehead State University, has planned, developed specifications, and made financial arrangements for issuance of the bonds. Representing the University for this bond issue are:

Rubin and Hays Louisville, Kentucky	Bond Counsel
Seasongood and Mayer Cincinnati, Ohio	Fiscal Agent
Pikeville National Bank and Trust Company Pikeville, Kentucky	Trustee
WMB, Inc. Lexington, Kentucky	Architect
Shrout-Tate Engineers Lexington, Kentucky	Engineers

The Board received a status report for this project at the December 16, 1988, meeting. The Finance and Administration Cabinet still projects a construction contract award date in March 1989. The projected delivery date for the Series K bond issue is April 1, 1989.

The total bond issue principal will be \$3,530,000. This amount will fund the construction cost of the project of \$3,080,000, plus underwriters discount, issue costs, and capitalized and accrued interest.

The actual date of the bond sale has not yet been established; therefore, the Chairman of the Board may appoint a subcommittee of the Board to act on behalf of the Board to review and accept the bids. The Board would ratify the subcommittee's action at the meeting following the bond sale. The appointment of a subcommittee for this purpose by the Chairman of the Board has been a previous practice to avoid a special meeting of the entire Board to evaluate and accept the bids for the sale of revenue bonds.



Attached is a resolution prepared by Rubin and Hays that is to be approved by the Board of Regents. The Resolution authorizes the issuance of the Series K bonds, proper proceedings relative to the public sale of the bonds, and disposition of the proceeds thereof, and execution of a second supplemental trust indenture between the Board and Pikeville National Bank and Trust Company.

**Recommendation**

That the Board approve the attached Resolution entitled:

A RESOLUTION OF THE BOARD OF REGENTS  
OF MOREHEAD STATE UNIVERSITY AUTHORIZING  
THE ISSUANCE OF SAID BOARD'S HOUSING  
AND DINING SYSTEM REVENUE BONDS, SERIES  
K," TO BE DATED MARCH 1, 1989; AUTHORIZING  
PROPER PROCEEDINGS RELATIVE TO THE PUBLIC  
SALE OF THE BONDS AND THE DISPOSITION  
OF THE PROCEEDS THEREOF; AUTHORIZING  
EXECUTION OF A SECOND SUPPLEMENTAL TRUST  
INDENTURE BETWEEN THE BOARD AND PIKEVILLE  
NATIONAL BANK & TRUST COMPANY, PIKEVILLE,  
KENTUCKY, AS TRUSTEE, IN COMPLIANCE  
WITH THE PROVISIONS OF THE TRUST INDENTURE  
OF NOVEMBER 1, 1966.

A RESOLUTION OF THE BOARD OF REGENTS OF MOREHEAD STATE UNIVERSITY AUTHORIZING THE ISSUANCE OF SAID BOARD'S HOUSING AND DINING SYSTEM REVENUE BONDS, SERIES K," TO BE DATED MARCH 1, 1989; AUTHORIZING PROPER PROCEEDINGS RELATIVE TO THE PUBLIC SALE OF THE BONDS AND THE DISPOSITION OF THE PROCEEDS THEREOF; AUTHORIZING EXECUTION OF A SECOND SUPPLEMENTAL TRUST INDENTURE BETWEEN THE BOARD AND PIKEVILLE NATIONAL BANK & TRUST COMPANY, PIKEVILLE, KENTUCKY, AS TRUSTEE, IN COMPLIANCE WITH THE PROVISIONS OF THE TRUST INDENTURE OF NOVEMBER 1, 1966.

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF MOREHEAD STATE UNIVERSITY, AS FOLLOWS:

Section 1. This Board hereby authorizes the issuance of its "Morehead State University Housing and Dining System Revenue Bonds, Series K, dated March 1, 1989" (the "Series K Bonds"), in the principal amount of \$3,530,000, according to statutory authority as set forth in KRS 162.340 to 162.380, inclusive, and in accordance with the terms, provisions, conditions and restrictions set forth in that certain Trust Indenture which was made by this Board with Pikeville National Bank & Trust Company, Pikeville, Kentucky, as Trustee, under date of November 1, 1966, wherein the present Housing and Dining System of the University was created and established, and a certain Second Supplemental Trust Indenture to be dated as of March 1, 1989; said Series K Bonds to rank on a basis of parity and equality as to security and source of payment with the Board's previously issued and outstanding "Housing and Dining System Revenue Bonds," Series A through J.

Section 2. In order to assure the purchasers of the Series K Bonds that interest thereon will be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation, the Board agrees that (1) the Board will take all actions necessary to comply with the provisions of the Internal Revenue Code of 1986 (the "Code"), (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series K Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series K Bonds will be used for any purpose which would cause the interest on the Series K Bonds to become subject to federal income taxation, and that the Board will comply with any and all

requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K Bonds.

The Board has been advised by Bond Counsel, Rubin & Hays, Louisville, Kentucky, and therefore believes, that the Series K Bonds are not "private activity bonds" within the meaning of the Code, and that interest on the Series K Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board, and all subordinate entities thereof, does not reasonably anticipate issuing tax-exempt obligations during the calendar year in which the Series K Bonds are being issued in excess of \$10,000,000, and, therefore, the Board designates the Series K Bonds as "qualified tax-exempt obligations" for investment by financial institutions pursuant to the provisions of Section 265(b)(3) of the Code.

Prior to or at the time of delivery of the Series K Bonds, the Chairman of the Board and/or the chief financial officer of the University shall execute the appropriate certifications with reference to the matters referred to above, setting out all known and contemplated facts concerning such anticipated construction, expenditures and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Bonds will be exempt from all federal income taxes and that the Series K Bonds will not be treated as arbitrage bonds.

Section 3. In order to implement the issuance of said Series K Bonds, and to make provision for all details relating to said Series K Bonds, including the date thereof, the disposition thereof, respective conditions and limitations applicable to the public offering thereof, maturities, redemption provisions and security and source of payment thereof, and in order to comply with the requirements of the aforementioned Trust Indenture dated November 1, 1966, in connection therewith, this Board shall execute the Second Supplemental Trust Indenture with Pikeville National Bank & Trust Company, Pikeville, Kentucky, as Trustee, which shall be dated as of March 1, 1989. A proposed form of such Second Supplemental Trust Indenture having been prepared in advance by Bond Counsel, Rubin & Hays, Louisville, Kentucky, with the approval of the President of the University and the Vice-President for Administrative and Fiscal Services of the University, and the Fiscal Agent, \_\_\_\_\_, and such proposed form having been submitted to and examined by this Board, such form is hereby approved and shall be executed substantially in the submitted form, with permissible modifications and corrections which, in the opinion of Bond Counsel and the President of the University, do not change the import thereof in any material respect. The form of the Second Supplemental Trust Indenture is hereby approved, is incorporated in full as a part of this Resolution, and is in substantially the form attached hereto.

SECOND SUPPLEMENTAL TRUST INDENTURE

MADE BY

BOARD OF REGENTS OF MOREHEAD STATE UNIVERSITY  
Morehead, Kentucky

TO

PIKEVILLE NATIONAL BANK & TRUST COMPANY  
Pikeville, Kentucky  
as Trustee

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DATED AS OF MARCH 1, 1989

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SECURING

MOREHEAD STATE UNIVERSITY HOUSING  
AND DINING SYSTEM REVENUE BONDS

SERIES K BONDS           \$3,530,000

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SECOND SUPPLEMENTAL TRUST INDENTURE

SECURING

MOREHEAD STATE UNIVERSITY HOUSING  
AND DINING SYSTEM REVENUE BONDS

ISSUED BY BOARD OF REGENTS OF  
MOREHEAD STATE UNIVERSITY,  
MOREHEAD, KENTUCKY,

CONSISTING OF

SERIES K BONDS           \$3,530,000

Dated as of March 1, 1989

AND

MOREHEAD STATE UNIVERSITY HOUSING  
AND DINING SYSTEM REVENUE BONDS

CONSISTING OF

SERIES A BONDS	\$1,040,000
SERIES B BONDS	735,000
SERIES C BONDS	525,000
SERIES D BONDS	1,050,000
SERIES E BONDS	200,000
SERIES F BONDS	390,000
SERIES G BONDS	1,340,000
SERIES H BONDS	1,840,000
SERIES I BONDS	3,920,000

Dated November 1, 1966

and

SERIES J BONDS           \$5,800,000

Dated February 1, 1968

THIS SECOND SUPPLEMENTAL TRUST INDENTURE, dated as of the first day of March, 1989, made by and between BOARD OF REGENTS OF MOREHEAD STATE UNIVERSITY, a public body corporate, and an Educational Institution and Agency of the Commonwealth of Kentucky, at Morehead, Kentucky (hereinafter called the "Board"), party of the first part, and PIKEVILLE NATIONAL BANK & TRUST COMPANY, a banking association duly organized and existing according to the laws of the United States of America, having full powers to act as a corporate Trustee, and having its principal office and place of business in the City of Pikeville, County of Pike, Commonwealth of

Section 103. Compliance with Trust Indenture. All provisions of the Trust Indenture dated as of November 1, 1966, relating to the authorization and issuance of the aforesaid Bonds of Series A through Series J; the public sale of certain of said Series, the exchange of certain of said Series for outstanding Previously Issued Bonds held by an Agency of the United States Government; payment and/or redemption of Previously Issued Bonds held by private investors; the purchase of the Bonds of Series A through I by the State Property and Buildings Commission of the Commonwealth of Kentucky; and otherwise relating to custody and application of Bond proceeds have been fully performed, or are currently being carried forward, in strict compliance with the applicable requirements of said Trust Indenture.

Section 102. Security and Source of Payment of Bonds. In order to provide for the security and source of payment of said Bonds of Series A through Series J, together with additional Series of said Bonds permissively to be issued in the future subject to certain prescribed terms and conditions, the Board entered into a certain Trust Indenture with the Trustee dated as of November 1, 1966. Provisions were therein made, inter alia, for future execution by the Board and the Trustee of Supplemental Trust Indentures to evidence the authorization of, and to provide additional security for, additional Series of such "Housing and Dining System Revenue Bonds," and to evidence compliance with the conditions and restrictions prescribed in the Trust Indenture in that connection.

\$1,040,000	SERIES A BONDS
735,000	SERIES B BONDS
525,000	SERIES C BONDS
1,050,000	SERIES D BONDS
200,000	SERIES E BONDS
390,000	SERIES F BONDS
1,340,000	SERIES G BONDS
1,840,000	SERIES H BONDS
3,920,000	SERIES I BONDS
5,800,000	SERIES J BONDS

Section 101. Authorization of Series A through J Bonds. The Board has heretofore authorized and issued its "Morehead State University Housing and Dining System Revenue Bonds," Series A through I, dated as of November 1, 1966, and Series J, dated February 1, 1968, in the following respective amounts:

ARTICLE I - RECITALS

That by reason of the circumstances, in order to accomplish the purposes, and for the mutual considerations hereinafter set forth, the Board and the Trustee hereby agree as follows:

W I T N E S S E T H ;

Kentucky, as Trustee (hereinafter called the "Trustee"), party of the second part;

## ARTICLE II - PURPOSES

Section 201. Authorization of Series K Project. The Board has heretofore been authorized to undertake the complete reconstruction and renovation of Fields Hall for housing and dining purposes (the "Series K Project").

Section 202. Purpose. It is the purpose of the Board, and of the Trustee, to provide in and by the terms, provisions, conditions and limitations of this Second Supplemental Trust Indenture for the issuance by the Board of \$3,530,000 of "Morehead State University Housing and Dining System Revenue Bonds, Series K," for the purpose of financing the aforementioned Series K Project, in the particular manner and upon the terms and conditions set forth in the aforesaid Trust Indenture dated November 1, 1966.

## ARTICLE III - DEFINITIONS

This Second Supplemental Trust Indenture is executed in accordance with ARTICLE XII of the Trust Indenture dated November 1, 1966, between the parties. Wherever the following words, designations, phrases and clauses are used, the same, unless the context shall clearly indicate another or different meaning or intent, shall be construed, are used, and are intended to have the meanings, and to be inclusive, as follows:

"Bonds" (without further identification) refers to the "Morehead State University Housing and Dining System Revenue Bonds" authorized or permitted to be issued by the Board pursuant to the terms, provisions, conditions and limitations set forth herein and in the Trust Indenture dated as of November 1, 1966, and the First Supplemental Trust Indenture dated as of February 1, 1968, between the parties hereto.

"Code" refers to the Internal Revenue Code of 1986, as amended, and the Treasury Regulations pertaining thereto.

"Morehead State University Housing and Dining System," "Housing and Dining System," and "System" refers to the housing and dining buildings, appurtenant facilities and related auxiliary enterprises located in Morehead, Rowan County, Kentucky, comprising portions of the University, identified as Allie Young Hall, Fields Hall, Thompson Hall, Waterfield Hall, Butler Hall, the initial twelve apartment buildings and laundry service building for married students, known as Lake Terrace, East Men's Hall, Mignon Hall, Wilson Hall, Carter Hall, comprising five buildings to house student family dwelling units, Regents Hall, West Mignon Hall, Perkins Hall, consisting of additional student family dwelling units, Cooper Hall, East Mignon Hall, Alumni Tower and Mignon Tower, the additional student family dwelling units known as Normal Hall; the two dormitories known as Nunn Hall and Castinell Hall, and as the same may be added to in the future by including therein any other housing and dining facilities or related auxiliary

enterprises and in accordance with the provisions of the Trust Indenture and this Supplemental Indenture;

"Record Date" shall mean, with respect to any interest payment date, the close of business on April 15 and October 15, as the case may be, next preceding such interest payment date, whether or not such April 15 or October 15 is a business day.

"Second Supplemental Indenture," "Second Supplemental Trust Indenture," or "Supplemental Indenture" refers to this instrument;

"Series K Bonds" refers to the "Morehead State University Housing and Dining System Revenue Bonds, Series K, dated March 1, 1989.

"Series K Construction Fund" refers to the Construction Fund or Account for which provision is made in this Second Supplemental Indenture for the Series K Project intended to be financed, in part, through the issuance of the Series K Bonds;

"Series K Project" refers to the complete reconstruction and renovation of Fields Hall for housing and dining purposes.

#### ARTICLE IV - AUTHORIZATION OF SERIES K BONDS

Section 401. Authorization and Designation of Series K Bonds. Pursuant to the provisions of the Trust Indenture there is hereby authorized to be issued by the Board, in its corporate capacity, a Series of Bonds in the aggregate principal amount of THREE MILLION ONE HUNDRED TWENTY THOUSAND DOLLARS (\$3,530,000). Such Series K Bonds shall be designated as "Morehead State University Housing and Dining System Revenue Bonds, Series K." Said Series K Bonds are hereby declared to have been authorized hereunder and under the Trust Indenture in conformity with the provisions of ARTICLE XI thereof. The Board hereby represents that the conditions prescribed in Section 1101 of the Trust Indenture will have been complied with prior to the issuance of the Series K Bonds, and that the Series K Bonds are issued on a basis of parity with the Series A through J Bonds, and such subsequent parity bonds as may hereafter be authorized subject to the prescribed limitations.

Section 402. Description of Series K Project. Said Series K Bonds are being issued for the purpose of paying the costs (to the extent not otherwise provided) of erecting and completing educational buildings for housing purposes, with necessary appurtenances, upon the property of the University in Rowan County, Kentucky, consisting of the reconstruction and renovation of Fields Hall, being the Series K Project, all of which, when completed, will become and constitute parts of the Housing and Dining System of said University.



Section 403. Series K Bonds Issued As Fully Registered Bonds; Interest Payment Dates and Maturities. The Series K Bonds shall be issued as fully registered bonds as to both principal and interest in denominations of \$5,000 or any multiple thereof within a single maturity, shall be dated March 1, 1989, and bear interest payable May 1, 1989, and November 1, 1989, and thereafter semi-annually on May 1 and November 1 of each year, at an annual rate or rates of interest to be fixed as a result of an advertised sale and competitive bidding for said Series K Bonds, as hereinafter provided.

Each Series K Bond shall bear interest from the interest payment date to which interest has been paid next preceding the date on which such Series K Bond is authenticated by the Trustee, unless such Series K Bond is authenticated on an interest payment date to which interest has been paid, in which event it shall bear interest from such date, or if such Series K Bond is authenticated prior to the first interest payment date, such Series K Bond shall bear interest from March 1, 1989.

Said Series K Bonds shall be numbered R-1 and upward, and scheduled to become due and payable on November 1 of the respective years, as follows:

<u>Maturity</u> <u>November 1</u>	<u>Principal</u> <u>Amount</u>	<u>Maturity</u> <u>November 1</u>	<u>Principal</u> <u>Amount</u>
1990	\$ _____	2001	\$ _____
1991	_____	2002	_____
1992	_____	2003	_____
1993	_____	2004	_____
1994	_____	2005	_____
1995	_____	2006	_____
1996	_____	2007	_____
1997	_____	2008	_____
1998	_____	2009	_____
1999	_____	2010	_____
2000	_____		

Section 404. Registered Owners; Payment of Principal and Interest; Authentication of Series K Bonds; Mutilated, Lost, Stolen or Destroyed Bonds. So long as any Series K Bonds remain outstanding, the Trustee shall keep at its principal office a Bond Register showing and recording a register of the owners of the Series K Bonds and shall provide for the registration and transfer of Series K Bonds in accordance with the terms of this Supplemental Indenture, subject to such reasonable regulations as the Trustee may prescribe.

The person in whose name any Series K Bond is registered on the Bond Register maintained by the Trustee, on the Record Date next preceding the following interest payment date shall be entitled to receive the interest payable on such interest payment date (unless such Series K Bond shall have been called for

redemption on a redemption date which is prior to such interest payment date) notwithstanding the cancellation of such Series K Bond upon any registration of transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date.

The principal of (redemption price, if redeemed prior to maturity) and interest on the Series K Bonds shall be payable in lawful money of the United States of America as same respectively become due. The principal of each Series K Bond is payable upon surrender of same at the principal office of the Trustee. Interest on the Series K Bonds shall be paid by check mailed by the Trustee on or before each interest payment date to the owners of the Series K Bonds shown on the Bond Register as of the end of business on the Record Date, at the respective addresses appearing on the Bond Register.

The Series K Bonds shall be executed on behalf of the Board, as permitted by Section 61.390 of the Kentucky Revised Statutes, with the duly authorized reproduced facsimile signature of the Chairman, and the reproduced facsimile of its corporate seal shall be imprinted thereon and attested by the reproduced facsimile signature of the Secretary; and said officials, by the execution of appropriate certifications, shall adopt as and for their own proper signatures, their respective facsimile signatures on said Series K Bonds; provided the Authentication Certificate of Trustee must be executed by the manual signature of the Trustee on each Series K Bond before such Series K Bond shall be valid.

At least five (5) business days prior to the date for payment of the purchase price for the Series K Bonds, the purchasers of the Series K Bonds shall furnish to the Trustee the name, address, social security number or taxpayer identification number, of each party to whom the Series K Bonds shall have been resold and in whose name the Series K Bonds are to be registered, and the principal amounts and maturities thereof. The Trustee shall then issue and deliver to each respective purchaser, on the closing date, fully registered Series K Bonds for each registered owner so designated in substantially the form as that set out in Section 902 hereof.

The Trustee shall have the right to order the preparation of whatever number of Series K Bond certificates as, in the sole discretion of the Trustee, shall be deemed necessary in order to enable the Trustee to maintain an adequate reserve supply of such Series K Bond certificates to effect properly the continuing transfers and exchanges of ownership of Series K Bond certificates as same are sold, exchanged and/or otherwise surrendered in the future. No further action regarding the authorization or execution of additional Series K Bond certificates shall be required by the Board, and all expenses incident thereto shall be borne by the Board.

All Series K Bonds shall be exchangeable and transferable upon presentation and surrender thereof at the office of the

Trustee, duly endorsed for transfer or accompanied by an assignment duly executed by the owner or his authorized representative, for a Series K Bond or Series K Bonds of the same maturity and interest rate and in any authorized denomination of \$5,000 and/or a multiple thereof within a single maturity, in an aggregate principal amount or amounts equal to the unpaid principal amount of the Series K Bond or Series K Bonds presented for exchange and transfer. The Trustee shall be and is hereby authorized to (authenticate and) deliver exchange Series K Bonds in accordance with the provisions of this Section. Each exchange Series K Bond delivered in accordance with this Section shall constitute an original contractual obligation of the Board and shall be entitled to the benefits and security of the Trust Indenture and this Supplemental Indenture to the same extent as the Series K Bond or Series K Bonds in lieu of which such exchange Series K Bond is delivered.

No Series K Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit of the Trust Indenture or this Supplemental Indenture unless and until such Series K Bond has been duly authenticated by the Trustee by the execution of the Authentication Certificate of Trustee appearing on such Series K Bond. Such Certificate appearing on any Series K Bond shall be deemed to have been duly executed by the Trustee if manually signed by an authorized officer of the Trustee. It shall not be required that the same officer of the Trustee sign such Certificate on all of the Series K Bonds.

If any Series K Bond shall be mutilated, lost, stolen or destroyed, the Board may execute, authenticate and deliver a new Series K Bond of like maturity and tenor in lieu of and in substitution for the Series K Bond mutilated, lost, stolen or destroyed; provided that, in the case of any mutilated Series K Bond, such mutilated Series K Bond shall first be surrendered to the Board, and in the case of any lost, stolen or destroyed Series K Bond, there shall be first furnished to the Board satisfactory evidence of the ownership of such Series K Bond and of such loss, theft or destruction, together with indemnity satisfactory to the Board. If any such Series K Bond shall have matured, the Board may pay the same instead of issuing a new Series K Bond. The Board may charge the owner of such Series K Bond its reasonable fees and expenses in this connection.

#### ARTICLE V - SERIES K BONDS TO BE OFFERED AT PUBLIC SALE

The Series K Bonds shall be sold at public sale immediately after public advertising as required by KRS Chapter 424. Bids shall be received in the office of the President of the University until a day and hour when the Board is scheduled to be in session at a regular, adjourned regular, or called, special session. The Board shall, in a Resolution accepting the successful bid for the Series K Bonds, determine the rates of interest which said Series K Bonds shall bear, and the interest rates of said Series K Bonds shall be automatically fixed at the interest rates set out in said successful bid accepted in said Resolution, without

the necessity of any further action by the Board fixing said interest rates. The proceeds of the sale of said Series K Bonds shall be used only for the Series K Project herein described.

#### ARTICLE VI - CUSTODY AND APPLICATION OF BOND PROCEEDS

Section 601. Creation of Series K Construction Fund. A special fund is hereby created and designated the "Morehead State University Housing and Dining Construction Fund, Series K" (the "Series K Construction Fund"), to the credit of which there shall be deposited, as received, the proceeds of the Series K Bonds, with the exception of the accrued interest required by Section 802 of ARTICLE VIII hereof to be deposited in the 1966 Bond and Interest Sinking Fund. The moneys for the Series K Construction Fund shall be paid to the State Treasurer of the Commonwealth of Kentucky, with its separate designation, and shall be held in trust by the State Treasurer (with any supplemental funds provided from other sources) and applied on orders of the Board to the payment of the costs of the Series K Project, in accordance with and subject to the provisions of this Article, and, pending such application, shall be subject to a lien and charge in favor of the owners of the Series K Bonds, as issued and outstanding under the Trust Indenture and this Supplemental Indenture, and shall be held for the further security of such owners until paid out as herein provided.

Section 602. Application of Proceeds of Series K Construction Fund. For the purposes of this Article, the costs of the Series K Project intended to be financed through the issuance of the Series K Bonds shall include, without intending thereby to limit or restrict or extend any proper definition of such cost under any applicable laws or under the Trust Indenture and this Supplemental Indenture, disbursements for, or reimbursement to, the Commonwealth and the University for advances made for, the following:

(a) obligations incurred for labor and to contractors, builders and materialmen in connection with the construction of said Series K Project;

(b) fees and expenses of engineers and architects for surveys and estimates and other preliminary investigations, preparation of plans, drawings and specifications and supervising construction, as well as for the performance of all other duties of engineers and architects in relation to the planning or construction of the Series K Project or in connection with the issuance of the Series K Bonds;

(c) expense of administration properly chargeable to the Series K Project, legal expenses and fees, financing charges, advertising expenses, cost of printing the Series K Bonds, cost of audits and of issuing the Series K Bonds, and all other items of expense not elsewhere in this Section specified, incident to the construction of the Series K Project and placing the same in operation, including specifically the fee of the Fiscal Agents; and

(d) to the extent of any surplus remaining after payment of all of the costs of the Series K Project, toward the cost of movable furnishings and fixtures for said Series K Project.

Section 603. Application of Excess Proceeds After Completion of Series K Project. When the Series K Project shall have been completed, and audited, any balance remaining in the Series K Construction Fund, and not necessary in the opinion of the Board to be reserved for the payment of any remaining part of the costs of the Series K Project, may, upon order of the Board, be applied, in whole or in part, to (i) deposit with the Trustee for credit to the 1966 Debt Service Reserve, or (ii) the cost of constructing, reconstructing or completing educational buildings for housing or dining purposes upon the campus of the University in Rowan County, Kentucky, including necessary appurtenant facilities, furnishings and equipment, if the same will, when completed, constitute parts of the Housing and Dining System, or (iii) retention in the Series K Construction Fund for the purpose of reducing the amount of a subsequent series of the Board's Housing and Dining System Revenue Bonds issued under the Trust Indenture and in accordance with the conditions and restrictions therein prescribed.

Section 604. Investment of Moneys In Series K Construction Fund. If it be determined at any time by the Board that the moneys on deposit in the Series K Construction Fund exceed the estimated disbursements on account of said Series K Project for the ensuing two (2) months, such excess may be invested by the State Treasurer upon orders of the Board in (i) direct obligations of the United States of America or obligations which are fully guaranteed by the United States of America, or (ii) negotiable or non-negotiable certificates of deposit issued by any bank, trust company or national banking association (including the Trustee) which is insured by the Federal Deposit Insurance Corporation; provided that if any certificate of deposit shall exceed the amount of such insurance, the amount of any certificate of deposit in excess of that covered by such insurance must be secured by a first and prior pledge of obligations described in clause (i) above having market values of not less than 100% of the excess, or (iii) as provided in KRS 42.500(8). Such investments shall be selected in such manner as to mature according to estimates of Architects charged with supervision of construction, not later than the time or times when it is anticipated that cash will be required for authorized purposes and all such investments, as well as all income therefrom, shall be carried to the credit of said Series K Construction Fund.

#### ARTICLE VII - REDEMPTION PROVISION

With respect to the Series K Bonds, the Board reserves certain options or privileges of redemption, as follows:

(a) Series K Bonds shall be subject to redemption from the proceeds of insurance, in the event of damage or destruction of

In the event that a Series K Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series K Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series K Bond for redemption in part, the Trustee shall

May 1, 2000, through April 30, _____	_____	_____	_____
May 1, _____, through April 30, _____	_____	_____	_____
May 1, _____, through April 30, _____	_____	_____	_____
May 1, _____, to final maturity _____	_____	_____	_____

IF REDEEMED (Both Dates Inclusive) REDEMPTION PRICE

at a redemption price expressed as a percentage of the principal amount of the Series K Bonds to be redeemed, together with interest to the designated redemption date at the respective applicable interest rates, in accordance with the following schedule:

(1) in whole (alone or with any other Series of Bonds outstanding under the Indenture as the Board may designate, in its sole discretion without regard to priority of issue, alphabetical designation or otherwise) from any moneys which may be made available from any source for such purpose, at any date not earlier than May 1, 2000,

(2) in part, in inverse order of maturity (less than all of a single maturity to be selected by lot) from Revenues accumulated in the 1966 Sinking Fund and properly available for such purpose, on any interest payment date on and after May 1, 2000; or

Series K Bonds maturing on and after November 1, 2000, shall be subject to redemption prior to their stated maturities either:

Series K Bonds maturing on and prior to November 1, 1999, shall not be subject to redemption except from the proceeds of insurance as provided in the Trust Indenture.

(c) Subject to all of the foregoing, and the provisions for notice as hereinafter set forth, the Series K Bonds shall be subject to redemption at the option of the Board, as follows:

(b) The Board reserves the right to call for redemption, subject to the redemption provisions of the respective Bond Series, any and all outstanding Bonds which may be called and redeemed at par or face value, prior to calling for redemption any Bonds that are callable at a premium;

properties constituting parts of the Housing and Dining System, as provided in ARTICLE XIV of the Trust Indenture;

authenticate and deliver an exchange Series K Bond or Series K Bonds in an aggregate principal amount equal to the unredeemed portion of the Series K Bond so surrendered.

ARTICLE VIII - THE HOUSING AND DINING SYSTEM; REVENUES;  
SEGREGATION; SPECIAL FUNDS

Section 801. Confirmation of Existence and Continuance of System. The Board hereby re-establishes and confirms the existence and continuance of its "Housing and Dining System," comprising and including all of the housing and dining buildings, appurtenant facilities and auxiliary enterprises identified in the Trust Indenture, specifically including (but not by way of limiting the generality of the foregoing), the facilities constituting the Series K Project.

Section 802. Reaffirmation of Provisions of Section 904 of Trust Indenture. The Board reaffirms the provisions of Section 904 of ARTICLE IX of the Trust Indenture, and without limiting the generality of the foregoing, covenants and agrees:

(a) upon the sale of the Series K Bonds, to deposit to the 1966 Sinking Fund the accrued interest, if any, received from the purchaser at the time of the delivery of the Series K Bonds;

(b) commencing with the April 1 or October 1 next following the issuance of the Series K Bonds to increase the semiannual transfers heretofore provided by the Trust Indenture to be made from the 1966 Revenue Fund and deposited to the credit of the 1966 Sinking Fund for the purpose of paying interest to such amount (subject to credit for the amount deposited under [a] above) as will be sufficient to provide for the payment of interest accruing on all then outstanding bonds maturing on the next ensuing interest payment date;

(c) commencing April 1, 1990, and on each October 1 and April 1 thereafter, to increase the transfers heretofore provided by the Trust Indenture to be made from the 1966 Revenue Fund to the 1966 Sinking Fund for payment of maturing principal to an amount equal to one-half of the principal of all then outstanding Series K Bonds which will mature on the next ensuing November 1; and

(d) commencing with the April 1 or October 1 next following the issuance of the Series K Bonds, the Board shall transfer, semiannually, from the 1966 Revenue Fund for deposit to the credit of the 1966 Sinking Fund, an amount in addition to the semiannual payments required to be made under subsections (a) and (b) of Section 904(4) of ARTICLE IX of the Trust Indenture equal, on an annual basis, equal to twenty-five percent (25%) of the average annual debt service requirements of principal and interest on the Series K Bonds; the same to be continued so long as the funds and/or investments in the 1966 Debt Service Reserve shall be less than an amount sufficient to pay the maximum amount which will

become due in any Fiscal Year thereafter for the principal of and interest on all then outstanding Bonds.

Section 803. Reaffirmation of Section 907 of Trust Indenture. The Board reaffirms the provisions of Section 907 of ARTICLE IX of the Trust Indenture. Without limiting the generality of the foregoing, the Board further covenants and agrees to the adjustment of annual payments to be made into the 1966 Repair and Maintenance Reserve, beginning with the Fiscal Year during which the Series K Bonds are issued, to the extent that at the close of each Fiscal Year it will transfer from the 1966 Revenue Fund and deposit in the 1966 Repair and Maintenance Reserve the sum of \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_), or so much thereof as may be available for transfer, until the funds and/or investments therein aggregate \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_), and thereafter such sums in the maximum amount which may be available for transfer at the close of each Fiscal Year, to maintain the balance of \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_).

ARTICLE IX - FORMS; FULLY REGISTERED BONDS; CERTIFICATION OF SECRETARY, FINANCE AND ADMINISTRATION CABINET; TRUSTEE'S AUTHENTICATION CERTIFICATE; REGISTRATION; ASSIGNMENT; ETC.

Section 901. Variations In Bond Form Permitted By Trust Indenture. The Series K Bonds shall be issued in fully registered form substantially as set forth in ARTICLE X of the Trust Indenture with appropriate insertions, omissions and variations as in the Trust Indenture provided or permitted.

Section 902. Bond Form. The Series K Bonds, the Certification of the Secretary, Finance and Administration Cabinet to appear thereon, the form of the Authentication Certificate of Trustee, and provisions for registration as to principal, shall be substantially as follows:

UNITED STATES OF AMERICA  
COMMONWEALTH OF KENTUCKY  
MOREHEAD STATE UNIVERSITY  
HOUSING AND DINING SYSTEM REVENUE BOND  
SERIES K

NO. \_\_\_\_\_ DOLLARS

DATE OF ORIGINAL ISSUE: March 1, 1989

INTEREST RATE: \_\_\_\_\_ MATURITY DATE: \_\_\_\_\_ CUSIP: \_\_\_\_\_

REGISTERED OWNER: \_\_\_\_\_

PRINCIPAL AMOUNT: \_\_\_\_\_

KNOW ALL MEN BY THESE PRESENTS: That the Board of



Regents of Morehead State University, at Morehead, Kentucky, a public body corporate and educational institution and agency of the Commonwealth of Kentucky (hereinafter called the "Board"), for value received, hereby promises to pay to the Registered Owner named above, or registered assigns or legal representatives, as herein provided, solely from the special fund hereinafter identified, upon presentation and surrender of this Series K Bond, the Principal Amount specified above, on the Maturity Date specified above, and to pay interest on said sum at the per annum Interest Rate specified above, from the interest payment date to which interest has been paid next preceding the date on which this Series K Bond is authenticated, unless this Series K Bond is authenticated on an interest payment date to which interest has been paid, in which event this Series K Bond shall bear interest from such date, or if this Series K Bond is authenticated prior to the first interest payment date, this Series K Bond shall bear interest from the Date of Original Issue set out above, semiannually on May 1 and November 1 of each year, commencing May 1, 1989, until payment of the Principal Amount, except as the provisions hereinafter set forth with regard to redemption prior to maturity may be and become applicable hereto. The principal amount of this Series K Bond (or redemption price, if redeemed prior to maturity) is payable upon surrender of this Series K Bond, at maturity or at earlier redemption prior to maturity, in lawful money of the United States of America at the principal office of Pikeville National Bank & Trust Company, Pikeville, Kentucky (the "Trustee"). Interest due on this Series K Bond shall be paid by check or draft mailed by regular United States mail, postmarked no later than the due date thereof, by the Trustee to the Registered Owner and at the address shown as of the 15th day of the month preceding such interest payment date on the Bond Register kept by the Trustee, which is also the Bond Registrar.

This Series K Bond and the issue of which it is a part is issued on the basis of parity and equality as to security and source of payment with the Series A through J Bonds heretofore issued and outstanding under and pursuant to a Trust Indenture dated as of November 1, 1966, and a First Supplemental Trust Indenture dated as of February 1, 1968, between the Board and the Trustee.

This Series K Bond is part of an authorized issue of THREE MILLION ONE HUNDRED TWENTY THOUSAND DOLLARS (\$3,120,000) principal amount of bonds (hereinafter collectively referred to as the "Series K Bonds") authorized to be issued by the Board for financing the costs, not otherwise provided, of the reconstruction and renovation of Fields Hall, constituting a part of the Housing and Dining System of Morehead State University, consisting of (a) initially, all the student housing facilities and related auxiliary enterprises existing on the campus of said University in Morehead, Rowan County, Kentucky, as of November 1, 1966, (b) the housing and dining buildings and appurtenant facilities financed through application of the proceeds of the Series H, Series I and Series J Bonds, (c) any other housing and dining facilities or related

auxiliary enterprises, including such as may be added to the said Housing and Dining System at future dates in accordance with the conditions and restrictions provided in connection with the permissive issuance of parity bonds, and (d) any such facilities as may be added to the Housing and Dining System as additional security for the Bonds (hereinafter sometimes referred to as the "Housing and Dining System," or the "System"), under and in full compliance with the Constitution and Statutes of the Commonwealth of Kentucky, including among others, Sections 162.350 and 162.380, inclusive, of the Kentucky Revised Statutes now in full force and effect.

The Series K Bonds are issued under and pursuant to the Trust Indenture, dated as of November 1, 1966, and a Second Supplemental Trust Indenture, dated March 1, 1989 (said indenture, together with all indentures supplemental thereto as therein permitted, being hereinafter called the "Indenture"), executed by and between said Board and the Trustee, an executed counterpart of which is on file at the office of said Trustee in the City of Pikeville, Kentucky. Reference is hereby made to the Indenture for a more specific identification of the Housing and Dining System and for the provisions, among others, with respect to the custody and application of the proceeds of the Series K Bonds; the collection and disposition of the defined Revenues; the fund charged with and pledged to the payment of the interest on and the principal of said Series K Bonds; the nature and extent of the security; the reserved right of the Board to issue in the future certain additional Series of Bonds which will rank on a basis of parity as to security and source of payment with the Bonds initially authorized, subject to conditions and restrictions which are specifically set forth in the Indenture; the rights, duties and obligations of said Board and of the Trustee; and the rights and limitation of rights of the owners of the Bonds; and, by the acceptance of this Series K Bond, the owner hereof assents to all of the provisions of said Indenture.

The owner of this Series K Bond shall have no right to enforce the provisions of the Indenture or to institute action to enforce the covenants therein, or to take any action with respect to any default under the Indenture, or to institute, appear in or defend any suit or other proceedings with respect thereto, except as provided in the Indenture. The Indenture provides for fixing, charging and collecting rentals and other charges for the use of said Housing and Dining System, which rents and charges will be sufficient to pay the principal of and interest on said Bonds as the same become due, and to provide reserves for such purposes and also to pay the cost of maintenance, operations and repair of the said Housing and Dining System, to the extent not otherwise provided. The Indenture provides for the creation of a special fund designated "Morehead State University Housing and Dining System Revenue Bond and Interest Sinking Fund Account" (hereinafter called the "Bond and Interest Sinking Fund"), and for the deposit to the credit of said Bond and Interest Sinking Fund of a fixed amount of the defined Revenues of said Housing and Dining System sufficient to pay the principal of and interest on the Bonds as the same become due, and to provide a reserve for such purpose, which Bond

and Interest Sinking Fund is pledged to and charged with the payment of said principal and interest.

The Series K Bonds are issuable as fully registered Series K Bonds in the denomination of \$5,000 and any authorized multiple thereof within a single maturity.

This Series K Bond is transferable by the Registered Owner hereof in person or by his attorney duly authorized in writing at the main office of the Trustee, but only in the manner and subject to the limitations provided in the Indenture, and upon surrender and cancellation of this Series K Bond, duly endorsed for transfer or accompanied by an assignment duly executed by the Registered Owner or his authorized representative. Upon such transfer being made, a new registered Series K Bond or Series K Bonds of the same series and the same maturity of authorized denomination, for the same aggregate principal amount, will be issued to the transferee in exchange for this Series K Bond.

The Board and the Trustee may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of principal hereof (redemption price, if redeemed prior to maturity) and interest due hereon and for all other purposes, and neither the Board nor the Trustee shall be affected by any notice to the contrary.

#### GET REDEMPTION PROVISIONS FROM PREVIOUS PAGES

In the event that a Series K Bond subject to redemption is in a denomination larger than \$5,000, a portion of such Series K Bond may be redeemed, but only in a principal amount equal to \$5,000 or an integral multiple thereof. Upon surrender of any Series K Bond for redemption in part, the Trustee, in accordance with the Indenture, shall authenticate and deliver an exchange Series Bond or Series K Bonds in an aggregate principal amount equal to the unredeemed portion of the Series K Bond so surrendered.

If the Board, at its option, undertakes to prepay outstanding Bonds in advance of scheduled maturity, it is agreed and understood that (1) except for the prepayment of Series K Bonds through application of surplus moneys in the Construction Fund (and as similar conditions may be prescribed, in the discretion of the Board, in connection with the issuance of any future Series of Bonds), calls of Bonds from surplus Revenues accumulated in the Bond and Interest Sinking Fund and available for such purpose shall be on a pro rata basis reflecting the relationship between the Bonds of each Series at such time outstanding, and (2) calls of Bonds of each Series shall be in accordance with the prepayment provisions of each Series; provided, however, as aforesaid, that the Board shall have the right to call, in accordance with the prepayment provisions of the respective Series, any or all

outstanding Bonds which are subject to prepayment at par prior to calling any Bonds which are callable at a premium.

The Trustee shall give notice of any optional redemption by sending such notice by United States mail, first class, postage prepaid, not less than thirty (30) and not more than sixty (60) days prior to the date fixed for redemption, to the Registered Owner of this Series K Bond at the address shown on the Bond Register as of the date of mailing of such notice.

A statutory mortgage lien, which is hereby recognized as valid and binding on the buildings and appurtenant facilities of said Housing and Dining System, those portions of the sites physically occupied thereby, and all necessary appurtenances, including adequate provisions for ingress, egress and the rendering of necessary services, is created and granted to and in favor of the Registered Owner of this Series K Bond and the issue of which it is a part, and said Housing and Dining System, including such housing and dining buildings, appurtenant facilities and related auxiliary enterprises, as may hereafter be added to and made a part of said System according to the provisions of the Indenture, shall remain subject to such statutory mortgage lien until the payment in full of the principal of and interest on this Series K Bond and the issue of which it is a part.

In case any event of default, as defined in the Indenture, shall occur, the principal of this Series K Bond may be declared or may become due and payable in the manner and with the effect provided in the Indenture.

No recourse shall be had for the payment of the principal of or interest on this Series K Bond against any officer, director, regent or member of the Board, as such, all such liability (if any) being hereby expressly waived and released by every registered owner or transferee hereof by the acceptance hereof, and as a part of the consideration hereof, as provided in the Indenture.

This Series K Bond shall not be entitled to any benefit under the Indenture or be valid or become obligatory unless it shall have been authenticated by the Trustee, or its successor in trust, by completing the Authentication Certificate of Trustee appearing hereon.

This Series K Bond is exempt from taxation (except inheritance taxes) in Kentucky.

It is hereby certified, recited and declared that all acts, conditions and things required to exist, happen and be performed precedent to and in the issuance of this Series K Bond, do exist, have happened and have been performed in due time, form and manner as required by law; and that the amount of this Series K Bond, together with all other obligations of said Board and of said Morehead State University, does not exceed any limit prescribed by the Constitution or the Statutes of the Commonwealth of Kentucky;

that said Housing and Dining System will be continuously operated, and that a sufficient portion of the defined Revenues therefrom has been pledged to and will be set aside into said Bond and Interest Sinking Fund, as the first charge upon such Revenues, for the payment of the principal of and interest on this Series K Bond and the issue of which it is a part and any other Bonds which have heretofore and may hereafter be issued and outstanding, which by their terms are payable from said identified special fund, at or prior to maturity.

It is further certified, recited and declared that as permitted by the Constitution, applicable statutory laws and controlling decisions of the Highest Court of the Commonwealth, the said Board of Regents of Morehead State University has irrevocably covenanted and agreed, and hereby irrevocably covenants and agrees with the owner of this Series K Bond, with the sanction, approval and authorization of the State Property and Buildings Commission of Kentucky, and the Finance and Administration Cabinet of the Commonwealth, as evidenced by proper authorizations and proceedings duly filed with the Trustee, and as further evidenced by the authorized reproduced facsimile signature of the Secretary of the Finance and Administration Cabinet hereon appearing, that if and to the extent that the defined Revenues of said Housing and Dining System shall at any time be or become insufficient (after first meeting all requirements of the special fund hereinabove identified), to pay all costs of operating and maintaining said System, and of keeping the same in a good and tenantable state of repair, said Board of Regents and the Commonwealth of Kentucky will supply from other sources any deficiencies in such respects as a binding and continuing contractual commitment of the Commonwealth, so long as any Bonds are outstanding and unpaid under the terms and provisions of the Indenture.

IN WITNESS WHEREOF, said Board of Regents of Morehead State University has caused this Series K Bond to be executed on its behalf with the duly authorized reproduced facsimile signature of its Chairman, and has caused the reproduced facsimile of its Corporate Seal to be imprinted hereon and attested by the reproduced facsimile signature of its Secretary, and dated as of March 1, 1989; provided, however, that this Series K Bond shall not be valid or become obligatory for any purpose, or be entitled to any security or benefit under the Indenture pursuant to which it was authorized until the Authentication Certificate of Trustee printed hereon shall have been executed by the manual signature of a duly authorized representative of the Trustee.

BOARD OF REGENTS OF  
MOREHEAD STATE UNIVERSITY

Attest: By (Facsimile Signature)  
Chairman

(Facsimile Signature)  
Secretary

(Facsimile Seal)

It is certified that issuance of this Series K Bond, in form as above set forth, has been duly approved and authorized by the State Property and Buildings Commission of Kentucky, and by the undersigned.

(Facsimile Signature)  
Secretary, Finance and Administration  
Cabinet

THE AUTHENTICATION DATE OF THIS BOND IS: \_\_\_\_\_.

AUTHENTICATION CERTIFICATE OF TRUSTEE

This is to certify that this Series K Bond is one of the Series K Bonds referred to in the within Series K Bond and in the within-mentioned Indenture.

PIKEVILLE NATIONAL BANK & TRUST  
COMPANY,  
Pikeville, Kentucky, Trustee

By \_\_\_\_\_  
Authorized Officer

ASSIGNMENT

For value received, the undersigned hereby sells, assigns and transfers unto \_\_\_\_\_ the within Series K Bond and hereby irrevocably constitutes and appoints \_\_\_\_\_ attorney to transfer the within Series K Bond on the books kept for registration and transfer of said Series K Bond, with full power of substitution in the premises.

Dated: \_\_\_\_\_

Social Security Number or other  
identifying number: \_\_\_\_\_

Signature Guaranteed By:

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Notice: Signatures must be guaranteed by a member firm of the New York Stock Exchange or a commercial bank or trust company.

#### ARTICLE X - CONCERNING THE TRUSTEE

The Trustee hereby accepts the trusts imposed upon it by this Supplemental Indenture, subject to the express terms and conditions of the Trust Indenture.

#### ARTICLE XI - AMENDMENTS AND MODIFICATIONS

The provisions of this Indenture shall constitute a contract between the Board, the Trustee and the owners of the Series K Bonds as may be outstanding from time to time under this Supplemental Indenture; provided that modifications, alterations and amendments of this Indenture and of the rights and obligations of this Board and of the Trustee and of the owners of said Series K Bonds as may be outstanding may be made as specifically provided in the Trust Indenture.

#### ARTICLE XII - RELEASE AND DISCHARGE OF SUPPLEMENTAL INDENTURE; PARTIAL RELEASES

Release and discharge of this Supplemental Indenture in whole or in part may be effected upon the terms and conditions and in the manner specified in ARTICLE XVII of the Trust Indenture.

#### ARTICLE XIII - COMPLIANCE WITH INTERNAL REVENUE CODE OF 1986

In order to assure the purchasers of the Series K Bonds that interest thereon will continue to be excludable from gross income for federal income tax purposes and exempt from Kentucky income taxation (subject to certain exceptions set out below), the Board covenants in this Second Supplemental Trust Indenture that (1) the Board will take all actions necessary to comply with the provisions of the Code, (2) the Board will take no actions which will violate any of the provisions of the Code, or that would cause the Series K Bonds to become "private activity bonds" within the meaning of the Code, (3) none of the proceeds of the Series K Bonds will be used for any purpose which would cause the interest on the Series K Bonds to become subject to federal income taxation, and that the Board will comply with any and all requirements as to rebate (and reports with reference thereto) to the United States of America of certain investment earnings on the proceeds of the Series K Bonds.

The Board has been advised by Bond Counsel, Rubin & Hays, Louisville, Kentucky, and therefore believes, that the Series K Bonds are not "private activity bonds" within the meaning of the Code, and that interest on the Series K Bonds is not included as an item of tax preference in calculating the alternative minimum tax for individuals.

The Board of Regents, and all subordinate entities thereof, does not reasonably anticipate issuing tax-exempt obligations during the calendar year in which the Series K Bonds are being issued in excess of \$10,000,000, and, therefore, the Board has designated the Series K Bonds as "qualified tax-exempt obligations" for investment by financial institutions pursuant to the provisions of Section 265(b)(3) of the Code.

The tax-exempt status of the Series K Bonds is subject to the following exceptions:

1. For purposes of the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), interest on the Series K Bonds is taken into account in determining adjusted net book income (adjusted current earnings for taxable years beginning after December 31, 1989).

2. Section 265 of the Code denies a deduction for interest on indebtedness incurred or continued to purchase or carry the Series K Bonds, except that in the case of a financial institution, within the meaning of Section 265(b)(5) of the Code, a deduction is allowed for 80% of that portion of such financial institution's interest expense allocable to interest on the Series K Bonds.

3. With respect to insurance companies subject to the tax imposed by Section 831 of the Code, Section 832(b)(5)(B)(i) reduces the deduction for loss reserves by 15% of the sum of certain items, including interest on the Series K Bonds.

4. For taxable years beginning before January 1, 1992, interest on the Series K Bonds earned by some corporations could be subject to the environmental tax imposed by Section 59A of the Code.

5. Interest on the Series K Bonds earned by certain foreign corporations doing business in the United States of America could be subject to a branch profits tax imposed by Section 884 of the Code.

6. Passive investment income, including interest on the Series K Bonds, may be subject to federal income taxation under Section 1375 of the Code for Subchapter S corporations that have Subchapter C earnings and profits at the close of the taxable year if greater than 25% of the gross receipts of such Subchapter S corporation is passive investment income.

7. Section 86 of the Code requires recipients of certain Social Security and certain Railroad Retirement benefits to take into account, in determining the taxability of such benefits, receipts or accruals of interest on the Series K Bonds.

The Board of Regents reserves the right to amend this Second Supplemental Trust Indenture without obtaining the consent



of the owners of the Series K Bonds (i) to whatever extent shall, in the opinion of Bond Counsel, be deemed necessary to assure that interest on the Series K Bonds shall be exempt from federal income taxation, and (ii) to whatever extent shall be permissible (without jeopardizing such tax exemption or the security of the owners of the Series K Bonds) to eliminate or reduce any restrictions concerning the Series K Project, the investment of the proceeds of the Series K Bonds, or the application of such proceeds or of the revenues of the Series K Project. The purchasers of the Series K Bonds will be deemed to have relied fully upon these covenants and undertakings on the part of the Board of Regents as part of the consideration for the purchase of the Series K Bonds. To the extent that the Board of Regents obtains an opinion of nationally recognized bond counsel to the effect that non-compliance with any of the covenants contained in this Second Supplemental Trust Indenture would not subject interest on the Series K Bonds to federal income taxation or Kentucky income taxation, the Board of Regents is not required to comply with such covenants and requirements.

#### ARTICLE XIV - COMPLIANCE WITH FEDERAL ARBITRAGE REGULATIONS

The Board covenants that the proceeds of the Series K Bonds and the Revenues of the Series K Project shall not be invested in investments which will produce a net adjusted yield in excess of the net interest cost (effective yield) of the Series K Bonds authorized herein, if such investment would cause such Series K Bonds to be treated as "arbitrage bonds" within the meaning of Section 148 of the Code; provided, however, that funds may be invested to whatever extent and whenever the Code permits same to be invested without causing the Series K Bonds to be treated as "arbitrage bonds."

On the basis of known facts and circumstances in existence on the date of this Second Supplemental Trust Indenture, the Board certifies that it is not expected that the proceeds of the Series K Bonds or the Revenues of the Series K Project will be used in a manner which would cause such Series K Bonds to be arbitrage bonds. The Board covenants to the purchasers of the Series K Bonds and/or owners of the Series K Bonds that (a) the Board will make no use of the proceeds of the Series K Bonds or the Revenues of the Series K Project, if such use had been reasonably expected on the date of issuance of the Series K Bonds, would have caused such Series K Bonds to be arbitrage bonds, and (b) that the Board will comply with (1) all of the requirements of Section 148 of the Code; and (2) all of the requirements of the Treasury Regulations applicable thereto, to whatever extent is necessary to assure that the Series K Bonds shall not be treated as arbitrage bonds.

On the basis of known facts and reasonable expectations on the date of this Second Supplemental Trust Indenture, the Board certifies as follows:

(a) That a contract for architectural services and/or construction contracts have been entered into for the construction of the Series K Project, and the fees to be paid to such Architect and/or the amount of such contracts will exceed 2½% of the total cost of the Series K Project;

(b) That work on the building has commenced or will commence within six (6) months from the date of issuance of the Series K Bonds;

(c) That construction of the Series K Project will proceed thereafter to completion with due diligence on the part of the Board, and that at least 95% of the spendable proceeds of the Series K Bonds will be expended on the cost of the Series K Project within less than three (3) years from the date of issuance of the Series K Bonds;

(d) That the original proceeds of the Series K Bonds will not exceed by more than 5% (or any percent) the amount necessary to complete the construction of the Series K Project, and therefore, there has been no overissuance of the Series K Bonds;

(e) That it is anticipated and covenanted that amounts on deposit in the 1966 Sinking Fund will be used within thirteen (13) months from the date of deposit for the payment of principal of and/or interest on the Series A through K Bonds, and that, except for an amount equal to not more than the greater of (1) one-twelfth (1/12) of debt service requirements on such bonds for the then ensuing year, or (2) one year's earnings on the 1966 Sinking Fund, such 1966 Sinking Fund will be depleted annually through such application for current debt service requirements of the Bonds;

(f) That the amounts to be accumulated in the 1966 Debt Service Reserve out of the revenues of the Series K Project in the amount of the maximum annual debt service on the Series K Bonds as required by the Trust Indenture, will not exceed an amount equal to 10% of the original proceeds of the Series K Bonds, and that the amount required to be capitalized out of the proceeds of the Series K Bonds is an amount equal to the interest accruing on the Series K Bonds to May 1, 1989, plus the collected accrued interest and such capitalized amount will, in fact, be applied to pay interest accruing and falling due on the Series K Bonds during the first twelve (12) months after the issuance of the Series K Bonds;

(g) That the amount to be accumulated in the 1966 Repair and Maintenance Reserve will not be used for the payment of debt service on any of the Series K Bonds, except to prevent a default;

(h) That the Board has not been advised of any listing or contemplated listing by the Internal Revenue Service determining that the foregoing type of certification with respect to the Board's obligations may not be relied on;

and, accordingly, it is anticipated that there will be no limitation on the permissible yield on investments made from the proceeds of the Series K Bonds or from the Revenues of the Series K Project.

Prior to or at the time of delivery of the Series K Bonds, the Chairman of the Board and/or the chief financial officer of the University shall execute the appropriate certifications with reference to the matters referred to above, setting out all known and contemplated facts concerning such anticipated construction, expenditures and investments, including the execution of necessary and/or desirable certifications of the type contemplated by applicable Treasury Regulations in order to assure that interest on the Bonds will be exempt from all federal income taxes and that the Series K Bonds will not be treated as arbitrage bonds.

#### ARTICLE XV - MISCELLANEOUS PROVISIONS

Section 1501. Nonpresentment of Series K Bonds for Payment. In the event that any Series K Bond issued hereunder shall not be presented for payment when the principal thereof becomes due, either at maturity or otherwise, or at the date fixed for the redemption or prepayment of some or all of the principal thereof, as hereinbefore provided (the Board having deposited with the Trustee funds sufficient to pay such Series K Bonds, together with all interest due thereon to the date of the maturity of such Series K Bonds or to the date fixed for redemption thereof, then and in every such case, interest on said Series K Bond and all liability of the Board to the owner of said Series K Bond for the payment of the principal thereof and interest thereon, shall forthwith cease, determine and be completely discharged, and thereupon it shall be the duty of the Trustee to hold the funds so deposited in trust for the benefit of the owner of such Series K Bond, who shall thereafter be restricted exclusively to said funds for any claim of whatsoever nature on the part of such owner under this Supplemental Indenture or in said Series K Bond.

Section 1502. Covenants and Provisions Binding Upon Successors and Assigns. Whenever in this Supplemental Indenture any of the parties hereto is referred to, such reference shall be deemed to include the successors and assigns of such party, and all the covenants, promises and agreements in this Supplemental Indenture contained by or on behalf of the Board, or by or on behalf of the Trustee, shall bind and inure to the benefit of the respective successors and assigns of such parties, whether so expressed or not.

Section 1503. Covenants Contained Hereto Inure Only To the Parties Hereto, Their Successors and Assigns and the Owners Of the Bonds. Nothing in this Supplemental Indenture, expressed or implied, is intended or shall be construed to confer upon or give to any person or corporation, other than the parties hereto and the holders and owners of the Bonds and of coupons, if any, any right, remedy or claim under or by reason of this Supplemental Indenture, or any covenant, condition or stipulation hereof, and all the

Attest:

(SEAL)

Chairman

By

BOARD OF REGENTS OF  
MOREHEAD STATE UNIVERSITY

IN TESTIMONY WHEREOF, the Board of Regents of Morehead State University has caused its corporate name to be hereunto subscribed by its Chairman and its corporate seal to be hereunto affixed, and said signature and seal to be attested by its Secretary or Acting Secretary, and said Pikeville National Bank & Trust Company, Pikeville, Kentucky, to evidence its acceptance of the trusts hereby created and vested in it, has caused its corporate name to be hereunto subscribed by its President or by one of its Vice Presidents, or by its Trust Officer, and its corporate seal to be hereunto affixed and said signature and seal to be attested by its Secretary, Cashier or Trust Officer, or a duly authorized Assistant, all as of the day and year first above written.

written.

effective date hereof shall be the year and day first hereinabove shall be the date of execution by the Trustee; but nevertheless the date of actual execution of this Supplemental Indenture. The date of actual execution of this Supplemental Indenture each as an original, shall constitute but one and the same instrument, and all such counterparts executed and delivered, counterparts, and all such counterparts executed and delivered, mental Indenture may be simultaneously executed in any number of Section 1505. Execution in counterparts. This Supplemental Indenture may be simultaneously executed in any number of

Section 1504. Execution of Instruments. Any request, declaration or other instrument required or permitted by this Supplemental Indenture to be made or given by any owner of the Series K Bonds may be in any number of concurrent instruments of similar tenor, and may be signed or executed by such owners in person or by attorney appointed in writing. The execution of any such request, declaration or other instrument, or of a writing appointing any such attorney, shall be sufficient for any purpose of this Supplemental Indenture and shall be conclusive in favor of the Trustee as against the person signing such request, and all future owners of the Series K Bonds owned by such person with regard to due action taken by the Trustee under such request, declaration or other instrument.

covenants, stipulations, promises, agreements and conditions in this Supplemental Indenture contained, by or on behalf of the Board, shall be for the sole and exclusive benefit of the parties hereto, their successors and assigns, and of the holders and owners of the Bonds and of coupons appurtenant thereto, if any.

PIKEVILLE NATIONAL BANK & TRUST  
COMPANY, Pikeville, Kentucky

By \_\_\_\_\_  
Authorized Officer

(SEAL)

Attest:

\_\_\_\_\_  
Secretary

STATE OF KENTUCKY     )  
                                  ) SS:  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_, 1989, by WILLIAM R. SEATON, Chairman of the Board of Regents of Morehead State University, on behalf of said Board.

My Commission expires: \_\_\_\_\_.

\_\_\_\_\_  
Notary Public, State at Large, KY

(Seal of Notary)

STATE OF KENTUCKY     )  
                                  ) SS:  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_, 1989, by CAROL JOHNSON, Secretary of the Board of Regents of Morehead State University, on behalf of said Board.

My Commission expires: \_\_\_\_\_.

\_\_\_\_\_  
Notary Public, State at Large, KY

(Seal of Notary)

STATE OF KENTUCKY     )  
                                  ) SS:  
COUNTY OF \_\_\_\_\_ )

The foregoing instrument was acknowledged before me this \_\_\_\_\_, 1989, by \_\_\_\_\_, President, and \_\_\_\_\_, Secretary, of Pikeville National Bank & Trust Company, a national banking association, on behalf of said association.

My Commission expires: \_\_\_\_\_.

\_\_\_\_\_  
Notary Public, State at Large, KY

(Seal of Notary)

POLICY ON  
STAFF BASIC EDUCATIONAL OPPORTUNITIES

Background

The University presently has a policy that enables qualified Morehead State University employees to enroll in classes for college credit during normal work hours. The University pays the tuition cost, up to four hours per semester, for employees taking advantage of this benefit. Some staff members do not possess a high school diploma or GED equivalency and are, therefore, unable to enroll for college credit courses. In an attempt to provide these employees with an opportunity to improve their education or prepare for the GED test, the University will permit employees to enroll in the University's Adult Basic Education Program or KET's GED on TV programs during normal working hours. This proposed policy is a result of a recommendation by the Staff Congress.

Analysis

The proposed policy will provide employees who have less than a high school education up to three (3) hours of work time per week to attend classes. The policy will also authorize the University to pay an employee's GED test fee. With the supervisor's encouragement and the University's support, employees will be able to enhance their basic educational level skills and acquire a high school GED equivalency.

Recommendation

That the Board of Regents approve the adoption of the Staff Basic Educational Opportunities Policy (PG-45).

**PERSONNEL POLICY MANUAL**

<b>Subject:</b> Staff Basic Educational Opportunities	<b>Section Number:</b> PG - 45 <b>Approval Date:</b> <b>Revision Date:</b>
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**PURPOSE:** To provide regular full-time staff members opportunity to advance their education by taking adult basic education classes without cost.

**COURSE LIMITS:** A regular full-time staff member may take classes up to a maximum of three (3) hours each week. The class work must be approved through the University's Adult Learning Center.

**GED TEST FEES:** The University will pay the cost of an eligible staff member's GED test fee. Any regular full-time staff member who has completed the probationary period, if applicable, is eligible to request a GED test fee voucher from the Adult Learning Center.

The payment of the GED test fee by the University must be approved by the supervisor and appropriate Vice President. A copy of the form shall be forwarded to the Office of Personnel Services.

**COURSES AND EXAMS DURING WORK HOURS:** Permission may be granted by a supervisor upon written or oral request to take classes and exams during working hours. If the staff member and supervisor cannot agree on suitable rescheduling arrangements, the matter shall be resolved by the appropriate Vice President.

**KET's/GED ON TV:** Staff members may choose to enroll in KET's/GED on TV program. If selected for this program, permission must be granted by a supervisor and appropriate vice president to participate in the program during working hours.

**ADDITIONAL COURSES:** Additional classes beyond the three (3) hours maximum may be taken outside normal working hours.



## CASH RECEIPTS AND OPERATING CASH FUND POLICY

### Background

The Office of Business Services maintains a cashiering operation for the collection, recording, safeguarding, and deposit of all cash receipts of Morehead State University. This cash receipt function is organized in accordance with sound business practices and is governed by the provisions of KRS 164A.555 through KRS 164A.630.

By virtue of the size and varied nature of the activities that occur on a university campus, it becomes necessary to collect cash receipts at sites that are remote to the central cashiering function. Auxiliary services, athletic events, camps and conferences are examples of these alternate cash collection points.

The existing policy and procedures that govern these functions are regularly reviewed and revised as necessary. Because of the fiduciary responsibility of the Board of Regents and the University officers to whom these operational responsibilities are delegated, this policy is presented for the Board's approval. The procedures relating to the policy are also presented in Attachment I for additional background information only.

### Proposed Policy

#### POLICY FOR CASH RECEIPTS AND OPERATING FUND

The Office of Business Services is solely responsible for the collection and control of all University related cash receipts. All cash receipts must be deposited through the Office of Business Services into the proper University account.

The collection and control of cash receipts and all other financial affairs of Morehead State University shall be governed by the provisions of KRS 164A.555 through KRS 164A.630.

The Office of Business Services will routinely delegate the authority and responsibility to collect cash receipts. Designated cash collection centers may be allocated an amount of cash from the Office of Business Services to serve as a permanent operating change fund.

"Any officer, agent or employee of any institution who willfully fails or refuses to comply with any other provisions of KRS 164A.555 to KRS 164A.630 is subject to indictment in the appropriate circuit court and upon conviction shall be fined not less than fifty dollars (\$50) nor more than one thousand dollars (\$1,000) for each offense."<sup>(1)</sup>

<sup>(1)</sup>Kentucky Revised Statutes 164A.630(3)

**POLICY FOR CASH RECEIPTS AND OPERATING CASH FUND  
(continued)**

Any officer, agent or employee of Morehead State University who willfully fails or refuses to comply with the provision of the Cash Receipts and Operating Cash Fund Policy or the procedures for either of these policies, may be held personally liable for any loss or other misuse of funds.

**Recommendation**

That the Board of Regents approve the Policy for Cash Receipts and Operating Cash Fund.

## ATTACHMENT I

### PROCEDURES FOR COLLECTION AND CONTROL OF CASH RECEIPTS

1. All cash receipts must be deposited through the Office of Business Services.
2. Deposits are to be made on a daily basis at the Cashiers' Department, Office of Business Services. A daily report of these deposits must be completed and maintained on file. The report must balance with the amount deposited with the Office of Business Services, and be dated and signed by a Business Services Cashier. A copy of the daily report is attached.
3. Daily deposits are to be made in the following manner:
  - a. The account must be approved by the Office of Business Services and established in advance of any deposits.
  - b. The Office of Business Services will provide an account number for all deposits.
  - c. After the deposit amount is determined, that amount of cash and checks must be presented, along with a copy of the daily report, to the Business Services Cashier. The Cashier will complete a detailed receipt card using the account number provided.
  - d. The Cashier will verify the amount of the deposit and process the receipt, providing a numbered cash receipt.
  - e. The Cashier will also post the receipt number and amount to the daily report for verification.
  - f. All checks presented for deposit must be endorsed to Morehead State University by the person responsible for the deposit.
4. Receipts are to be provided to each paying individual at each location. The Office of Business Services will approve and issue receipts for each location (pre-numbered and customized to the extent necessary).
5. Provisions should be made to secure cash on hand until the daily deposit is made. These provisions must be approved by the Office of Business Services.
6. Locations that expect to receive large sums of money during times other than normal University working hours, must make arrangements with the Office of Business Services to make "night deposits" with the Peoples First Bank or in the night deposit facility located in the Howell-McDowell Building. These cash receipts must then be deposited with the University on the next working day.
7. Any change in collection procedures must be approved in advance by the Office of Business Services.
8. All cash collection points are subject to audit without notification by the Office of Business Services personnel.

## PROCEDURES FOR OPERATING CASH FUNDS

Operating cash change funds may be obtained by completing the following:

1. A delegation of authority to collect cash receipts must be granted from the Office of Business Services.
2. The person responsible for the direction of the cash collection unit shall sign a receipt for the operating cash which also acknowledges responsibility for the safeguard of the cash, and a liability to the University for its return upon request.
3. The delegated custodian of a cash fund shall sign a statement of understanding of the uses and prohibited uses of the cash fund.
4. The operating cash fund shall be used exclusively as a base for making change in the normal course of the delegated cash collection unit's day-to-day functions.
5. The operating cash fund shall not be used for any other purposes including, but not limited to:
  - A. Cash advances or loans to employees.
  - B. Purchases of any type.

**MOREHEAD STATE UNIVERSITY  
FOOD SERVICE PROGRAM RECOMMENDATION**

**Background**

For the past several years, the Food Service operation at the University has been a source of both programmatic and fiscal concern. Food quality, selection, service, and the overall adequacy of the program, as well as the cleanliness and physical state of the dining, preparation and serving areas, have been inconsistent.

Financially, the Food Service operation has suffered losses for at least the previous four fiscal years. There seems to be very little if any relationship between the quality of the program and the financial condition.

In the fall of 1986, after experiencing a period of general dissatisfaction with the food service program, alternatives for future management were explored. A contract food services management overview was conducted as a part of that process. In the meeting of November 15, 1986, the Board approved the seeking of proposals from food service contract companies. However, by early 1987, the financial position of food services seemed to have improved, and the overall attitude on campus toward food service was more positive. On March 26, 1987, the Board approved a plan to continue in a self-operated food service capacity.

Following another period of food service programmatic and fiscal concerns experienced in the spring, summer and early fall of 1988, several steps were taken. The Food Service Director was reassigned on October 21, 1988, and Mrs. Jean Wells (retired) was appointed Acting Director. Menu revisions were made and more attention given to food quality and preparation techniques. Greater care is now being exercised to keep the public access areas, food preparation, and storage areas cleaner. Minor renovations have been made in the grill area of the Adron Doran University Center to expedite traffic flow. A service window has been installed in the Business Manager's office to provide more accessibility for students to transact business relating to their meal plan or dining club accounts.

### Analysis of Present Food Service Operations

An analysis of the Food Service operation has been conducted during the past six months with the intent of pinpointing problem areas, proposing alternatives, and recommending a direction for the future of food services. Documentation included past and present financial information as well as projections for the remainder of this fiscal year. Employment practices, policies and trends were examined.

External information considered in the study included an industry census generated in the latter part of 1988 by various food service professionals and organizations. Also, we requested a contract management overview of our food service operation. This overview represented an updated version of the survey conducted by the same contractor approximately two years ago.

During the fall of 1988, Porter Dailey and Mike Walters visited four campuses in our region that were served by food service contractors. The schools visited were: Northern Kentucky University, Marshall University, the University of Louisville, and Centre College. Independent of those visits, a group of our students designated by the Student Government Association also visited campuses with food service contractors. Those students have shown genuine interest in the future of food services and have provided valuable insight to the operation, as well as feedback from their campus visits.

Our strength in the Food Service operation at present is food quality and the resulting degree of student satisfaction. Feedback from students has recently been favorable regarding the selection of food offered and the overall taste of the food. Traffic counts are higher at all food service locations compared to similar time periods for the previous fiscal year.

Our greatest weakness is the lack of management personnel and the absence of an overall plan for the continuity, enhancement and expansion of the food service operation. Formal control programs in the areas of purchasing, inventory, menu planning, and recipes need to be integrated into the management plan.

Marketing for the food service program has not taken place effectively. Our previous director made some attempts at marketing, however, the food quality, selection, service, and cleanliness of the operation was not always consistent with the advertising. Consequently, student participation was not substantially enhanced by these efforts. Limited marketing efforts are being pursued. However, we do not have a formal marketing plan either designed or in place.

Nutritional information is not being provided to our customers. Special dietary requirements are honored upon request by the student. We believe our students will be more responsive and receptive to a total concept food service operation.

Financial solvency or subsidy continues to be a great concern in our operation. The four prior fiscal years reflect losses from operations as follows:

	<u>Including</u> <u>Income From</u> <u>Vending</u>	<u>Excluding</u> <u>Income From</u> <u>Vending</u>
1984-85	(\$52,733)	(\$ 77,043)
1985-86	(\$80,448)	(\$109,774)
1986-87	(\$19,487)	(\$ 63,099)
1987-88	(\$94,015)	(\$182,525)

Our present employment relationships with workers in food service provide that those employees are subject to the same fringe benefit packages as all other classifications of University employees. The average food service worker at MSU earns \$4.98/hour, works an average of 1,684 hours per year, but is paid for another 396 hours of holidays, vacation, and sick leave time. The cost of paid holidays, vacation and sick leave time amounts to \$110,048 per year.

Objectives of The Morehead State  
University Food Service Program

Nutritionally sound meals

Timely service

Prices affordable to MSU students

Varied menu selection

Expanded point of sale facilities and services

A collegiate food service environment

Efficient and sanitary facilities

Program of equipment replacement

Program of facility improvements

Quality and innovative catering for University  
activities and special events

Active student food service committee

Expanded student workshop career opportunities program

Employee training and career development programs

Maintenance of employee wages and benefits

Fiscal controls that limits and preferably eliminate general  
fund support to the program

Highly trained and qualified professional staff



**Direction Alternatives**

To implement a food service program at Morehead State University that will correct programmatic weaknesses and be sound fiscally, we must obtain qualified, capable, and experienced top-level management, complimented by a staff of second line supervisory personnel. This management may be employed directly by the University, with programmatic functions and fiscal control procedures developed in-house. The second source of securing management and correcting the weaknesses in our food service program is through a contract food service agency.

Analysis of Direction Alternatives

**SELF-OPERATION**

If the food service program continues to be operated directly by Morehead State University, the following steps must be taken. An estimated time-frame for implementation is also presented.

<u>Procedures</u>	<u>Estimated Implementation Dates</u>
Employment of food service director	July 1
Amend personnel appointments for food service employees	July 1
Employ two second level managers	October 1
Employ inventory control personnel	October 1
Develop procedures for integrating with proper controls the purchasing, inventory, menu planning, and recipe programs (including identification and purchase of appropriate hardware and software)	January 1
Develop and implement training programs for employees	October 1/January 1
Develop marketing programs	Jan. 1, 1990
Upgrade facilities (Pending available revenues)	May, 1990
Upgrade equipment (Pending available revenues)	Ongoing

**CONTRACT OPERATION**

To obtain management services through a contract food service agency, the following procedures will be necessary:

<u>Procedures</u>	<u>Estimated Implementation Dates</u>
Develop proposal specifications	March 1
Advertise and request proposals	March 1
Conduct pre-proposal conference	March 15
Receive proposals	April 1
Evaluate and rank proposals (By committee composed of: management, purchasing representative, three students, food service representatives, and Board of Regent representa- tives as designated)	April 1-30
Negotiate and award contract	May 1-30
Begin employee orientation programs	June 1
Management personnel transition period	June 1
Implement purchasing inventory, menu planning and recipe control systems	July 1
Implement employee training programs	July 1
Implement nutrition training programs	July 1
Implement marketing programs	July 1
Upgrade facilities	July 1/August 15
Upgrade equipment	Ongoing

ESTIMATED FISCAL IMPACT

	<u>Self Operating</u>	<u>Contract Management</u>
<b>Cash outlay to implement programs:</b>		
Management personnel	\$93,000	N/A
Purchasing, inventory, menu and recipe control systems (Hardware and Software) estimated	\$20,000	N/A
Marketing program	\$ 5,000	N/A
Net Income <loss> fiscal year 89-90	Unknown	\$125,000+
Time-frame for complete implementation	May 1990	August 15, 1989

**EMPLOYEE STATUS**

	<u>Self Operating</u>	<u>Contract</u>
Contract Period	44 weeks	44 weeks minimum
Hourly Wages	No change	No change <sup>1</sup>
Retirement Plan Vested KERS	No change	Same as current MSU plan <sup>2</sup>
Non-Vested KERS	No change	To be determined <sup>3</sup>
Fringe Benefits	Some change <sup>4</sup>	To be determined <sup>5</sup>

<sup>1</sup> A contract would include a provision that all hourly MSU food service Standing I employees be offered continued employment by the contractor at the wage rate earned by the employee in FY 88-89.

<sup>2</sup> A contract would include a provision that MSU Food Service employees currently vested in KERS be allowed to remain on the MSU payroll, at the employees option. The contractor would reimburse the University for an employee's earned wages and benefit costs.

<sup>3</sup> Non-Vested Standing I employees would transfer to the payroll of the contractor.

<sup>4</sup> Some change in coverage and cost could occur due to 44 weeks employment period.

<sup>5</sup> A vacation/holiday, sickleave, medical, life, workers compensation, retirement, and unemployment insurance program must be offered by the contractor.

**OTHER NOTES**

**Current Temporary Employees:** Would be recommended to contractor as preferred hires.

**Future Employees:** Would be employees of contractor.

**Student Workships:** A contractor would be required to offer student workships through the institution's student workshop program.

### Conclusions

After careful analysis of how best to address programmatic needs and projected fiscal impact, contract food service management offers a more viable solution to meet the objectives of the food service program at Morehead State University. Corporate resources available to a contract management company will provide experienced management personnel, training and marketing programs, control systems, and cost efficiencies that will exceed those affordable by the University in a self-operating function. Contracting the management of our food service operation will also provide these critical needs on a much shorter time-frame than in-house development.

To best address our objectives, maintain the identity of "Morehead State University Food Services," yet provide the management team with needed flexibility, a food services management contract should be sensitive to the following principles:

- \* The contractor will offer continued employment at an hourly wage rate at least equal to the FY 88-89 rate to all MSU food service Standing I appointees with the expectation of satisfactory performance of duties.
- \* The retirement benefits of vested MSU food service Standing I appointees shall be protected through an agreement between the contractor and MSU enabling the vested employees to remain on the MSU payroll with the University being reimbursed for the full cost of employee's wages and benefits.
- \* Current supervisory or salaried employees may be reassigned to other duties and responsibilities by the contractor while maintaining their current FY 88-89 salary through June 30, 1990.
- \* The University will reserve the approval right for any appointment or proposed changes by the contractor in management personnel.
- \* Pricing structures of the contractor should be sensitive to the financial ability of MSU students.
- \* The University shall have the right to audit or inspect the financial records pertaining to the MSU Food Service operation.

Conclusions (continued)

- \* The University shall reserve the right to inspect, without notice, any or all food service dining, preparation, serving or storage facilities.
- \* The contractor and University shall establish mutually agreed upon dates, times, and hours of operation.
- \* The University shall reserve the right to specify menu selections for catered and special events.
- \* The University shall reserve the right to specify product preference.



**Recommendation**

**That,** the Board of Regents authorize the issuance of a Request for Proposal for the purpose of establishing a contract for food service management for Morehead State University, and

**That,** the proposals be evaluated and a contract negotiated and awarded that is sensitive to the stated contract principles, and best addresses the objectives of the MSU Food Service Program, and

**That,** the contract be presented to the Board of Regents for ratification at the first meeting following the final agreement between the parties.

**1989 SPRING ENROLLMENT REPORT**

**Background**

The spring enrollment for 1989 reflects another significant increase. During a period when resources are limited it is becoming more challenging to manage enrollment growth while continuing to maintain and deliver quality academic programs.

**Information**

For comparison purposes the enrollments for spring of 1988 and 1989 are illustrated below:

	<u>1988</u>	<u>1989</u>
Freshmen	1830	2353
Sophomore	948	1166
Junior	941	893
Senior	1139	1189
Graduate	1433	1453
TOTAL	<b>6291</b>	<b>7059</b>

The retention rate, as measured by the number of first-time, full-time freshmen returning as second semester sophomores increased from 86 percent in 1987-88 to 86.9 percent in 1988-89.

The university has been averaging approximately 62.5 percent retention, for full-time freshmen returning as sophomores over a five year period, and had projected a 64.5 percent return rate for the fall of 1988. The final figure shows that 68.3 percent of the entering freshmen in the fall of 1987 returned as students for the fall of 1988.

STATUS REPORT  
LAND ACQUISITION

<u>Property</u>	<u>Purchase Price</u>	<u>Status</u>
Garred Property fronting on University Blvd.	\$145,000 (\$139,150 MSU; \$5,850 MSU Foundation, Inc.)	Purchase completed 1/17/89 Recorded in Rowan County Deed Book 144, Pages 630-632

**PROPOSED DATES FOR BOARD MEETINGS  
1989 CALENDAR YEAR**

**Background**

There are both advantages and disadvantages of establishing the dates in advance for regular quarterly meetings of the Board of Regents. However, such a procedure would allow the Board to place these dates on their calendars well in advance, and it would also be extremely helpful to the administration in planning and preparing for regular Board meetings. Special meetings would be scheduled as needed with dates selected in consultation with the chairman and would be confirmed by having the Board secretary poll the members by telephone. At each regular Board meeting, the next meeting date could be reviewed and if a sufficient number of conflicts have arisen, a new date could be selected at that time.

The administration has looked at the University calendar and has considered the items that normally come to the Board of Regents on an anticipated schedule and has arrived at two alternative dates for the second, third and fourth quarters. A memo was sent to the Board of Regents on February 6, 1989, asking them to review their personal calendars and to the extent possible indicate at this time which of those dates are acceptable.

Because of the timeliness of some items such as the approval of the 1989-90 fee schedule, it is essential that the Board meet in special session prior to the regular second quarter meeting. Since Friday, March 31, is Founders Day and as a number of Regents have indicated that they intend to participate in one or more of the activities, it appears logical to schedule a special meeting of the Board on Friday, March 31, at 2 p.m.

**Recommendation**

That the Board establish the regular quarterly Board meetings for the 1989 calendar year by selecting one of the following dates or determine an alternative date:

2nd Quarter	Friday, April 28 or May 5*
3rd Quarter	Friday, September 15 or 22*
4th Quarter	Friday, November 10 or 17*

Further, because of the timeliness of several items that need to be considered by the Board prior to the regular second quarter meeting, that a special meeting of the Board be established for 2 p.m. on Friday, March 31, 1989.

\*Dates preferred by the administration.